



# AGENDA

## SAN MATEO COUNTY TRANSPORTATION AUTHORITY

Bacciocco Auditorium, 2<sup>nd</sup> Floor  
1250 San Carlos Avenue, San Carlos, CA 94070

**January 3, 2013 – Thursday**

**5:00 p.m.**

1. Pledge of Allegiance
2. Swearing in:
  - Carole Groom (Board of Supervisors Representative)
  - Terry Nagel (Central Judicial Cities Representative)
  - Rosanne Foust (Southern Judicial Cities Representative)
  - Karyl Matsumoto (SamTrans Representative)
3. Call to Order/Roll Call
4. Election of 2013 Officers
5. Report of the Citizens Advisory Committee
6. Consent Calendar  
Members of the public or Board may request that an item under the Consent Calendar be considered separately
  - a. Approval of Minutes of November 1, 2012
  - b. Acceptance of Statement of Revenues and Expenditures for October 2012
  - c. Acceptance of Statement of Revenues and Expenditures for November 2012
7. Public Comment  
Public comment by each individual speaker shall be limited to one minute
8. Chairperson's Report
9. SamTrans Liaison Report
  - a. November 14, 2012
  - b. December 12, 2012
10. Joint Powers Board Report
11. Report of the Executive Director

MOTION

12. Finance

- |   |            |
|---|------------|
| a. Authorize Amendment of Fiscal Year 2013 Budget to Increase Budget Expenditures by \$1,335,000 for a New Total of \$103,074,230   | RESOLUTION |
| b. Authorize Allocation of \$1 Million in New Measure A Highway Program Key Congested Area Funds for the U.S. 101/Peninsula/ Poplar Avenue Interchange Project Initiation Document/ Project Study Report to the City of San Mateo | RESOLUTION |
| c. Authorize Allocation of \$2 Million in New Measure A Highway Program Supplemental Roadway Funds for the Staged U.S. 101 HOV Lane Project Study Report to C/CAG   | RESOLUTION |
| d. Authorize Cooperative Agreement with the County of San Mateo for Right of Way Services in Support of TA Capital Projects   | RESOLUTION |
| e. Authorize Award of Contract to Holland & Knight, LLP for Federal Advocacy Services for a Firm Fixed Price of \$210,000 for a Five-year Term  | RESOLUTION |

13. Program

- |  |               |
|--|---------------|
| a. Update on State and Federal Legislative Program                                     | INFORMATIONAL |
| b. Program Report: Railroad Grade Separations (San Bruno)                              | INFORMATIONAL |
| c. Capital Projects Quarterly Status Report – 1 <sup>st</sup> Quarter Fiscal Year 2013 | INFORMATIONAL |

14. Requests from the Authority

15. Written Communications to the Authority

16. Report of Legal Counsel

17. Date/Time of Next Meeting: Thursday, February 7, 2013, 5 p.m. at San Mateo County Transit District Administrative Building, Bacciocco Auditorium, 2<sup>nd</sup> Floor, 1250 San Carlos Avenue, San Carlos, CA 94070

18. Adjournment

## **INFORMATION FOR THE PUBLIC**

All items appearing on the agenda are subject to action by the Board. Staff recommendations are subject to change by the Board.

If you have questions on the agenda, please contact the Authority Secretary at 650-508-6242. Assisted listening devices are available upon request. Agendas are posted on the Authority Website at [www.smcta.com](http://www.smcta.com).

### **Location, Date and Time of Regular Meetings**

Regular meetings are held at the San Mateo County Transit District Administrative Building located at 1250 San Carlos Ave., San Carlos, which is located one block west of the San Carlos Caltrain Station on El Camino Real. The building is also accessible by SamTrans bus Routes 260, 295, 390, 391, and KX.

The Transportation Authority (TA) meets regularly on the first Thursday of the month at 5 p.m. The TA Citizens Advisory Committee (CAC) meets regularly on the Tuesday prior to the first Thursday of the month at 4:30 p.m. at the San Mateo County Transit District Administrative Building.

### **Public Comment**

If you wish to address the Board, please fill out a speaker's card located on the agenda table. If you have anything that you wish distributed to the Board and included for the official record, please hand it to the Authority Secretary, who will distribute the information to the Board members and staff.

Members of the public may address the Board on non-agendized items under the public Comment item on the agenda. Public testimony by each individual speaker shall be limited to one minute and items raised that require a response will be deferred for staff reply.

### **Accessibility for Individuals with Disabilities**

Upon request, the TA will provide for written agenda materials in appropriate alternative formats, or disability-related modification or accommodation, including auxiliary aids or services, to enable individuals with disabilities to participate in public meetings. Please send a written request, including your name, mailing address, phone number and brief description of the requested materials and a preferred alternative format or auxiliary aid or service at least two days before the meeting. Requests should be mailed to the Authority Secretary at the San Mateo County Transportation Authority, 1250 San Carlos Avenue, San Carlos, CA 94070-1306 or emailed to [board@smcta.com](mailto:board@smcta.com); or by phone at 650-508-6242, or TDD 650-508-6448.

### **Availability of Public Records**

All public records relating to an open session item on this agenda, which are not exempt from disclosure pursuant to the California Public Records Act, that are distributed to a majority of the legislative body will be available for public inspection at 1250 San Carlos Avenue, San Carlos, CA 94070-1306, at the same time that the public records are distributed or made available to the legislative body.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY (TA)  
1250 SAN CARLOS AVENUE, SAN CARLOS, CA 94070**

**MINUTES OF NOVEMBER 1, 2012**

**MEMBERS PRESENT:** K. Foust, C. Groom, D. Horsley, K. Matsumoto, N. Patridge,  
S. Richardson

**MEMBERS ABSENT:** T. Nagel

**STAFF PRESENT:** J. Averill, J. Cassman, A. Chan, M. Choy, C. Harvey,  
G. Harrington, R. Haskin, J. Hurley, M. Martinez, N. McKenna,  
D. Miller, S. Murphy, M. Scanlon, M. Simon

Chair Carole Groom called the meeting to order at 5:01 p.m. and led the Pledge of Allegiance.

**CITIZENS ADVISORY COMMITTEE (CAC) REPORT**

CAC Chair Barbara Arietta reported on the October 30, 2012 meeting:

- The CAC reviewed the TA Board of Directors meeting agenda and supported all items.
- A taskforce of elected officials has been put together to help plan the High Speed Rail blended system. They will hold public meetings to exchange ideas about modernization.
- The Active San Mateo County Conference was held in October and focused on walkable/ bikeable urban corridors and the perspectives of local experts on health, transportation, and planning including the Complete Streets concept and the future of San Mateo County parks and recreation.
- The Burlingame City Council approved pursuing funding for grade separations at Broadway Avenue.
- The Metropolitan Transportation Commission is accepting grant applications until December 14 from San Mateo County cities that meet criteria for bike and pedestrian project funding and include a complete streets concept and a housing element.
- The CAC changed the date of the January CAC meeting to Wednesday, January 2, 2013.

**CONSENT CALENDAR**

- a. Approval of Minutes of October 4, 2012
- b. Acceptance of Statement of Revenues and Expenditures for Fiscal Year Ending June 2012 (unaudited)
- c. Acceptance of Statement and Revenues and Expenditures for September 2012
- d. Adoption of Revised Conflict of Interest Code
- e. Approval of 2013 Board of Directors Meeting Calendar

- f. Authorize Amendment to On-Call Transportation Planning and Program Support Contract with CDM Smith, Inc., Fehr & Peers, and HNTB Corporation by an Estimated Aggregate Not-to-Exceed Amount by \$675,000 from \$2,250,000 to \$2,925,000

A motion (Foust/Richardson) to approve the Consent Calendar was approved unanimously.

#### **PUBLIC COMMENT**

None

#### **FEATURE PRESENTATION: BAYSHORE INTERMODAL STATION ACCESS STUDY**

Manager, Planning and Monitoring Melanie Choy said this study was led by the San Francisco County Transportation Authority (SFCTA). Its focus was to evaluate and better integrate land-use plans with transportation services in the area and was adopted earlier this year by the SFCTA Board. SFCTA Principal Transportation Planner Chester Fung presented:

- The Caltrain Bayshore Station is in Brisbane at the northern edge of San Mateo County and the southern edge of San Francisco County.
- This is an opportunity to transform vacant and former industrial land into new neighborhoods, housing, and job sites, and to transform the Caltrain Bayshore Station into an intermodal hub for the area. Cross-jurisdictional cooperation will be required.
- The plan includes adding intermodal access including an east/west Bus Rapid Transit (BRT) line connecting Candlestick Point to the Balboa Park Bay Area Rapid Transit Station.
- The Baylands is the largest piece of land slated for consideration and is adjacent to the existing station. Many land-use decisions have yet to be made that would affect the ultimate design of the station, but the study shows potential developer land use that includes additional housing and potential community land use, which proposes business and office development for employment growth only.
- Three alternatives were developed but one was discarded. Alternative One keeps the station in its current northerly location and includes the Caltrain platforms and the proposed BRT lines. Alternative Two extends the station and pulls it farther south into the area where the Baylands development is expected to occur, and would change the routing of the BRT line.
- The evaluation criteria included ridership maximization, non-motorized access, and intermodal connectivity.
- Based on the land-use scenarios, Alternative Two would provide more potential residents within walking distance of the station; the community alternative would provide more employment opportunities within walking distance of the station.
- The study examines suggestions about infrastructure to best support a variety of transportation modes including shuttles, BRT routes, and light rail.
- To make the station a vibrant, successful place, especially after business hours, land development should include nearby housing.
- The costs for station elements are estimated to be in the \$50 million-\$60 million. Related projects, including the BRT, light rail, Geneva Avenue extension, and

bike and pedestrian projects, are estimated to be in the \$300 million-\$400 million. SFCTA says it has multiple sources of funding and will look for public and private contributions.

- The next steps include conducting an environmental review, selecting the preferred land use, refining, finalizing, and circulating the design and development plans, developing individual interim projects such as the BRT, gathering funds, and continuing interagency coordination.

Director Karyl Matsumoto asked how light rail would fit into the project. Mr. Fung said the light rail on Bayshore Boulevard/Third Street currently ends at Sunnydale Avenue, so it would be ideal to extend and connect it to the Caltrain Bayshore Station.

Director Sepi Richardson said the Brisbane General Plan does not allow for housing at this time, so the plans have a lot of mixed-use development to increase employment. She asked how much housing the study estimates could be included in the area if the General Plan changes. Mr. Fung said the Candlestick Point and Hunters Point Shipyard areas represent about 10,000 new housing units, Executive Park includes another 200, Schlage Lock could have 200 units, and the developer alternative for the Baylands area proposes 4,400 new housing units.

Director Richardson said Bayshore Boulevard is the only road in that area, and asked how the access will be changed. Mr. Fung said Geneva Avenue would be extended to Highway 101 and connected to the interchange at Candlestick Point.

Director Richardson asked how High Speed Rail (HSR) and the potential maintenance yard fits into the plan. Mr. Fung said the HSR will share the existing tracks and the alternatives were developed with that assumption, so there is no need to widen the existing track lines. Ms. Choy said HSR needs to reassess what the maintenance facility needs are.

Director Richardson said since the station is in Brisbane it should be called Brisbane Station.

Director Naomi Patridge said the residents of Brisbane want transportation opportunities for employees and not for residents. The community land-use plan shows this transportation more for employees than for residents, but the developer land-use plan has the transportation more for residents than for employees. She asked if the planning will go forward even if Brisbane cannot change the General Plan to include housing. Mr. Fung said the designs and alternatives will be revisited when there is clarity about the land-use options around the station.

Director Richardson said Brisbane residents do want transportation, but the General Plan does not allow it. The residents want to create jobs which will require transportation. Director Patridge said it is not clear if Brisbane residents want transportation to go into their city for resident's use or if it is intended just for employees.

### **CHAIRPERSON'S REPORT – CAROLE GROOM**

No report.

### **SAMTRANS LIAISON REPORT – OCTOBER 10, 2012 – KARYL MATSUMOTO**

Director Don Horsley asked what equipment has been installed on buses that make them heavier. Executive Director Michael Scanlon said weight is added by wheelchair lifts and other equipment that meet Federal requirements under the Americans with Disabilities Act and environmental agencies.

Director Horsley asked which fixed-route trips are being considered for elimination. Deputy CEO Chuck Harvey said the trips are unused on the proposed routes, and the routes are both on the bay side of the Peninsula.

Director Horsley asked what "miles between road calls" means. Mr. Harvey said that is the amount of miles driven without any breakdowns.

### **JOINT POWERS BOARD (JPB) REPORT**

Executive Director Michael Scanlon reported:

- Key Caltrain Performance Statistics
  - Monthly Performance Statistics – September 2012 compared to September 2011
    - Total Ridership was 1,289,890, an increase of 8.4 percent.
    - Average Weekday Ridership was 50,821, an increase of 12 percent.
    - Total Revenue was \$5,859,685, an increase of 17.6 percent.
    - On-time Performance was 86.6 percent, a decrease of 7 percent.
    - Caltrain Shuttle Ridership was 10,247, an increase of 52.1 percent.
  - Year-to-date Performance Statistics – September 2012 compared to September 2011
    - Total Ridership was 3,946,397, an increase of 9.3 percent.
    - Average Weekday Ridership was 49,355, an increase of 9.9 percent.
    - Total Revenue was \$17,665,531, an increase of 15.4 percent.
    - On-time Performance was 91.3 percent, a decrease of 1.3 percent.
    - Caltrain Shuttle Ridership was 8,697, an increase of 32.3 percent.
- Caltrain carried 38,000 additional riders for the Giants parade on October 31.
- The weekend of October 6-7 was busy for transit with many events. Caltrain carried 37,500 passengers on Saturday and 27,000 passengers on Sunday. All the service that could be put out on the corridor was.
- The eight Giants playoff games at home carried an average of 9,200 riders per game which is about one-third more than the average for the exhibition and regular season.
- A Sunday schedule will operate on Thanksgiving Day, and a Saturday schedule on the Friday after Thanksgiving Day.
- Staff reissued the General Engineering Consultant Request for Proposal (RFP). The California Transportation Commission provided funds of \$9 million for bridges and there is a condition the work be expedited so this work has been removed from the RFP.

- The Holiday Train will run December 1 and 2. The Silicon Valley Community Foundation made a \$50,000 donation last December and continues to raise funds. One train car has been wrapped to publicize the event.
- A team is onboard to update the Environmental Impact Report for the electrification project.

## **REPORT OF THE EXECUTIVE DIRECTOR**

Mr. Scanlon reported:

- The Highway 101 Auxiliary Lane Project will be completed in November.
- A groundbreaking ceremony on the Smart Corridor Project will take place on November 6 at the San Carlos Caltrain Station.

## **FINANCE**

### **Authorize Acceptance of Quarterly Investment Report and Fixed Income Market Review and Outlook for Quarter Ended September 30, 2012**

Bill Osher, CSI Sun Trust, said he is tasked with making sure the portfolio stays safe. The portfolio is returning about 1 percent a year. It is all government guaranteed securities and there is no credit risk in it. The European Central Bank and the U.S. Federal Reserve Bank extended fiscal stimulus in a way that caused people to fear inflation will take place. He said inflation could cause interest rates to rise quickly and then the fixed-income portfolio would suffer. He said sentiment in the United States will change at some point and become very positive, and only then will it be known if the country has had too much fiscal stimulus.

A motion (Foust/Matsumoto) to approve the acceptance of the report and review and outlook was approved.

### **Authorize Approval to Program \$8,615,000 in State Proposition 1B State-Local Partnership Program Funds**

Executive Officer, Planning and Development April Chan said staff is proposing the Board approve funding for 12 transportation improvement programs and the Communications-based Overlay Signal System/Positive Train Control (CBOSS/PTC) project. She said the deadline for allocation is June 2013 and the funds must be awarded within 180 days of the allocation. She said any remaining unallocated funds after June 2013 will no longer be available for this program. She said the funds may only be allocated for the construction and/or acquisition phase of a project

Jim Bigelow, Redwood City-San Mateo County and Menlo Park Chambers of Commerce, said there was good innovation between the local cities, the City/County Association of Governments, and the TA who worked well together to simplify the project delivery through this item. He said there was also good innovation with the Alpine Road Project. The Congestion Relief Alliance does a very good job, and the funding goes to addressing and building on transit ridership. He said he strongly urges the Board's support to approve the programming of \$8,615,000 in State Proposition 1B State-local Partnership Program (SLPP) funds; amending the FY2013 budget and programming and allocating \$61,000 of Original Measure A funds to the County of San Mateo for the Alpine Road Bicycle Safety Improvement Project; and allocating \$410,000 in New Measure A Alternative Relief Congestion funds to the Peninsula Traffic

Congestion Relief Alliance to support the Countywide Congestion Relief Program for FY2013.

A motion (Horsley/Patridge) to approve the approval to program \$8.615 million in SLPP funds was passed.

**Authorize Amendment to Fiscal Year 2013 Budget and Programming and Allocation of \$61,000 of Original Measure A Funds to the County of San Mateo for the Alpine Road Bicycle Safety Improvement Project**

Ms. Chan said staff worked with the county to find funds from the SLPP along with Original Measure A funding left over from the bicycle category for this project. This would fully utilize the balance of Original Measure A funds.

A motion (Horsley/Richardson) to approve the amendment to the budget and programming and allocation of funds for the Alpine Road Project was passed.

**Authorize Allocation of \$410,000 in New Measure A Alternative Relief Congestion Funds to the Peninsula Traffic Congestion Relief Alliance to Support the Countywide Congestion Relief Program for Fiscal Year 2013**

Ms. Chan said this is an allocation for the funds that were already programmed for this project.

A motion (Richardson/Foust) to approve the allocation of funds to congestion relief was passed.

**PROGRAM**

**Update on State and Federal Legislative Program**

Director, Government and Community Affairs Seamus Murphy, said there are potential threats to transit funding depending on the results of the election. He said if Proposition 30 fails, it is likely the Legislature and the governor would seek to cut funds to several programs. He said the vast majority of the State Transit Assistance Program funding is protected, but a small portion is not. An update will be given at the next meeting.

He said there have been several attempts, including some State and Federal legislation, to prevent the State from selling bonds associated with the HSR Project. A recent effort to put an initiative on the 2013 ballot to prevent the State from selling Proposition 1A bond funds, failed but due to a lack of signatures.. There are still legal threats pending to the program but at the moment there are no political or policy threats to the funding.

**REQUESTS FROM THE AUTHORITY**

Director Matsumoto said she is concerned about the public funds being used to subsidize the ferry shuttles, which is an expensive program. She said 65 percent of the funding is from TA Measure A funds, 25 percent from Water Emergency Transportation Authority, and 10 percent employee contributions. Mr. Harvey said the Shuttle Call for Project (CFP) included a monitoring program and staff will prepare reports that show ridership and farebox recovery statistics. He said staff has the ability to put shuttles on probation or warn them to perform more efficiently. Having CFPs every two years

creates competition and gives staff options. He said the shuttles are on a two-year cycle.

**WRITTEN COMMUNICATIONS TO THE AUTHORITY**

No discussion

**REPORT OF LEGAL COUNSEL**

None

**DATE AND PLACE OF NEXT MEETING**

December 6, 2012 at 5 p.m. in the San Mateo County Transit District Administrative Building, Bacciocco Auditorium, 2<sup>nd</sup> floor, 1250 San Carlos Avenue, San Carlos CA 94070

Meeting adjourned at 6:15 p.m.

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: Gigi Harrington  
Deputy CEO

SUBJECT: **INFORMATION ON STATEMENT OF REVENUES AND EXPENDITURES FOR THE PERIOD ENDING OCTOBER 31, 2012**

**ACTION**

Staff proposes the Board accept and enter into the record the Statement of Revenues and Expenditures for the month of October 2012 and supplemental information.

**SIGNIFICANCE**

**Revenues:** Year-to-date *Total Revenue* (\$25,866,372 - line 7) is **better** than staff projections by \$3,023,480 or 13.2 percent. *Miscellaneous Income* (\$971,712 - line 3), is attributable to an unbudgeted recovery payout from the Lehman Brothers bankruptcy. The loss was recorded in fiscal year 2009. This is the second of six disbursements expected over a three year period. *Grant Proceeds* (\$1,431,460 - line 5) is \$1,397,238 or 4,082.9 percent **better** than staff projections due to a portion of the \$4.2 million in State Transportation Improvement Program (STIP) funds for the Broadway Interchange project that were originally budgeted in Fiscal Year 2011.

*Total Revenue* (\$25,866,372 - line 7) is \$3,036,696 or 13.3 percent **better** than prior year performance. *Sales Tax* (\$21,924,233 - line 1) which is \$1,336,500 or 6.5 percent **better** than prior year is offset by *Interest Income* (\$1,163,751 - line 2) which is \$445,643 or 27.7 percent **worse**.

**Expenditures:** *Total Administrative* (\$203,857 - line 22) is **better** than staff projections by \$57,321 or 21.9 percent and also **better** than prior year actual by \$66,070 or 24.5 percent. Within total administrative, *Staff Support* (\$132,971 - line 18) is \$38,102 or 22.3 percent **better** than staff projections.

**Budget Amendment:** The revised budget per Board Resolutions 2012-18 and 2012-19 is amending Program Expenditures and Grant Proceeds for Ramp Metering Study and Caltrain Communication Based Overlay Signal System / Positive Train Control, both of which are reflected in the October 2012 Statement of Revenues and Expenditures.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**STATEMENT OF REVENUES AND EXPENDITURES**  
**Fiscal Year 2013**  
**October 2012**

% OF YEAR ELAPSED: 33.3%

	MONTH	YEAR TO DATE				ANNUAL		
	CURRENT ACTUAL	PRIOR ACTUAL	CURRENT ACTUAL	STAFF PROJECTION	% OF PROJ	ADOPTED BUDGET*	STAFF PROJECTION**	% OF PROJ
<b>REVENUES:</b>								
1 Sales Tax	5,742,433	20,587,733	21,924,233	21,268,800	103.1%	65,000,000	65,000,000	32.7%
2 Interest Income	182,511	1,609,393	1,163,751	1,167,830	99.7%	4,022,150	4,022,150	29.0%
3 Miscellaneous Income	971,712	0	971,712 (A)	0	0.0%	0	0	0.0%
4 Rental Income	94,194	367,077	375,216	372,040	100.9%	1,116,180	1,116,180	33.6%
5 Grant Proceeds	317,029	265,473	1,431,460 (B)	34,222	4182.9%	0	308,000	464.8%
6								
7 <b>TOTAL REVENUE</b>	<b>7,307,879</b>	<b>22,829,676</b>	<b>25,866,372</b>	<b>22,842,892</b>	<b>113.2%</b>	<b>70,138,330</b>	<b>70,446,330</b>	<b>36.7%</b>
8								
<b>EXPENDITURES:</b>								
9								
10								
11 Annual Allocations	2,095,988	7,975,204	8,002,345	7,763,112	103.1%	23,725,000	23,725,000	33.7%
12								
13 Program Expenditures	4,859,319	3,291,985	10,770,456	24,759,435	43.5%	73,175,646	76,483,646	14.1%
14								
15 Oversight	16,435	113,294	79,586	161,667	49.2%	485,000	485,000	16.4%
16								
17 Administrative								
18 Staff Support	35,894	151,716	132,971	171,073	77.7%	634,125	634,125	21.0%
19 Measure A Info-Others	-	982	-	-	0.0%	16,500	16,500	0.0%
20 Other Admin Expenses	19,973	117,228	70,885	90,104	78.7%	333,959	333,959	21.2%
21								
22 Total Administrative	55,867	269,926	203,857	261,177	78.1%	984,584	984,584	20.7%
23								
24 <b>TOTAL EXPENDITURES</b>	<b>7,027,610</b>	<b>11,650,409</b>	<b>19,056,243 (1)</b>	<b>32,945,391</b>	<b>57.8%</b>	<b>98,370,230</b>	<b>101,678,230</b>	<b>18.7%</b>
25								
26 <b>EXCESS (DEFICIT)</b>	<b>280,270</b>	<b>11,179,267</b>	<b>6,810,129</b>	<b>(10,102,499)</b>		<b>(28,231,900)</b>	<b>(31,231,900)</b>	
27								
28 <b>BEGINNING FUND BALANCE</b>	<b>Not Applicable</b>	<b>421,881,503</b>	<b>444,581,025</b>	<b>422,021,139</b>		<b>422,021,139</b>	<b>422,021,139</b>	
29								
30 <b>ENDING FUND BALANCE</b>	<b>Not Applicable</b>	<b>433,060,770</b>	<b>451,391,154 (2)</b>	<b>411,918,640</b>		<b>393,789,239</b>	<b>390,789,239</b>	
31								
32								

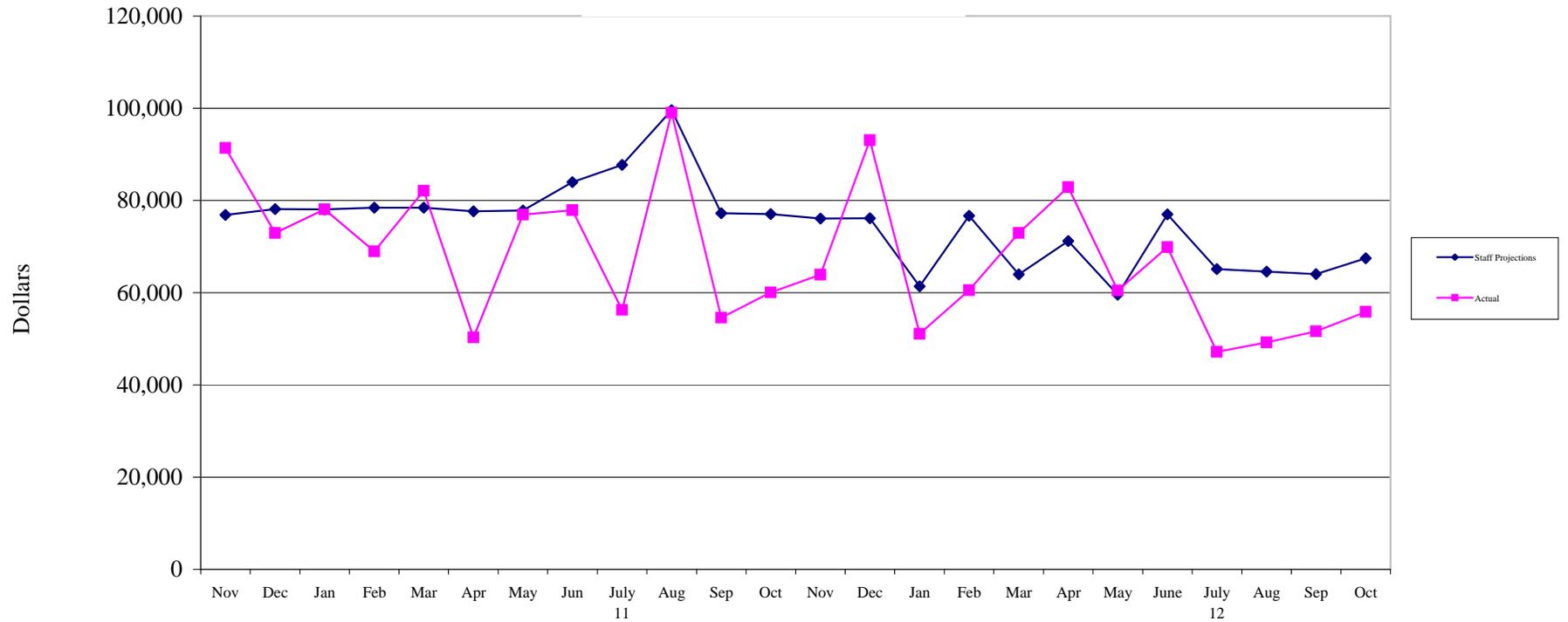
Includes the following balances:								
34 Cash and Liquid Investments		860,666		FY 2012 Carryover of Commitments (Unaudited)			303,355,309	
35 Current Committed Fund Balance		385,977,296 (3)		FY 2013 Additional Commitments (Budgeted)			98,370,230	
36 Undesignated Cash & Net Receivable		64,553,192		Reso # 2012-18			308,000	
37 Total		<u>451,391,154 (2)</u>		Reso # 2012-19			3,000,000	
38				Less: Current YTD expenditures			<u>(19,056,243) (1)</u>	
39				Current Committed Fund Balance			<u>385,977,296 (3)</u>	
40								

41 "% OF YEAR ELAPSED" provides a general measure for evaluating overall progress  
42 against the annual budget. When comparing it to the amounts shown in the  
43 "% of PROJECT" column, please note that individual line items reflect variations  
44 due to seasonal activities during the year.

45  
46 \* The TA Adopted Budget is the Board adopted budget effective June 7, 2012.  
47 \*\* The TA Staff Projection is the adopted budget including year to date budget transfers.

48  
49 (A) Unbudgeted recovery payout from Lehman Brothers bankruptcy.  
50 (B) Actual Grant Proceeds include a portion of the \$4.2 million in State  
51 Transportation Improvement Program (STIP) funds for the Broadway  
52 Interchange project that were originally budgeted in Fiscal Year 2011.

## SMCTA Administrative Expenses



### Current Year Data

	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13	Apr '13	May '13	Jun '13
<b>MONTHLY EXPENSES</b>												
Staff Projections	65,111	64,548	64,047	67,471	0	0	0	0	0	0	0	0
Actual	47,161	49,198	51,630	55,867	0	0	0	0	0	0	0	0
<b>CUMULATIVE EXPENSES</b>												
Staff Projections	65,111	129,659	193,707	261,177								
Actual	47,161	96,359	147,989	203,857								
Variance-F(U)	17,950	33,300	45,718	57,321	0	0	0	0	0	0	0	0
Variance %	27.57%	25.68%	23.60%	21.95%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%



Board of Directors 2012

Carole Groom, Chair  
 Karyl Matsumoto, Vice Chair  
 Rosanne Foust  
 Don Horsley  
 Terry Nagel  
 Naomi Patridge  
 Sepi Richardson

Michael J. Scanlon

SAN MATEO COUNTY TRANSPORTATION AUTHORITY

CAPITAL PROJECT RESERVES

AS OF OCTOBER, 2012

<u>TYPE OF SECURITY</u>		<u>MATURITY DATE</u>	<u>INTEREST RATE</u>	<u>PURCHASE PRICE</u>	<u>MARKET VALUE</u>
County Pool #3	*	Liquid Cash	0.760%	\$ 266,235,225	\$ 266,235,225
Local Agency Investment Fund	**	Liquid Cash	0.340%	\$ 27,529,014	\$ 27,529,014
Investment Portfolio	***	Liquid Cash	1.288%	\$ 148,035,044	\$ 148,862,193
Other		Liquid Cash	0.010%	\$ 860,666	\$ 860,666
				<u>\$ 442,659,949</u>	<u>\$ 443,487,098</u>

Accrued Earnings for October 2012 \$ 332,798.20  
 Cumulative Earnings FY2013 \$ 1,337,195.99

\* County Pool average yield for the month ending October 31, 2012 was 0.760%. As of October 2012, the amortized cost of the Total Pool was \$2,486,569,329.74 and the fair market value per San Mateo County Treasurer's Office was \$2,500,983,234.75.

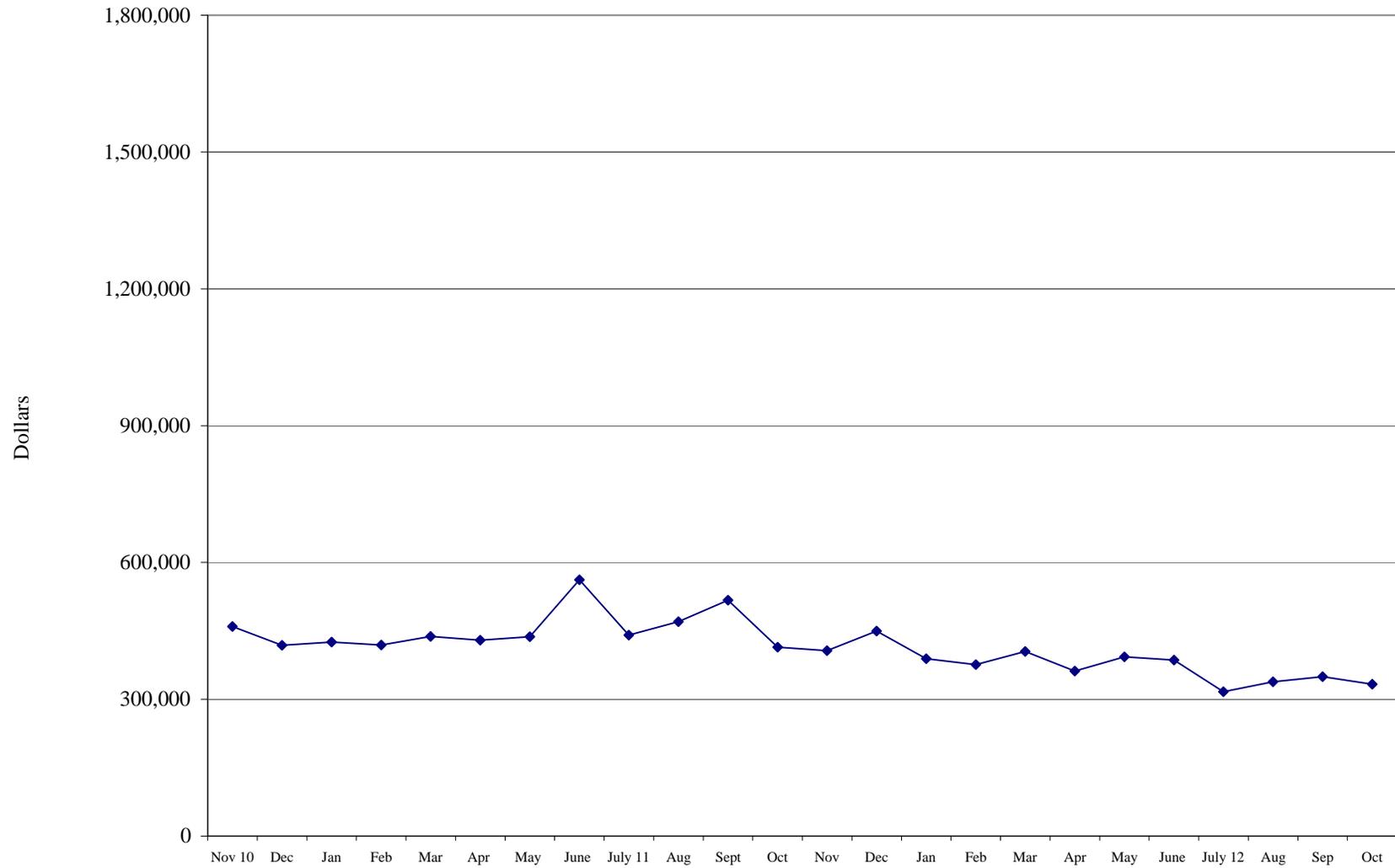
\*\* The market value of Local Agency Investment Fund (LAIF) is calculated annually and is derived from the fair value factor as reported by LAIF for quarter ending June 30 each fiscal year.

\*\*\* The Portfolio and this Investment Report comply with the Investment Policy and the provisions of SB 564 (1995). The Authority has the ability to meet its expenditure requirements for the next six months.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
INTEREST STATEMENT  
OCTOBER 2012**

FY2013	CURRENT MONTH TOTAL	FISCAL YEAR TO DATE TOTAL
JULY	316,822.41	316,822.41
AUGUST	338,213.28	655,035.69
SEPTEMBER	349,362.10	1,004,397.79
OCTOBER	332,798.20	1,337,195.99
NOVEMBER		
DECEMBER		
JANUARY		
FEBRUARY		
MARCH		
APRIL		
MAY		
JUNE		

## SMCTA Interest Income



\* Paratransit interest no longer displayed as corpus has been transferred to SamTrans.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**INTEREST ON INVESTMENTS**  
**October 31, 2012**

DESCRIPTION	TOTAL INVESTMENT 10-31-12	INTEREST RECEIVABLE 9-30-12	INTEREST EARNED 10-31-12	INTEREST RECEIVED 10-31-12	ADJ.	INTEREST RECEIVABLE 10-31-12
LAIF	27,529,014.36	22,200.05	7,946.64	21,705.63	(494.42)	7,946.64
COUNTY POOL	266,235,224.80	496,076.24	171,787.67		(5,621.93)	662,241.98
BANK OF AMERICA	860,665.56	0.00	4.42	4.42		0.00
INVESTMENT PORTFOLIO	<u>148,035,043.81</u>	<u>541,918.15</u>	<u>158,807.58</u>	<u>369,899.66</u>	<u>368.25</u>	<u>331,194.32</u>
	<u>442,659,948.53</u>	<u>1,060,194.44</u>	<u>338,546.31</u>	<u>391,609.71</u>	<u>(5,748.10)</u>	<u>1,001,382.93</u>

**OCTOBER 2012 -- SUMMARY OF INTEREST & CAPITAL GAIN**

Interest Earned Per Report 10/31/12	332,798.20
<b>Add:</b>	
County Pool Adj.	
Misc. Income - Lehman Brothers Recovery	971,712.29
<b>Less:</b>	
Management Fees	(8,212.40)
Amortized Premium/Discount	(165,510.49)
Capital Gain(Loss)	<u>23,435.85</u>
Total Interest & Capital Gain(Loss)	<u>1,154,223.45</u>

**YEAR TO DATE -- SUMMARY**

Interest Earned	1,337,195.99
<b>Add:</b>	
County Pool Adj.	
Misc. Income - Lehman Brothers Recovery	971,712.29
<b>Less:</b>	
Management Fees	(6,014.55)
Amortized Premium/Discount	(165,510.49)
Capital Gain(Loss)	<u>(1,920.42)</u>
Total Interest	<u>2,135,462.82</u>
Balance Per Ledger as of 10/31/12	
Exp. Acct. 530011 - Amort Prem/Disc	(165,510.49)
Int Acct. 409100 - Co. Pool	658,307.07
Int Acct. 409100 - LAIF	29,652.27
Int Acct. 409100 - B of A	30.83
Int Acct. 409101 - Portfolio Funds	643,191.26
Misc. Income Acct. 405240 - Other Non-Transit	971,712.29
Gain(Loss) Acct. 405210	<u>(1,920.42)</u>
	<u>2,135,462.82</u>

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
INVESTMENT PORTFOLIO  
October 31, 2012**

TYPE OF SECURITY	CUSIP #	SETTLE DATE	ORIGINAL PURCHASE PRICE	GASB 31 ADJUSTED 6-30-12	MARKET VALUE 10-31-12	MATURITY DATE	INT RATE	RATE/DAY	APPL. DAYS	INTEREST REC'VBLE 09-30-12	PREPAID INT REC'VBLE 10-31-12	INTEREST EARNED 10-31-12	INTEREST RECEIVED	ADJ.	INTEREST REC'VBLE 10-31-12
<b><u>SECURITIES MANAGED BY INVESTMENT ADVISOR:</u></b>															
<b><u>U.S. TREASURY NOTES AND BONDS</u></b>															
US TREASURY NOTE	912828HQ6	07-29-09	15,462,500.00	15,233,850.00	15,100,800.00	01-31-13	2.875%	1,197.9167	30	72,656.25		35,937.50		390.63	108,984.38
<b><u>U.S. GOVERNMENT AGENCIES</u></b>															
FNMA	3135G0FS7	11-29-11	11,997,600.00	12,006,120.00	12,001,440.00	11-21-13	0.600%	200.0000	30	26,000.00		6,000.00			32,000.00
FHLMC	3134G3AC4	12-06-11	4,990,725.00	5,001,000.00	5,000,950.00	12-06-13	0.600%	83.3333	30	9,583.33		2,500.00			12,083.33
FHLM	3137EACR8	02-08-11	18,901,470.00	19,319,960.00	19,274,550.00	02-25-14	1.375%	725.6944	30	26,125.00		21,770.83			47,895.83
FHLM	3137EACB3	03-30-11	13,932,270.00	14,025,825.00	13,944,420.00	04-23-14	2.500%	937.5000	30	148,125.00		28,125.00	168,750.00		7,500.00
FNMA	3135G0LX9	6-28-12	3,000,900.00	3,003,870.00	3,002,310.00	06-26-15	0.700%	58.3333	30	5,541.67	116.67	1,750.00			7,291.67
FHLMC	3134G3SD3	03-28-12	9,996,000.00	10,052,200.00	10,057,600.00	09-28-15	0.750%	208.3333	30	38,125.00		6,250.00	37,500.00		6,875.00
FNMA	3136G0EJ6	05-03-12	9,996,875.00	10,019,400.00	10,025,300.00	10-30-15	0.750%	208.3333	30	31,458.33		6,250.00	37,500.00		208.33
FHLMC	3134G3UV0	05-23-12	5,000,000.00	5,001,550.00	5,009,400.00	11-23-15	0.750%	104.1667	30	13,333.33		3,125.00			16,458.33
FNMA	3135G0LM3	05-25-12	14,995,500.00	14,997,900.00	15,030,600.00	11-24-15	0.750%	312.5000	30	39,687.50		9,375.00			49,062.50
FNMA	3135G0LT8	06-22-12	3,999,400.00	4,001,560.00	4,008,960.00	11-01-15	0.750%	83.3333	32	11,288.89	2,488.89	2,666.67			13,955.56
FNMA	3135G0MB6	06-28-12	2,999,250.00	2,999,460.00	3,002,520.00	12-28-15	0.750%	62.5000	30	5,812.50		1,875.00			7,687.50
FHLMC	3134G3SE1	03-21-12	12,007,500.00	12,064,200.00	12,082,920.00	03-21-16	1.000%	333.3333	30	3,333.33		10,000.00			13,333.33
FNMA	3135G0KA0	05-03-12	10,030,700.00	10,043,400.00	10,038,000.00	04-26-16	1.125%	312.5000	30	48,437.50		9,375.00	56,250.00		1,562.50
<b><u>U.S. TREASURY INFLATION PROTECTED SECURITIES</u></b>															
US INFLATION INDEXED	912828KM1	01-21-10	10,122,021.25	10,662,069.77	10,689,399.01	04-15-14	1.250%	359.0142	31	59,359.09		11,129.44	64,437.91	(13.34)	6,037.28
<b><u>COLLATERIZED MORTGAGE OBLIGATIONS</u></b>															
FHLB SERIES 00-0606 Y	3133XE5D7	11-21-08	602,332.56	688,065.60	593,023.82	12-28-12	5.270%	89.2713	30	3,051.43		2,678.14	5,461.75	(9.04)	258.78
<b>TOTAL</b>			<b>148,035,043.81</b>	<b>149,120,430.37</b>	<b>148,862,192.83</b>					<b>541,918.15</b>	<b>2,605.56</b>	<b>158,807.58</b>	<b>369,899.66</b>	<b>368.25</b>	<b>331,194.32</b>

12-Nov-12

Weighted Average Interest Rate 1.2876%

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
1/2 CENT SALES TAX RECEIPTS AND PROJECTIONS  
FY2012 & FY2013  
OCTOBER 2012

Approved Budget		Receipts		Over/(Under) Budget/Projection	Current Projection
Date	Amount	Date	Amount		
<b>FY2012:</b>					
1st Quarter	15,680,000	1st Quarter	17,044,298	1,364,298	17,044,298
2nd Quarter	16,562,400	2nd Quarter	18,016,949	1,454,549	18,016,949
3rd Quarter	14,812,600	3rd Quarter	16,477,299	1,664,699	16,477,299
4th Quarter	15,945,000	4th Quarter	17,937,211	1,992,211	17,937,211
<b>FY2012 Total</b>	<b>63,000,000</b>	<b>FY2012 Total</b>	<b>69,475,757</b>	<b>6,475,757</b>	<b>69,475,757</b>
<b>FY2013:</b>					
Jul. 12	4,360,900	Sep. 12	4,903,100	542,200	4,903,100
Aug. 12	4,360,900	Oct. 12	5,242,800	881,900	5,242,800
Sep. 12	5,810,000	Nov. 12		542,200	6,352,200
1st Qtr. Adjustment	1,650,000	Dec. 12		(983,150)	666,850
3 Months Total	16,181,800		10,145,900	983,150	17,164,950
Oct. 12	4,507,000	Dec. 12			4,507,000
Nov. 12	4,507,000	Jan. 13			4,507,000
Dec. 12	6,330,000	Feb. 13			6,330,000
2nd Qtr. Adjustment	1,740,000	Mar. 13		(983,150)	756,850
6 Months Total	33,265,800		10,145,900	-	33,265,800
Jan. 13	4,092,500	Mar. 13			4,092,500
Feb. 13	4,092,500	Apr. 13			4,092,500
Mar. 13	5,580,800	May 13			5,580,800
3rd Qtr. Adjustment	1,516,400	Jun. 13			1,516,400
9 Months Total	48,548,000		10,145,900	-	48,548,000
Apr. 13	4,442,000	Jun. 13			4,442,000
May 13	4,442,000	Jul. 13			4,442,000
Jun. 13	5,923,000	Aug. 13			5,923,000
4th Qtr. Adjustment	1,645,000	Sep. 13			1,645,000
<b>FY2013 Total</b>	<b>65,000,000</b>	<b>FY2013 Total</b>	<b>10,145,900</b>	<b>-</b>	<b>65,000,000</b>
	17,164,950	1st Quarter			
	4,759,283	2nd Quarter			
		3rd Quarter			
		4th Quarter			
	<u>21,924,233</u>	YTD Actual Per Statement of Revenue & Expenses			

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
CASH AND INVESTMENTS AS OF OCTOBER 31, 2012

	<u>10/31/2012</u>
Cash -- Bank of America Checking Account	\$ 860,666
LAIF	27,529,014.36
County Pool	266,235,224.80
Investment Portfolio	148,035,043.81
	<hr/>
Total	<u><u>442,659,948.53</u></u>

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
CHECKS WRITTEN -- OCTOBER 2012

Unit	Reference	Name	Date	Sum Amount	Method	Account	Description
MCTA	000788	PENINSULA CORRIDOR JOINT POWERS BOARD	2012-10-09	80,145.30	WIR	625090	Capital Programs
MCTA	000789	SAN MATEO COUNTY TRANSIT DISTRICT	2012-10-09	1,350,954.11	WIR	210902	Capital Programs
MCTA	000790	MATSUMOTO, KARYL M.	2012-10-09	100.00	WIR	511500	Board of Directors Compensation
MCTA	000791	GROOM, CAROLE	2012-10-09	100.00	WIR	511500	Board of Directors Compensation
MCTA	000792	NAGEL, TERRY	2012-10-09	100.00	WIR	511500	Board of Directors Compensation
MCTA	000793	PENINSULA CORRIDOR JOINT POWERS BOARD	2012-10-15	737,670.74	WIR	625090	Capital Programs
MCTA	000794	PENINSULA CORRIDOR JOINT POWERS BOARD	2012-10-29	14,727.32	WIR	625090	Capital Programs
MCTA	003327	FEHR & PEERS	2012-10-01	3,904.83	CHK	625050	Capital Programs
MCTA	003328	FEHR & PEERS	2012-10-01	26,751.55	CHK	525050	Capital Programs
MCTA	003329	HANSON, BRIDGETT, MARCUS, VLAHOS & RUDY	2012-10-01	15,052.00	CHK	525010	Legal Services
MCTA	003330	DMJM HARRIS/MARK THOMAS JV	2012-10-09	36,372.15	CHK	625090	Capital Programs
MCTA	003331	FOUST, ROSANNE	2012-10-09	100.00	CHK	511500	Board of Directors Compensation
MCTA	003332	PATRIDGE, NAOMI	2012-10-09	100.00	CHK	511500	Board of Directors Compensation
MCTA	003333	RICHARDSON, SEPI	2012-10-09	100.00	CHK	511500	Board of Directors Compensation
MCTA	003334	SAN MATEO COUNTY TAX COLLECTOR	2012-10-09	86.30	CHK	530110	Property Taxes
MCTA	003335	ATKINS NORTH AMERICA, INC	2012-10-15	46,819.63	CHK	625050	Capital Programs
MCTA	003336	GROOM, CAROLE	2012-10-15	100.00	CHK	511500	Board of Directors Compensation
MCTA	003337	HOLLAND & KNIGHT LLP	2012-10-15	5,000.00	CHK	525030	Legislative Advocate
MCTA	003338	HURLEY, JOSEPH	2012-10-15	73.45	CHK	522030	Business Travel
MCTA	003339	JACOBS ENGINEERING GROUP, INC.	2012-10-15	2,427.38	CHK	625050	Capital Programs
MCTA	003340	MATSUMOTO, KARYL M.	2012-10-15	100.00	CHK	511500	Board of Directors Compensation
MCTA	003341	NAGEL, TERRY	2012-10-15	100.00	CHK	511500	Board of Directors Compensation
MCTA	003342	SHAW/YODER & ANTWIH, INC.	2012-10-15	3,795.00	CHK	525030	Legislative Advocate
MCTA	003343	FEHR & PEERS	2012-10-22	23,674.71	CHK	625050	Capital Programs
MCTA	003344	FOCUS ON THE FUTURE	2012-10-22	525.00	CHK	522010	Seminar and Training
MCTA	003345	JACOBS ENGINEERING GROUP, INC.	2012-10-22	2,524.33	CHK	625050	Capital Programs
MCTA	003346	S3, INC.	2012-10-22	1,795.85	CHK	525050	Seminar and Training
MCTA	003347	ESSENCE PRINTING, INC.	2012-10-29	57.37	CHK	523050	Printing and Information Svcs
MCTA	003348	HANSON, BRIDGETT, MARCUS, VLAHOS & RUDY	2012-10-29	15,329.50	CHK	525010	Legal Services
MCTA	003349	HOLLAND & KNIGHT LLP	2012-10-29	5,000.00	CHK	525030	Legislative Advocate
MCTA	003350	MR. AND MRS. ROBERT WADELL	2012-10-29	5,000.00	CHK	625090	Capital Programs
MCTA	003351	NORTH AMERICAN TITLE	2012-10-29	45,670.00	CHK	625090	Capital Programs
MCTA	003352	URS CORPORATION	2012-10-29	303,147.27	CHK	625090	Capital Programs
				<u>2,727,403.79</u>			

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: Gigi Harrington  
Deputy CEO

SUBJECT: **INFORMATION ON STATEMENT OF REVENUES AND EXPENDITURES  
FOR THE PERIOD ENDING NOVEMBER 30, 2012**

**ACTION**

Staff proposes that the Board accept and enter into the record the Statement of Revenues and Expenditures for the month of November 2012 and supplemental information.

**SIGNIFICANCE**

**Revenues:** Year-to-date *Total Revenue* (\$31,421,887 - line 7) is **better** than staff projections by \$3,011,673 or 10.6 percent. *Grant Proceeds* (\$1,786,662 - line 5) is \$1,718,218 or 2,510.4 percent **better** than staff projections due to a portion of the \$4.2 million in State Transportation Improvement Program (STIP) funds for the Broadway Interchange project that were originally budgeted in Fiscal Year 2011.

*Total Revenue* (\$31,421,887 - line 7) is \$3,187,858 or 11.3 percent **better** than prior year performance. *Sales Tax* (\$26,714,400 - line 1) which is \$1,218,933 or 4.8 percent **better** than prior year is offset by *Interest Income* (\$1,479,635 - line 2) which is \$401,717 or 21.4 percent **worse**.

**Expenditures:** *Total Administrative* (\$256,060 - line 22) is **better** than staff projections by \$74,483 or 22.5 percent and also **better** than prior year actual by \$77,799 or 23.3 percent. Within total administrative, *Staff Support* (\$163,985 - line 18) is \$48,730 or 22.9 percent **better** than staff projections.

**Budget Amendment:** The revised budget per Board Resolution 2012-23 amends Program Expenditures for the Alpine Road Bicycle Safety Improvement Project by \$61,000, which is reflected in the November 2012 Statement of Revenues and Expenditures.

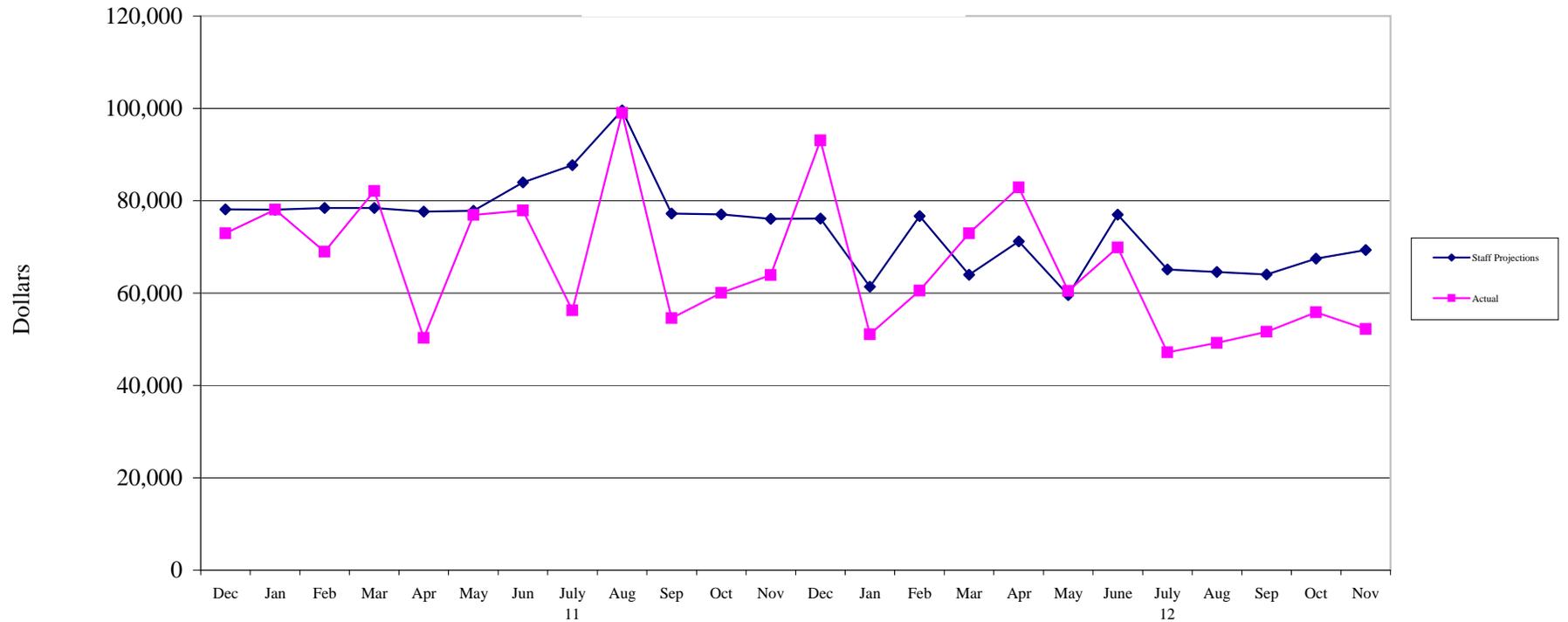
**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**STATEMENT OF REVENUES AND EXPENDITURES**  
**Fiscal Year 2013**  
**November 2012**

% OF YEAR ELAPSED: 41.7%

	MONTH	YEAR TO DATE				ANNUAL		
	CURRENT ACTUAL	PRIOR ACTUAL	CURRENT ACTUAL	STAFF PROJECTION	% OF PROJ	ADOPTED BUDGET*	STAFF PROJECTION**	% OF PROJ
<b>REVENUES:</b>								
1 Sales Tax	4,790,167	25,495,466	26,714,400	26,355,800	101.4%	65,000,000	65,000,000	40.5%
2 Interest Income	315,885	1,881,352	1,479,635	1,520,920	97.3%	4,022,150	4,022,150	37.8%
3 Miscellaneous Income	0	0	971,712 (A)	0	0.0%	0	0	0.0%
4 Rental Income	94,261	459,111	469,477	465,050	101.0%	1,116,180	1,116,180	42.1%
5 Grant Proceeds	355,202	398,100	1,786,662 (B)	68,444	2610.4%	0	308,000	580.1%
6								
7 <b>TOTAL REVENUE</b>	<b>5,555,515</b>	<b>28,234,029</b>	<b>31,421,887</b>	<b>28,410,214</b>	<b>110.6%</b>	<b>70,138,330</b>	<b>70,446,330</b>	<b>44.6%</b>
8								
<b>EXPENDITURES:</b>								
11 Annual Allocations	1,748,411	9,881,910	9,750,756	9,619,866	101.4%	23,725,000	23,725,000	41.1%
13 Program Expenditures	1,289,986	5,689,486	12,060,442	31,232,585	38.6%	73,175,646	76,544,646	15.8%
15 Oversight	13,150	159,568	92,736	202,083	45.9%	485,000	485,000	19.1%
17 Administrative								
18 Staff Support	31,014	191,577	163,985	212,715	77.1%	634,125	634,125	25.9%
19 Measure A Info-Others	-	982	-	-	0.0%	16,500	16,500	0.0%
20 Other Admin Expenses	21,190	141,301	92,075	117,828	78.1%	333,959	333,959	27.6%
22 Total Administrative	52,204	333,860	256,060	330,543	77.5%	984,584	984,584	26.0%
23								
24 <b>TOTAL EXPENDITURES</b>	<b>3,103,751</b>	<b>16,064,824</b>	<b>22,159,995 (1)</b>	<b>41,385,078</b>	<b>53.5%</b>	<b>98,370,230</b>	<b>101,739,230</b>	<b>21.8%</b>
25								
26 <b>EXCESS (DEFICIT)</b>	<b>2,451,763</b>	<b>12,169,205</b>	<b>9,261,892</b>	<b>(12,974,864)</b>		<b>(28,231,900)</b>	<b>(31,292,900)</b>	
27								
28 <b>BEGINNING FUND BALANCE</b>	<b>Not Applicable</b>	<b>421,881,503</b>	<b>444,581,025</b>	<b>422,021,139</b>		<b>422,021,139</b>	<b>422,021,139</b>	
29								
30 <b>ENDING FUND BALANCE</b>	<b>Not Applicable</b>	<b>434,050,708</b>	<b>453,842,917 (2)</b>	<b>409,046,275</b>		<b>393,789,239</b>	<b>390,728,239</b>	
31								
32								
33 Includes the following balances:								
34 Cash and Liquid Investments		531,249					303,355,309	
35 Current Committed Fund Balance		382,934,544 (3)					98,370,230	
36 Undesignated Cash & Net Receivable		70,377,123					308,000	
37 Total		<u>453,842,917 (2)</u>					3,000,000	
38							61,000	
39							(22,159,995) (1)	
40							<u>382,934,544 (3)</u>	
41 "% OF YEAR ELAPSED" provides a general measure for evaluating overall progress								
42 against the annual budget. When comparing it to the amounts shown in the								
43 "% of PROJECT" column, please note that individual line items reflect variations								
44 due to seasonal activities during the year.								
45								
46 * The TA Adopted Budget is the Board adopted budget effective June 7, 2012.								
47 ** The TA Staff Projection is the adopted budget including year to date budget transfers.								
48								
49 (A) Unbudgeted recovery payout from Lehman Brothers bankruptcy.								
50 (B) Actual Grant Proceeds include a portion of the \$4.2 million in State								
51 Transportation Improvement Program (STIP) funds for the Broadway								
52 Interchange project that were originally budgeted in Fiscal Year 2011.								
53								
54								

12/17/12 12:45 PM

## SMCTA Administrative Expenses



### Current Year Data

	Jul '12	Aug '12	Sep '12	Oct '12	Nov '12	Dec '12	Jan '13	Feb '13	Mar '13	Apr '13	May '13	Jun '13
<b>MONTHLY EXPENSES</b>												
Staff Projections	65,111	64,548	64,047	67,471	69,366	0	0	0	0	0	0	0
Actual	47,161	49,198	51,630	55,867	52,204	0	0	0	0	0	0	0
<b>CUMULATIVE EXPENSES</b>												
Staff Projections	65,111	129,659	193,707	261,177	330,543							
Actual	47,161	96,359	147,989	203,857	256,060							
Variance-F(U)	17,950	33,300	45,718	57,321	74,483	0	0	0	0	0	0	0
Variance %	27.57%	25.68%	23.60%	21.95%	22.53%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%



Board of Directors 2012

Carole Groom, Chair  
 Karyl Matsumoto, Vice Chair  
 Rosanne Foust  
 Don Horsley  
 Terry Nagel  
 Naomi Patridge  
 Sepi Richardson

Michael J. Scanlon  
 Executive Director

## SAN MATEO COUNTY TRANSPORTATION AUTHORITY

## CAPITAL PROJECT RESERVES

AS OF NOVEMBER, 2012

TYPE OF SECURITY		MATURITY DATE	INTEREST RATE	PURCHASE PRICE	MARKET VALUE
County Pool #3	*	Liquid Cash	0.880%	\$ 265,526,046	\$ 265,526,046
Local Agency Investment Fund	**	Liquid Cash	0.324%	\$ 39,529,014	\$ 39,529,014
Investment Portfolio	***	Liquid Cash	1.288%	\$ 136,013,278	\$ 136,784,857
Other		Liquid Cash	0.010%	\$ 531,249	\$ 531,249
				<u>\$ 441,599,588</u>	<u>\$ 442,371,166</u>

Accrued Earnings for November 2012 \$ 354,244.74  
 Cumulative Earnings FY2013 \$ 1,691,440.73

\* County Pool average yield for the month ending November 30, 2012 was 0.880%. As of November 2012, the amortized cost of the Total Pool was \$2,648,847,013.60 and the fair market value per San Mateo County Treasurer's Office was \$2,662,463,315.75.

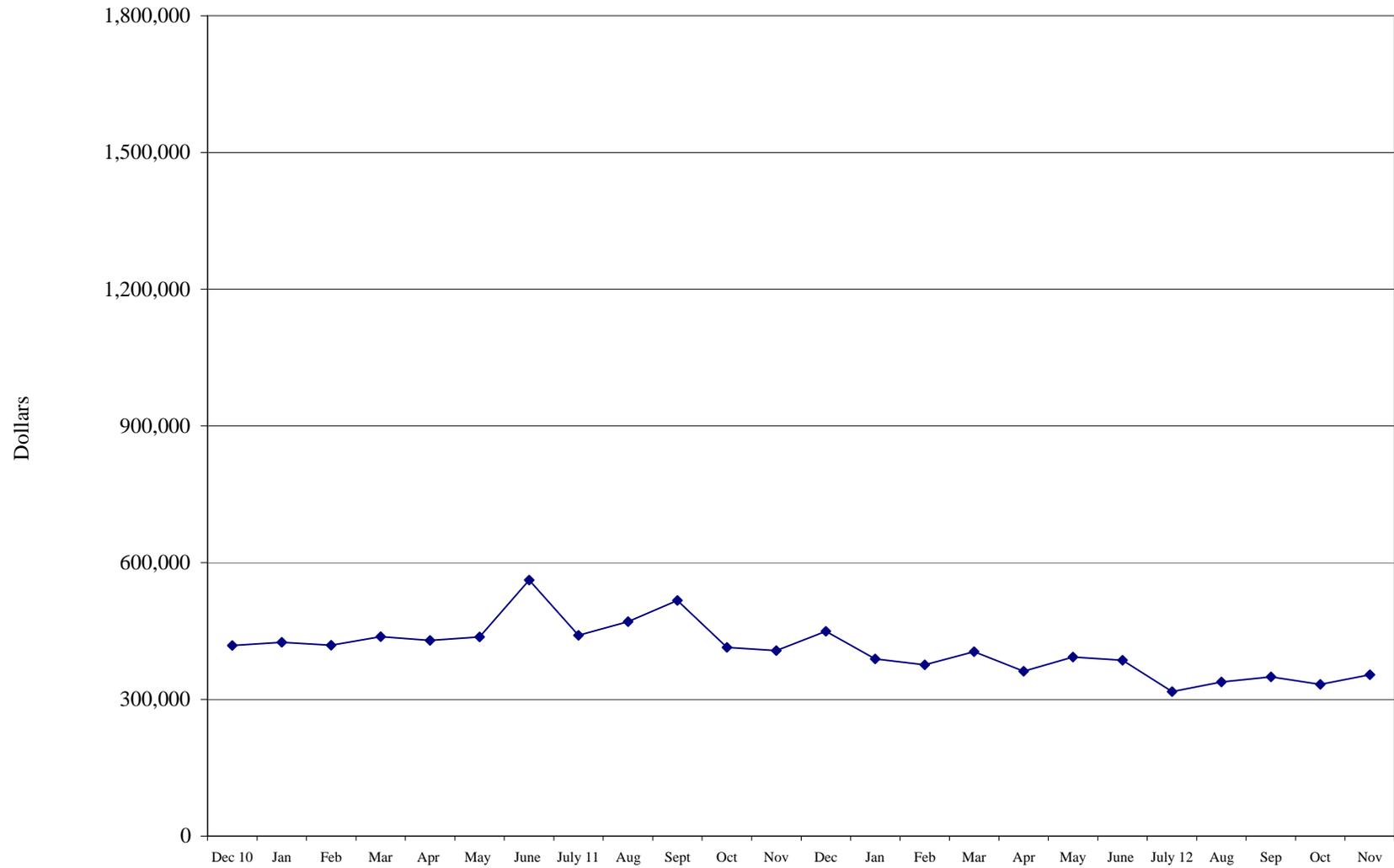
\*\* The market value of Local Agency Investment Fund (LAIF) is calculated annually and is derived from the fair value factor as reported by LAIF for quarter ending June 30 each fiscal year.

\*\*\* The Portfolio and this Investment Report comply with the Investment Policy and the provisions of SB 564 (1995). The Authority has the ability to meet its expenditure requirements for the next six months.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
INTEREST STATEMENT  
OCTOBER 2012**

FY2013	CURRENT MONTH TOTAL	FISCAL YEAR TO DATE TOTAL
JULY	316,822.41	316,822.41
AUGUST	338,213.28	655,035.69
SEPTEMBER	349,362.10	1,004,397.79
OCTOBER	332,798.20	1,337,195.99
NOVEMBER	354,244.74	1,691,440.73
DECEMBER		
JANUARY		
FEBRUARY		
MARCH		
APRIL		
MAY		
JUNE		

## SMCTA Interest Income



\* Paratransit interest no longer displayed as corpus has been transferred to SamTrans.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**INTEREST ON INVESTMENTS**  
**November 30, 2012**

DESCRIPTION	TOTAL INVESTMENT 11-30-12	INTEREST RECEIVABLE 10-31-12	INTEREST EARNED 11-30-12	INTEREST RECEIVED 11-30-12	ADJ.	INTEREST RECEIVABLE 11-30-12
LAIF	39,529,014.36	7,946.64	8,396.22			16,342.86
COUNTY POOL	265,526,045.77	171,787.67	190,323.77			362,111.43
BANK OF AMERICA	531,249.25	0.00	0.00			0.00
INVESTMENT PORTFOLIO	<u>136,013,278.41</u>	<u>331,194.32</u>	<u>156,136.15</u>	<u>129,587.83</u>	<u>(611.40)</u>	<u>357,131.24</u>
	<u>441,599,587.79</u>	<u>510,928.62</u>	<u>354,856.14</u>	<u>129,587.83</u>	<u>(611.40)</u>	<u>735,585.53</u>

**NOVEMBER 2012 -- SUMMARY OF INTEREST & CAPITAL GAIN**

Interest Earned Per Report 11/30/12	354,244.74
<b>Add:</b>	
Misc. Income - Lehman Brothers Recovery	
<b>Less:</b>	
Management Fees	(6,230.14)
Amortized Premium/Discount	(32,857.50)
Capital Gain(Loss)	<u>727.62</u>
Total Interest & Capital Gain(Loss)	<u><u>315,884.72</u></u>

**YEAR TO DATE -- SUMMARY**

Interest Earned	1,691,440.73
<b>Add:</b>	
Misc. Income - Lehman Brothers Recovery	971,712.29
<b>Less:</b>	
Management Fees	(12,244.69)
Amortized Premium/Discount	(198,367.99)
Capital Gain(Loss)	<u>(1,192.80)</u>
Total Interest	<u><u>2,451,347.54</u></u>

**Balance Per Ledger as of 11/30/12**

Exp. Acct. 530011 - Amort Prem/Disc	(198,367.99)
Int Acct. 409100 - Co. Pool	848,630.84
Int Acct. 409100 - LAIF	38,048.49
Int Acct. 409100 - B of A	30.83
Int Acct. 409101 - Portfolio Funds	792,485.87
Misc. Income Acct. 405240 - Other Non-Transit	971,712.29
Gain(Loss) Acct. 405210	<u>(1,192.80)</u>
	<u><u>2,451,347.54</u></u>

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
INVESTMENT PORTFOLIO  
November 30, 2012

TYPE OF SECURITY	CUSIP #	SETTLE DATE	ORIGINAL PURCHASE PRICE	GASB 31 ADJUSTED 6-30-12	MARKET VALUE 11-30-12	MATURITY DATE	INT RATE	RATE/ DAY	APPL. DAYS	INTEREST REC'VBLE 10-31-12	PREPAID INT REC'VBLE 11-30-12	INTEREST EARNED 11-30-12	INTEREST RECEIVED	ADJ.	INTEREST REC'VBLE 11-30-12
<u>SECURITIES MANAGED BY INVESTMENT ADVISOR:</u>															
<u>U.S. TREASURY NOTES AND BONDS</u>															
US TREASURY NOTE	912828HQ6	07-29-09	15,462,500.00	15,233,850.00	15,067,350.00	01-31-13	2.875%	1,197.9167	30	108,984.38		35,937.50		(781.25)	144,140.63
<u>U.S. GOVERNMENT AGENCIES</u>															
FNMA	3135G0FS7	11-29-11	11,997,600.00	12,006,120.00	12,001,440.00	11-21-13	0.600%	200.0000	20	32,000.00		4,000.00	36,000.00		0.00
FHLMC	3134G3AC4	12-06-11	4,990,725.00	5,001,000.00	5,000,050.00	12-06-13	0.600%	83.3333	30	12,083.33		2,500.00			14,583.33
FHLM	3137EACR8	02-08-11	18,901,470.00	19,319,960.00	19,263,340.00	02-25-14	1.375%	725.6944	30	47,895.83		21,770.83		0.01	69,666.67
FHLM	3137EACB3	03-30-11	13,932,270.00	14,025,825.00	13,924,845.00	04-23-14	2.500%	937.5000	30	7,500.00		28,125.00			35,625.00
FNMA	3135G0LX9	6-28-12	3,000,900.00	3,003,870.00	3,000,960.00	06-26-15	0.700%	58.3333	30	7,291.67	116.67	1,750.00			9,041.67
FHLMC	3134G3SD3	03-28-12	9,996,000.00	10,052,200.00	10,061,400.00	09-28-15	0.750%	208.3333	30	6,875.00		6,250.00			13,125.00
FNMA	3136G0EJ6	05-03-12	9,996,875.00	10,019,400.00	10,022,600.00	10-30-15	0.750%	208.3333	30	208.33		6,250.00			6,458.33
FHLMC	3134G3UV0	05-23-12	5,000,000.00	5,001,550.00	5,010,350.00	11-23-15	0.750%	104.1667	30	16,458.33		3,125.00	18,750.00		833.33
FNMA	3135G0LM3	05-25-12	14,995,500.00	14,997,900.00	15,031,200.00	11-24-15	0.750%	312.5000	30	49,062.50		9,375.00	56,250.00		2,187.50
FNMA	3135G0LT8	06-22-12	3,999,400.00	4,001,560.00	4,008,880.00	11-01-15	0.750%	83.3333	30	13,955.56		2,500.00	16,000.00	166.66	622.22
FNMA	3135G0MB6	06-28-12	2,999,250.00	2,999,460.00	3,001,110.00	12-28-15	0.750%	62.5000	30	7,687.50		1,875.00			9,562.50
FHLMC	3134G3SE1	03-21-12	12,007,500.00	12,064,200.00	12,093,000.00	03-21-16	1.000%	333.3333	30	13,333.33		10,000.00			23,333.33
FNMA	3135G0KA0	05-03-12	10,030,700.00	10,043,400.00	10,032,900.00	04-26-16	1.125%	312.5000	30	1,562.50		9,375.00			10,937.50
<u>U.S. TREASURY INFLATION PROTECTED SECURITIES</u>															
US INFLATION INDEXED	912828KM1	01-21-10	10,122,021.25	10,662,069.77	10,699,308.72	04-15-14	1.250%	360.6273	30	6,037.28		10,818.82		(90.27)	16,765.83
<u>COLLATERIZED MORTGAGE OBLIGATIONS</u>															
FHLB SERIES 00-0606 Y	3133XE5D7	11-21-08	578,167.16	688,065.60	567,563.31	12-28-12	5.270%	82.8001	30	258.78		2,484.00	2,587.83	93.45	248.40
MATURED/CALLED															
FNMA	3135G0FS7	11-29-11	(11,997,600.00)	(12,006,120.00)	(12,001,440.00)										
TOTAL			136,013,278.41	137,114,310.37	136,784,857.03					331,194.32	116.67	156,136.15	129,587.83	(611.40)	357,131.24

12-Dec-12

Weighted Average Interest Rate 1.2876%

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
1/2 CENT SALES TAX RECEIPTS AND PROJECTIONS  
FY2012 & FY2013  
NOVEMBER 2012

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Approved Budget		Receipts		Over/(Under)	Current
Date	Amount	Date	Amount	Budget/Projection	Projection
<b>FY2012:</b>					
1st Quarter	15,680,000	1st Quarter	17,044,298	1,364,298	17,044,298
2nd Quarter	16,562,400	2nd Quarter	18,016,949	1,454,549	18,016,949
3rd Quarter	14,812,600	3rd Quarter	16,477,299	1,664,699	16,477,299
4th Quarter	15,945,000	4th Quarter	17,937,211	1,992,211	17,937,211
<b>FY2012 Total</b>	<b>63,000,000</b>	<b>FY2012 Total</b>	<b>69,475,757</b>	<b>6,475,757</b>	<b>69,475,757</b>
<b>FY2013:</b>					
Jul. 12	4,360,900	Sep. 12	4,903,100	542,200	4,903,100
Aug. 12	4,360,900	Oct. 12	5,242,800	881,900	5,242,800
Sep. 12	5,810,000	Nov. 12	6,537,500	727,500	6,537,500
1st Qtr. Adjustment	1,650,000	Dec. 12		(1,075,800)	574,200
3 Months Total	16,181,800		16,683,400	1,075,800	17,257,600
Oct. 12	4,507,000	Dec. 12		-	4,507,000
Nov. 12	4,507,000	Jan. 13		-	4,507,000
Dec. 12	6,330,000	Feb. 13		-	6,330,000
2nd Qtr. Adjustment	1,740,000	Mar. 13		(1,075,800)	664,200
6 Months Total	33,265,800		16,683,400	-	33,265,800
Jan. 13	4,092,500	Mar. 13			4,092,500
Feb. 13	4,092,500	Apr. 13			4,092,500
Mar. 13	5,580,800	May 13			5,580,800
3rd Qtr. Adjustment	1,516,400	Jun. 13			1,516,400
9 Months Total	48,548,000		16,683,400	-	48,548,000
Apr. 13	4,442,000	Jun. 13			4,442,000
May 13	4,442,000	Jul. 13			4,442,000
Jun. 13	5,923,000	Aug. 13			5,923,000
4th Qtr. Adjustment	1,645,000	Sep. 13			1,645,000
<b>FY2013 Total</b>	<b>65,000,000</b>	<b>FY2013 Total</b>	<b>16,683,400</b>	<b>-</b>	<b>65,000,000</b>
	17,257,600	1st Quarter			
	9,456,800	2nd Quarter			
		3rd Quarter			
		4th Quarter			
	<u>26,714,400</u>	YTD Actual Per Statement of Revenue & Expenses			

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
CASH AND INVESTMENTS AS OF NOVEMBER 30, 2012

	<u>11/30/2012</u>
Cash -- Bank of America Checking Account	\$ 531,249
LAIIF	39,529,014.36
County Pool	265,526,045.77
Investment Portfolio	136,013,278.41
	<hr/>
Total	<u><u>441,599,587.79</u></u>

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
CHECKS WRITTEN -- NOVEMBER 2012

Unit	Reference	Name	Date	Sum Amount	Method	Description
4CTA	000795	DEPARTMENT OF TRANSPORTATION	2012-11-12	3,655,333.57	WIR	Capital Programs
4CTA	000796	SAN MATEO COUNTY TRANSIT DISTRICT	2012-11-12	691,778.67	WIR	Capital Programs
4CTA	000797	MATSUMOTO, KARYL M.	2012-11-12	100.00	WIR	Board of Directors Compensation
4CTA	000798	GROOM, CAROLE	2012-11-12	100.00	WIR	Board of Directors Compensation
4CTA	000799	HORSLEY, DONALD	2012-11-12	100.00	WIR	Board of Directors Compensation
4CTA	000800	PENINSULA CORRIDOR JOINT POWERS BOARD	2012-11-19	583,022.54	WIR	Capital Programs
4CTA	000801	SAN MATEO COUNTY TRANSIT DISTRICT	2012-11-27	724,518.30	WIR	Capital Programs
4CTA	003353	CITY/COUNTY ASSOCIATION OF GOVERNMENTS	2012-11-05	148,348.34	CHK	Capital Programs
4CTA	003354	DMJM HARRIS/MARK THOMAS JV	2012-11-05	38,829.59	CHK	Capital Programs
4CTA	003355	FOCUS ON THE FUTURE	2012-11-05	575.00	CHK	Seminar and Training
4CTA	003356	GREEN CARPET LANDSCAPING	2012-11-05	1,205.00	CHK	Grounds Maintenance Service
4CTA	003357	FEHR & PEERS	2012-11-12	16,632.57	CHK	Capital Programs
4CTA	003358	FOUST, ROSANNE	2012-11-12	100.00	CHK	Board of Directors Compensation
4CTA	003359	JACOBS ENGINEERING GROUP, INC.	2012-11-12	2,906.38	CHK	Capital Programs
4CTA	003360	PATRIDGE, NAOMI	2012-11-12	100.00	CHK	Board of Directors Compensation
4CTA	003361	RICHARDSON, SEPI	2012-11-12	100.00	CHK	Capital Programs
4CTA	003362	SF BAY AREA WATER EMERGENCY TRANS AUTH	2012-11-12	667,382.23	CHK	Capital Programs
4CTA	003363	CHAN, APRIL	2012-11-19	495.43	CHK	Business Travel
4CTA	003364	FEHR & PEERS	2012-11-19	17,573.29	CHK	Capital Programs
4CTA	003365	HOLLAND & KNIGHT LLP	2012-11-19	5,000.00	CHK	Capital Programs
4CTA	003366	HURLEY, JOSEPH	2012-11-19	696.81	CHK	Business Travel
4CTA	003367	SHAW/YODER & ANTWHI, INC.	2012-11-27	3,795.00	CHK	Legislative Advocate
				6,558,692.72		

**Summary of San Mateo County Transit District's  
Committee and Board of Directors Meeting of  
November 14, 2012**

The Community Relations Committee and Board

Accessibility Coordinator Tina Dubost reported there was an Easter Seals training class earlier this month to teach the Senior Mobility Ambassadors travel training techniques to further help seniors use the fixed-route service.

PCC Member Aki Emijen said the Interactive Voice Response system has 99 percent accuracy for night before pick-up calls and testing for the imminent arrivals will begin soon.

Deputy CEO Chuck Harvey presented the Mobility Management Report: End-of-Year Performance (attached).

Average weekday ridership for all modes for September 2012 compared to September 2011 was 110,372, an increase of 7.7 percent.

The Finance Committee and Board

The Board:

- Approved the Statement of Revenues and Expenses for June 2012 (unaudited).
- Approved the Statement of Revenues and Expenses for September 2012.
- Approved the Quarterly Investment Report and Fixed Income Market Review and Outlook for the Quarter Ended September 30, 2012.
- Authorized an Increase to the Fiscal Year 2013 Capital Budget by \$5,061,388 for the Replacement of 62 Gillig Buses.
- Authorized Acceptance of a Grant from the Silicon Valley Community Foundation in the Amount of \$40,000 for the Proposed Grand Boulevard Campaign Project and Increase the Fiscal Year 2013 Operating Budget to \$131,807,917.
- Authorized Adoption of Conflict of Interest Code.
- Authorized Disposition of 17 Surplus Gillig Buses.
- Authorized Award of Contract to Wells Fargo Insurance Services USA, Inc. for Insurance Brokerage Services for a Not-to-Exceed Amount of \$405,000 for a Five-year Term.
- Authorized Award of Contract to Electronic Data Magnetics to Provide Printing and Delivery of Magnetic Tickets for an Estimated Amount of \$129,372 for a Five-year Term.
- Authorized Award of Contract to G4S Secure Solutions (USA), Inc. for Security Officer Services for an Estimated Cost of \$5,293,073 for a Three-year Term.
- Authorized Award of Contract to Commercial Environment Landscape to Furnish Landscape and Ground Maintenance for a Total Not-to-Exceed Amount of \$397,260 for a Three-year Base Term.
- Authorized Award of Contract to MV Transportation, Inc. to Provide Contracted Urban Bus (CUB) Service for a Total Estimated Cost of \$60,970,718 for a Four-year Base Term.

**Summary of San Mateo County Transit District's  
Committee and Board of Directors Meeting of  
November 14, 2012**

- Authorized Amendment to Extend the Contract with Diversified Maintenance Services, Inc. for Providing Building Maintenance Services at a Cost of \$460,000 from \$2,423,727 up to \$2,883,727 on a Month-to-Month Basis.
- Authorized Amendment to On-call Transportation Planning and Program Support Services Contracts with CDM Smith, Inc., Fehr & Peers and HNTB Corporation by an Estimated Aggregate Amount Not-to-Exceed by \$1,800,000 from \$6,000,000 to \$7,800,000.
- Authorized Amendment to On-call Environmental Planning Consulting Services Contracts with The Louis Berger Group, Inc. and Jones and Stokes Associates, Inc. by an Estimated Aggregate Amount Not-to-Exceed Amount by \$225,000 from \$750,000 to \$975,000.

The Legislative Committee and Board

San Mateo County approved Measure A, the half-cent general purpose sales tax that will raise \$60 million annually. The San Mateo County Board of Supervisors will need to go through a process to determine how these funds can best address some of the most critical issues facing the county.

The Federal delegation will remain the same. There are new members of the State delegation for San Mateo County. Kevin Mullin was elected to the State Assembly to represent the 22<sup>nd</sup> District and he will be joined by Phil Ting who represents San Francisco and a portion of Daly City. Assemblyman Jerry Hill is not a new member of the delegation, but a new member of the State Senate, representing southern San Mateo County. All the new members will be sworn in on December 3.

The Federal level remains status quo. The Democrats retained the presidency and expanded their hold on the Senate slightly and gained some ground in the House. This is important because recently transportation infrastructure used to be a bipartisan issue and there have been differences in opinion between the two parties.

The Democrats will control two-thirds of the State Legislature and will have the ability to increase revenues, make reforms over tax measures, local ballot measures, voter approval thresholds and a number of different issues. The governor is very cautious about moving forward with any revenue measures as a result of this new supermajority in the Legislature.

The Federal level will see some committee reshuffling in Congress. The most significant potential reshuffling is Representative Bill Schuster from Pennsylvania is likely to take over as chairman of the House Transportation and Infrastructure Committee. Senator Barbara Boxer will retain the chairmanship of the Environment and Public Works Committee.

**Summary of San Mateo County Transit District's  
Committee and Board of Directors Meeting of  
November 14, 2012**

Board of Directors

Authorized Discontinuing Participation in the BART Plus Ticket Program.

Authorized Elimination of Select Underutilized Fixed-route Trips:

- Route 36 at 3:14 p.m.
- Route 72 at 3:30 p.m.

General Manager/CEO Michael Scanlon reported:

- All bus head signs were programed with "We Salute Our Veterans" on November 11 and 12.
- Public outreach for the SamTrans Service Plan (SSP) ended in October. There were nine public meetings, 16 city council presentations, four community college events and three senior center fair events. Staff has met, spoke or received comments from over 500 people. There was a broad range of comments, but most comments were about Route 292. Mr. Scanlon thanked Directors Carole Groom, Karyl Matsumoto, Zoe Kersteen-Tucker, CAC members Peter Ratto, Sonny Koya and Andy Chow for attending meetings. The Board Advisory Committee will be meeting in December and staff hopes to have a final plan released in January for public comment. There will be a public hearing in February and Board action in March.
- The event for the Devil's Slide Tunnel opening has been pushed to the first quarter of 2013.
- Runbook 110 will be effective in January.
- A class of part-time operators started on November 2 and this brings the total of part-time operators up to 17.
- Accessible Services staff is doing outreach with the Lighthouse for the Blind to check for accuracy on stripe maps, bus routes and train schedules done in braille.
- A new five-year contract for the Coastside services started in November.
- Contracted bus operators did a great job for the Giants Parade service.
- Miles between service calls on fixed-routes in October was over 30,000.

The Board met with legal counsel in closed session to discuss the performance evaluation of Mr. Scanlon.

The next meeting of the Board is scheduled for Wednesday, December 12, 2012 at 2 p.m.

The samTrans logo, featuring the word "samTrans" in a bold, sans-serif font. The "a" and "m" are lowercase, while "T" and "r" are uppercase. Below the text are two horizontal bars: a red one on top and a blue one on the bottom.

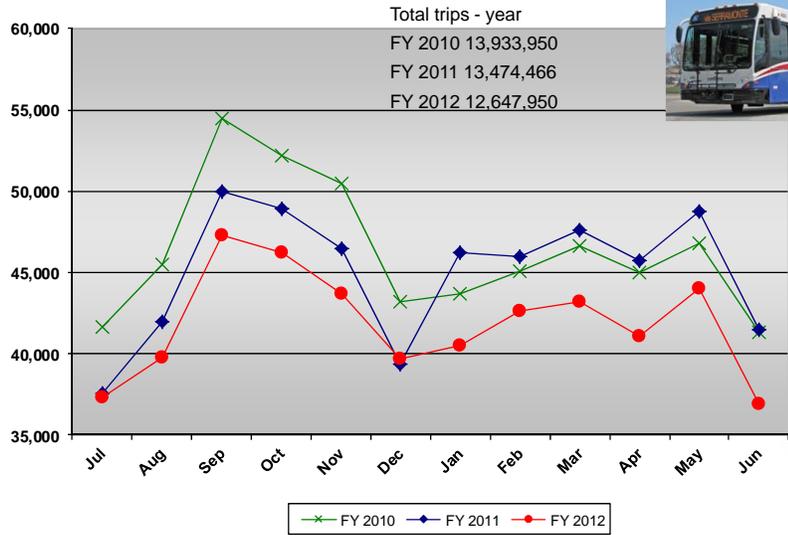
# End-of-Year Performance Report FY2012

San Francisco November 14, 2012

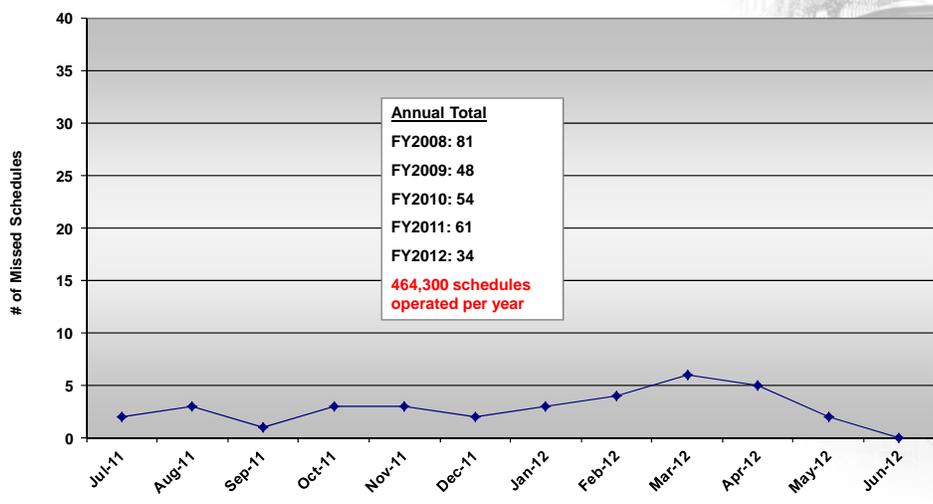
The samTrans logo, featuring the word "samTrans" in a bold, sans-serif font. The "a" and "m" are lowercase, while "T" and "r" are uppercase. Below the text are two horizontal bars: a red one on top and a blue one on the bottom.The Shuttles logo, featuring the word "Shuttles" in a bold, sans-serif font. The "S" is uppercase, and the rest are lowercase. The logo is set against a blue rectangular background.

- Major Statistics for Each Mode
  - Ridership and Performance Summary
  - FY2012 Highlights
  - FY2013 Preview
- Human Capital
- Sustainability
- Total system ridership

# Fixed-route Service - AWR

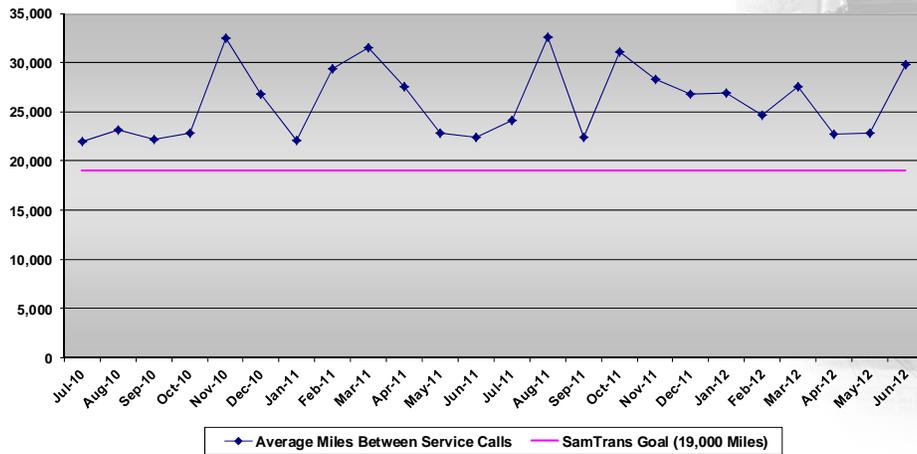


# Missed Schedules



## Fleet Reliability

samTrans



## FY2012 Highlights

samTrans

- Fleet reliability remains very high
- Ridership is continuing to decline
- Expanded data sources/methods for analyzing OTP, utilizing GPS technology
- Developed new weekend ECR Route service
- Participated in MTC Transit Sustainability Project
- Successfully negotiated ATU1574 contract, term is through 6/30/14
- Successfully negotiated Teamsters first contract, term is through 9/30/14
- Renewed CUB fixed-route contract

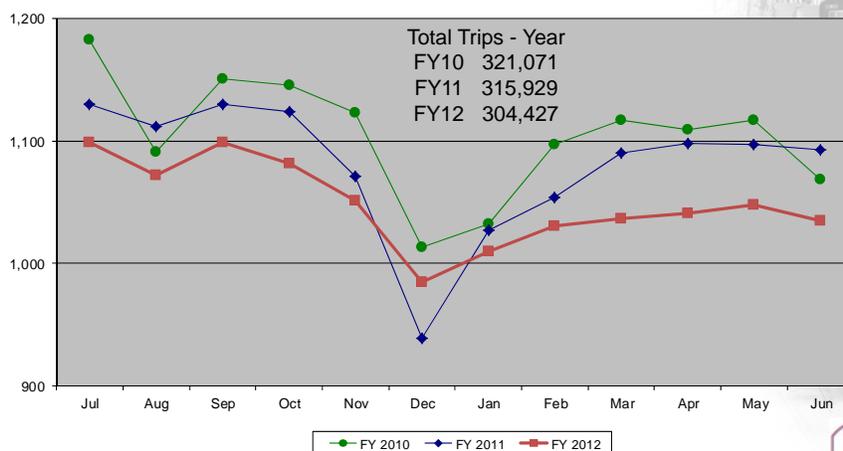
## FY2013 Preview

samTrans

- Weekend ECR service implemented Aug 26
  - Early results, OTP is up, Sunday ~ 94%, Saturday ~84%
  - Ridership up 2.3%
- Install 16 new bus ad shelters, total 109 by June 30, 2013
- 511 real-time information
- Complete analysis & development of SSP
  - Develop final proposal – early 2013
  - Public hearing/final Board approval – spring 2013
  - Phased implementation to begin August 2013
- Award contract for 62 buses, including 25 hybrids, to replace 1998 bus fleet

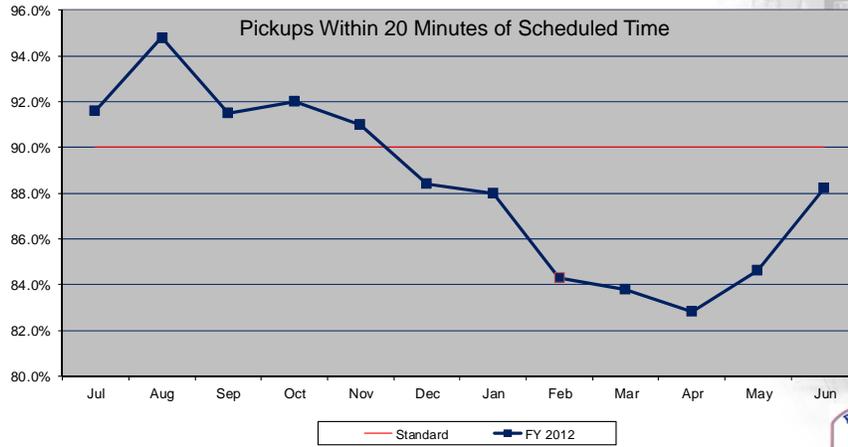
## Paratransit - AWR

samTrans



## On-time Performance

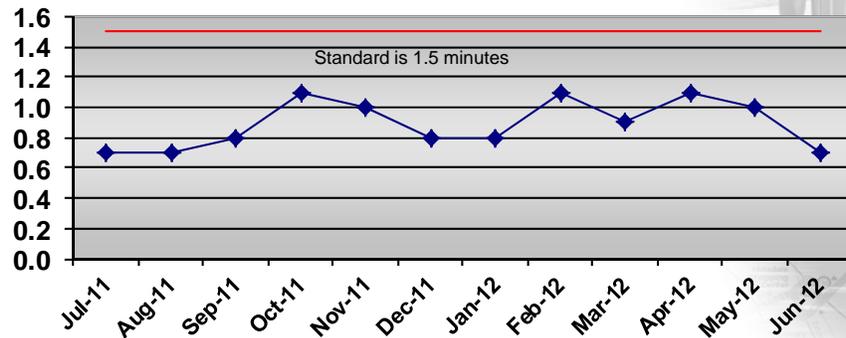
samTrans



## Telephone Hold-Time

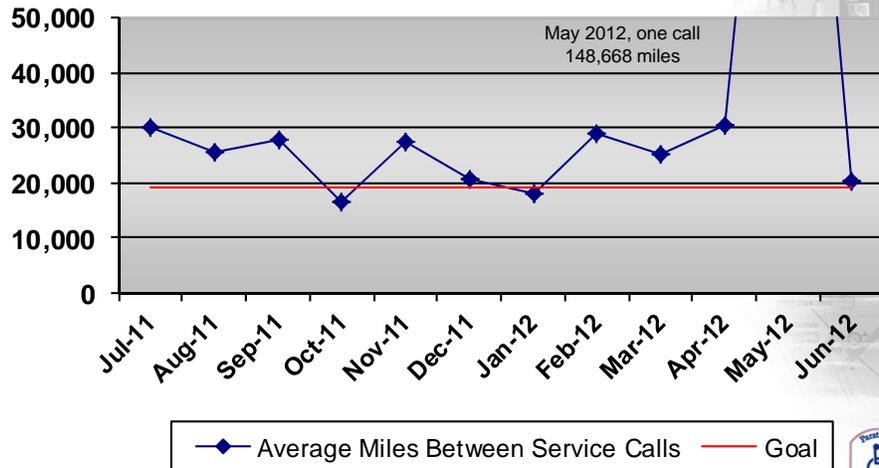
samTrans

Average Telephone Hold-time - Minutes



## Fleet Reliability

samTrans



## FY2012 Highlights

samTrans

- Maintained zero-denial record
- On-time performance below standard
  - Efforts by MV yielding improvements
- Fleet reliability remains very high
- Ridership declined slightly
  - Managing demand
- Participated in MTC Sustainability Project
- 10 cutaways replaced in fleet - new branding
- 2 cutaways added to fleet

## FY2013 Preview

samTrans

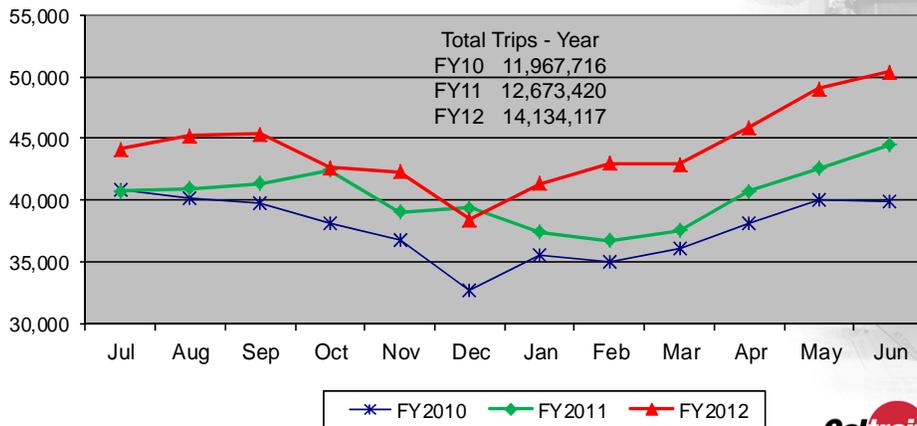
- Continue to work on OTP service quality
  - Positive OTP efforts – OTP 90.3% in Sept.
- Continue discussions with contractor on cost containment strategies for paratransit service
- 2 more cutaways will be added by spring 2013



## Caltrain Ridership

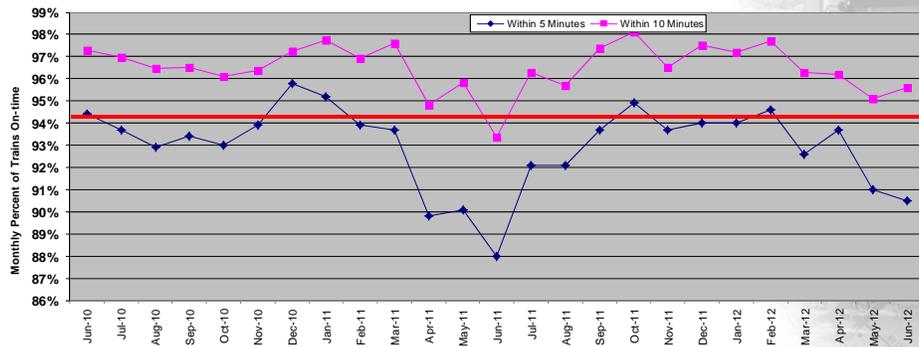
samTrans

Average Weekday Riders



## On-time Performance

samTrans



Caltrain

## FY2012 Highlights

samTrans

- AWR increased 10.8% from to 39,909 to 44,212
- Total ridership increased 11.5% from 12,673,420 to 14,134,117 trips
- Farebox revenue increased 14.5% from \$49.0 million to \$59.9 million
- Base fare increased from \$2.50 to \$2.75 July 1, 2011
- Parking fees increased 33% July 1, 2011
- Awarded contract to TransitAmerica – May, 26, 2012 service date

Caltrain

## FY2012 Highlights

samTrans

- Jerrold St. Bridge (SF) – replacement completed
- San Bruno Grade Separation work continued
- San Mateo Bridges – foundation retrofit completed
- South Terminal & Santa Clara Station Improvements completed
- ROW/Fencing – 8,000 feet installed
- Upgraded PA System at 4<sup>th</sup> & King
- Continued work on new Rail Operations Control System & Predictive Arrival/Departure System
- Continued development work and procurement of FRA mandated positive train control system



## FY2013 Preview

samTrans

- Continue transition/service quality focus w/TASI
- Increase service October 1, 2012
  - Reinstated 4 shoulder peak trains suspended 2011
  - Added 2 afternoon peak trains
  - 12 trains added stops at Sunnyvale or Palo Alto
- Complete ROCS/PADS system acceptance



## FY2013 Preview

samTrans

- San Bruno Grade Separation transition to grade separation and begin station construction
- ROW/Fencing – install additional 16,000 feet
- Continue design, environmental, project plans for next series of state of good repair projects (bridges, track, signals, rolling stock, systems)



## FY2013 Preview

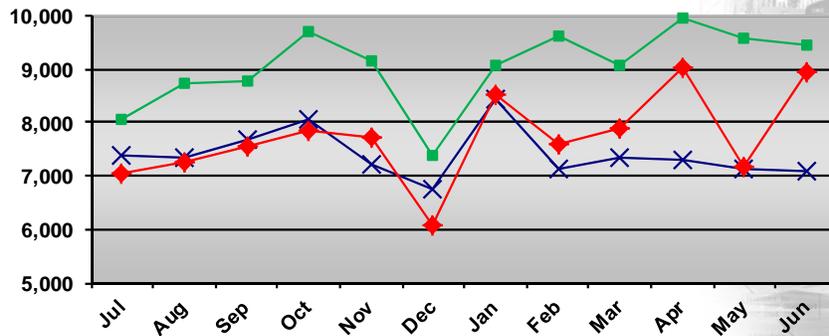
samTrans

- Continue Caltrain Modernization Program activities
  - Caltrain positive train control (PTC-CBOSS)
  - Caltrain electrification – EIR process
  - New electric vehicles – fleet/operations plans
  - Project delivery organization
  - Blended system planning coordination with CAHSR



## Shuttle Ridership - AWR

samTrans



Total Trips - Year  
FY10 1,886,096  
FY11 1,951,269  
FY12 2,373,996

—x— FY2010 —♦— FY2011 —■— FY2012

Shuttles

## Shuttle Highlights/Preview

samTrans

- FY2012 - Negotiated extension of Parking Co. of America Shuttle operator contract
- FY2013 – Developing joint Shuttles Program RFP with SamTrans, Caltrain and with the Peninsula Traffic Congestion Relief Alliance for service in late 2013

Shuttles

## Human Capital – FY2012

samTrans

- 6,896 hours new Bus Operator training
- 1,496 hours new Maintenance training
- 4,017 hours DMV mandated training
- 2,535 hours Bus Operator retraining
- 1,666 hours Professional Development
- 4,770 hours Maintenance training
  - 272 hours Maintenance training of 8 employees from other agencies

Total 21,652 hours training

## Sustainability

samTrans

	<u>MPG</u>
2009 Gillig fleet	4.11
1998 Fleet	3.60
2009 Gillig fleet fuel savings:	
Annual	\$370,000
14-year cycle	\$5.2 million

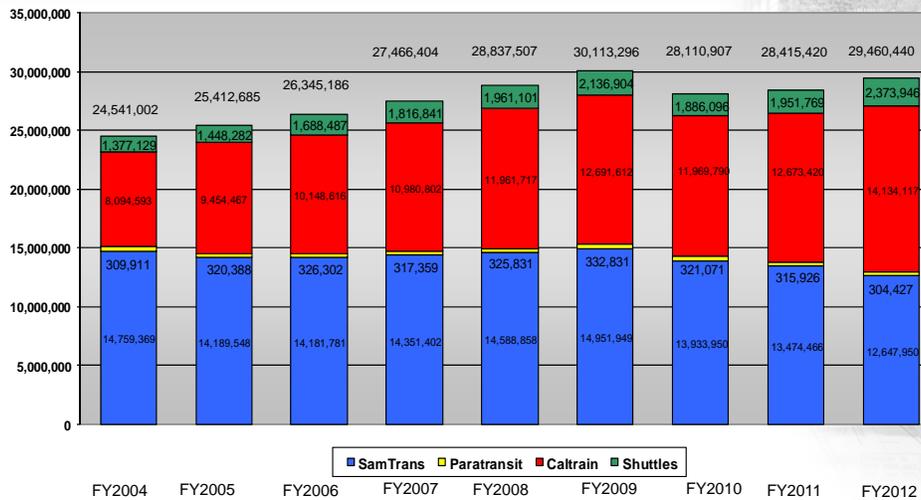
Replacement of 62 – 1998 Gilligs with new clean diesel and diesel electric hybrids will increase savings

# Sustainability



- Support vehicles – gas/electric hybrids
  - 23 total now in fleet (~40%)
- Completed PG&E integrated energy audit
  - Potential projects/Return on Investment's
  - Ex: Shop light evaluation in process - replace sodium vapor lights
- Install bus washer at South Base - replace 36 year old washer
  - 35% reduction in water consumption
  - Higher efficiency motors – reduced electricity

# Total Trips by Mode



**Summary of San Mateo County Transit District's  
Committee and Board of Directors Meeting of  
December 12, 2012**

The Community Relations Committee and Board

Accessibility Coordinator Tina Dubost reported the Redi-Wheels singers entertained at three adult day agencies on December 10 and will be entertaining two agencies on the Coastsides on December 19.

Paratransit Coordinating Council Chair Mike Levinson reported:

- Twenty-four people participated in the caroling on December 10.
- The MV Transportation appreciation party was postponed from October to February 12, 2013.

Citizens Advisory Committee Chair Peter Ratto reported:

- The CAC had their annual holiday reception prior to the meeting.
- The CAC received a SamTrans Service Plan update by Project Manager Aidan Hughes.
- A Nominating Committee was appointed for selecting the 2013 chair and vice chair.
- A Certificate of Appreciation was presented to Vice Chair Sondra Price who resigned from the committee due to moving out of the county.

Deputy CEO Chuck Harvey presented the Mobility Management Report: Caltrain (attached).

Average weekday ridership for all modes for October 2012 compared to October 2011 was 109,265, an increase of 9.7 percent.

The Finance Committee and Board

The Board:

- Approved the Statement of Revenues and Expenses for October 2012.
- Authorized the Filing of an Application with the Metropolitan Transportation Commission to Program Federal Transit Administration Formula Program funds and possibly Surface Transportation Program funds for SamTrans Capital and Operating Projects.
- Authorized the Disposition of One Automatic Bus Washer.

The Legislative Committee and Board

The Legislature convened on December 3. Assemblyman Kevin Mullin was appointed Assistant Speaker Pro-Tem and Phil Ting was appointed Chair of the Democratic Caucus in the Assembly. Legislation was introduced that is consistent with the Legislative Program is SCA 4 that reduces the threshold for transportation sales tax from two-thirds to 55 percent and Senate Bill 11 and Assembly Bill 8 would reauthorize the Carl Moyer Program. The Carl Moyer Program is an air quality improvement program that is administered regionally.

**Summary of San Mateo County Transit District's  
Committee and Board of Directors Meeting of  
December 12, 2012**

There was a House Transportation and Infrastructure Committee hearing last week on California High Speed Rail. The good news is the Government Accountability Office did a review of the project and program and determined the cost estimates are accurate. The House majority has been seeking ways to limit Federal funding or prevent Federal funding to going to California for this project.

Planning, Development and Sustainability

- Authorized Administration of Peninsula Fleet Share Program and Execution of Peninsula Fleet Share Members Agreements.
- Received the Capital Projects Quarterly Status Report for the 1<sup>st</sup> Quarter Fiscal Year 2013.

Board of Directors

Appointed a Nominating Committee for 2013 chair and vice chair.

Appointed Director Karyl Matsumoto to the San Mateo County Transportation Authority.

General Manager/CEO Michael Scanlon reported:

- The first quarter (September through November) Route ECR rose 4 percent over the combination of Routes 390/391.
- Staff participated in the Hometown Holidays Parade in Redwood City, Grand Boulevard bus tour and American Association of Retired Persons Driver Safety Program in Burlingame.
- Investment in human capital continues with over 2,300 hours of training in November. A new class of bus operators started on November 2.
- MV Transportation will start a new contract on January 1.
- Miles between road calls for fixed-routes was 26,000 and over 28,500 miles on Redi-Wheels, both exceeding the 20,000 miles threshold.
- Scheduling staff continues to work on the Daly City Bay Area Rapid Transit (BART) Wayfinding Project and redevelopment project at the Colma Park and Ride Lot.
- Runbook 110 will have school bell adjustments, the expanded use of the part-time bus operators and some on-time performance and alignment issues for Route 17 will be made to improve service and reduce some neighborhood concerns.
- Fares will be free after 11 p.m. on New Year's Eve.

The Board met in closed session to discuss the performance evaluation of Mr. Scanlon and Legal Counsel David Miller as well as two pending litigation items.

The next meeting of the Board is scheduled for Wednesday, January 9, 2013 at 2 p.m.

The logo for samTrans, featuring the text "samTrans" in a bold, sans-serif font. The "a" and "m" are lowercase, while "T" and "r" are uppercase. Below the text are two horizontal bars: a red one on top and a blue one on the bottom.

# Mobility Management: Caltrain

San Mateo  
December 12, 2012

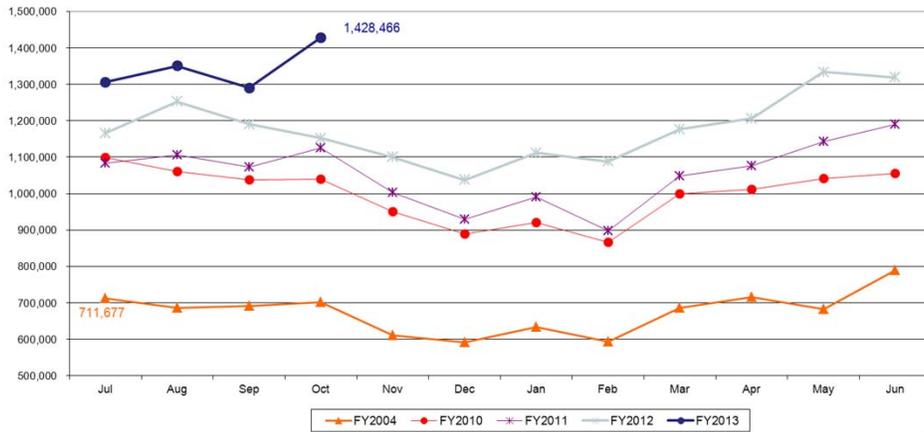
## Presentation Outline

The logo for samTrans, featuring the text "samTrans" in a bold, sans-serif font. The "a" and "m" are lowercase, while "T" and "r" are uppercase. Below the text are two horizontal bars: a red one on top and a blue one on the bottom.

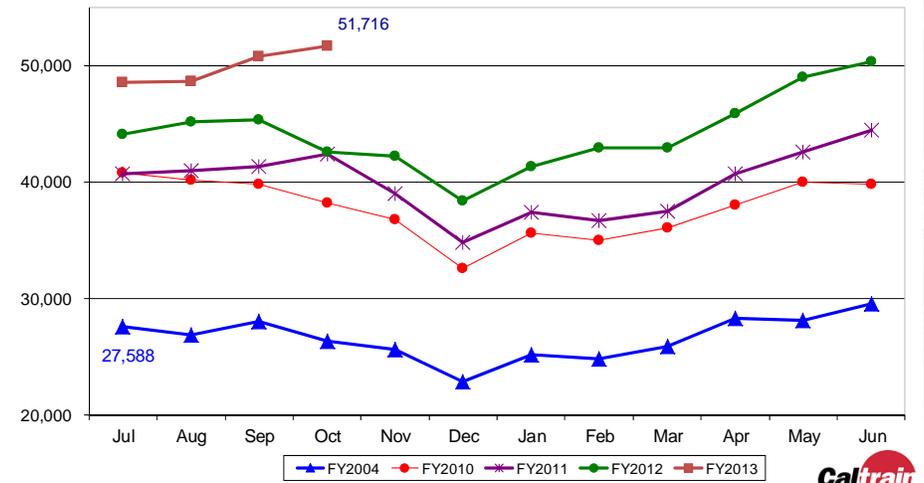
- Ridership
- Fare Revenue
- Performance & Reliability
- Rolling Stock
- Service Highlights
- System Safety
- Capital Projects
- Caltrain Operating RFP
- Caltrain Budget Outlook
- High Speed Rail
- Summary

The logo for Caltrain, featuring the word "Caltrain" in a bold, sans-serif font. The "C" is lowercase, and "altrain" is uppercase. The logo is set against a red circular background.

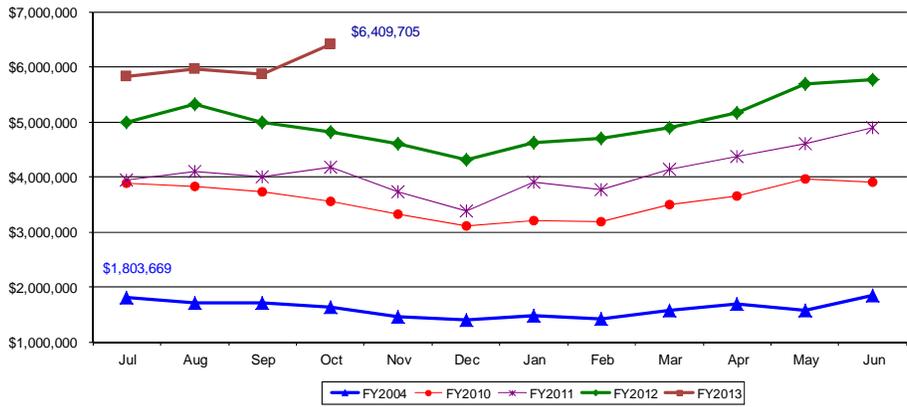
# Monthly Ridership



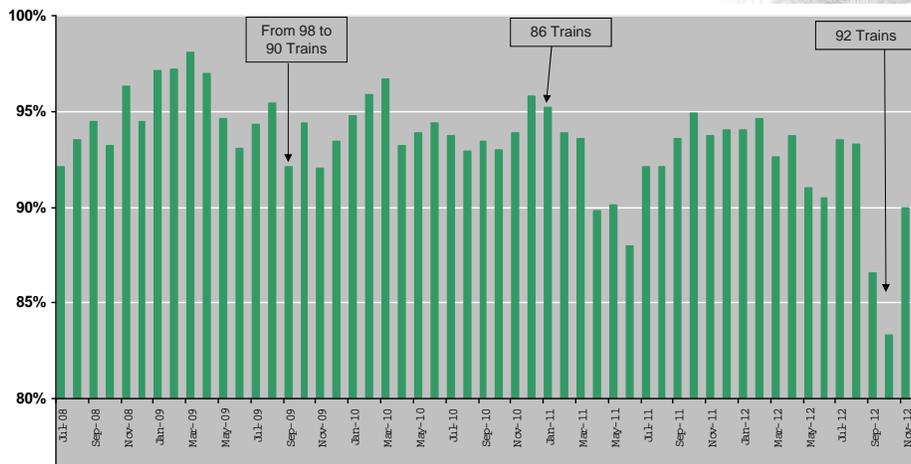
# Average Weekday Ridership



# Fare Revenue

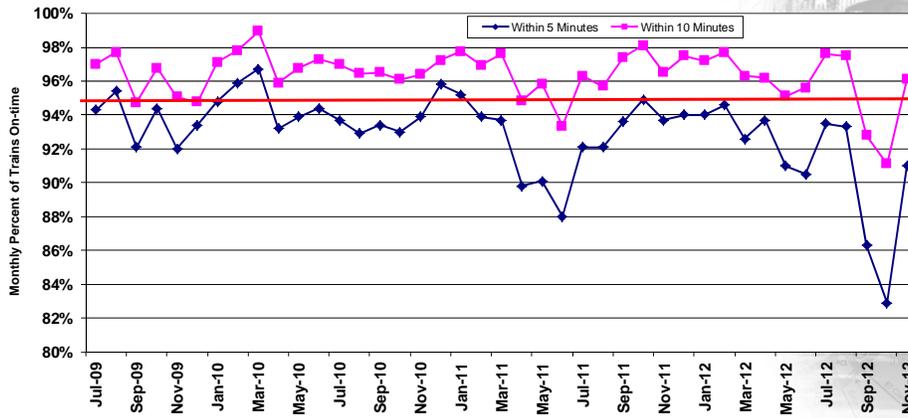


# Performance & Reliability



## On-time Performance

samTrans



## New Operator Transition

samTrans

- Contract awarded to Transit America Services, Inc. (TASI)
- Complex transition from incumbent operator - Amtrak
  - Federal labor protection requirements
  - New senior management team
  - New contract structure – award fee
  - Largest operation/complexity undertaken
- TASI assumed service May 26, 2012
- Transition work continues, including JPB Staff oversight, focus on improving safety & service quality



## Service Highlights

samTrans

- San Francisco Giants service
  - 576,000 riders – 2012 regular season, a 12% increase over 2011
  - 74,000 riders – 8 playoff games, including the World Series
  - 40,000 riders – October 31 Giants World Series victory parade
  - 2013 exhibition & regular season at AT&T starting March 28, 2013
- Increased weekday service Oct. 1:
  - 4 mid-day trains restored to service
  - 2 peak-hour trains added to service
  - 12 stops added at Sunnyvale or Palo Alto



## Service Highlights

samTrans

- Holiday Train Service Return
  - Suspended in 2010 & 2011 due to budget constraints
  - A newly formed partnership between Caltrain and Silicon Valley Community Foundation allowed the return of Holiday Train
  - As a major sponsor, the SVCF gave \$50,000 for both the 2012 & 2013 Holiday Train
  - The Holiday Train celebrated its 10-year anniversary on the weekend of Dec. 1 & 2, 2012



## System Safety

samTrans

### Right of Way Fencing and Signage

- Installing 16,000 lineal feet of fencing
- More than 1,400 signs to be replaced, including 724 Suicide Prevention/No Trespassing signs every 528 feet



## System Safety

samTrans

### Operation Lifesaver community education

- Calendar year 2012, Caltrain staff made 22 presentations to approximately 500 individuals
- Audiences have included:
  - South San Francisco Kiwanis
  - San Bruno Chamber
  - Fairmeadows Elementary School (Palo Alto)
  - Shelter Network (San Mateo)



## New Rail Operations Control System

samTrans

- Legacy Digicon system obsolete
- New system designed and installed by ARINC
- System in final acceptance testing
- System designed for future expansion to work with Positive Train Control (PTC) and Electrification (SCADA)



## San Bruno Grade Separation

samTrans

- Goal: Improve safety by eliminating conflicts between trains and vehicular/pedestrian traffic at crossings between I-380 and San Felipe Avenue
- Completion: end of 2013
- Total Estimated Cost \$147 million



# San Bruno Grade Separation



## Vehicle & Pedestrian Safety

- Vehicle Crossings
  - Angus Avenue
  - San Mateo Avenue
  - San Bruno Avenue
- Pedestrian-only Underpasses
  - Sylvan Avenue
  - At new station location
  - Euclid Avenue



# San Bruno Grade Separation



## Project renderings

San Bruno Avenue looking south →

First Avenue looking north ↘

Posy Park looking north ↓



## Caltrain Modernization

samTrans

- New JPB/CHSRA Agreement
  - Caltrain/HSR Blended System
  - 9-party Funding MOU
  - \$1.5B for Caltrain Modernization
- Program Delivery (by 2019)
  - CBOSS (2015)
  - Corridor Electrification/Electric Multiple Unit Cars (2019)
- Blended System (2026 – 2029)
  - Capital Improvement Program to be determined
  - Additional Funding to be determined



## Upcoming Tasks

samTrans

- FY2014 Budget preparation
- Funding discussions with JPB member agencies for FY2014 and beyond
- Continue to work with stakeholders, including the Silicon Valley Leadership Group and MTC's Transit Sustainability Project, to develop strategies for new revenue sources, including possible dedicated, permanent funding sources
- Continue efforts to advocate for capital projects that will increase operational efficiencies and modernize the system
- Continue efforts to improve on-time performance



## Summary

samTrans

- Ridership and revenue continue strong growth
- OTP is below 95% goal, latest trend is improving
- Transition to new operator continues to progress
- System Safety program continuing
- Capital work continues for critical projects
- Work continues on FY2014 operating budget
- Long-term strategies are to address the securing of a dedicated funding source, as well as system modernization



# Questions?

samTrans



**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: Gigi Harrington                      Chuck Harvey  
Deputy CEO                                  Deputy CEO

SUBJECT: **AUTHORIZATION OF AMENDMENT OF FISCAL YEAR 2013 BUDGET**

**ACTION**

Staff proposes that the Board adopt a resolution authorizing an amendment to increase the Fiscal Year (FY) 2013 Budget expenditures by \$1,335,000 for a new total of \$103,074,230 (Line 26 of Attachment A).

This amendment primarily reflects an increase in projected sales tax income since the budget's adoption, which triggers corresponding increases in pass-throughs and expenditures that were set forth in the 2004 Transportation Expenditure Plan.

**SIGNIFICANCE**

**REVENUES:**

**Sales Tax (Line 1): Increase of \$3,000,000**

Based on FY2012 unaudited sales tax receipts of \$68.5M, staff are recommending an increase to the FY2013 projection to reflect a more realistic outcome for sales tax.

**EXPENDITURES:**

The following expenditures have been adjusted commensurately based on the projected increase in the FY2013 sales tax projections:

**Annual Allocations (line 14): Increase of \$1,095,000**

The proposed revised budget reflects an increase in projected sales tax revenues in funding for categories that receive Measure A funds based on a percentage of projected sales tax revenue. These categories include Local Entities, Paratransit, Transfer to San Mateo County Transit District for Caltrain, and San Francisco Airport Bay Area Rapid Transit (BART), as explained below.

**1. Allocation to Local Entities: Increase of \$675,000**

As per the new expenditure plan, the allocation rate is 22.5 percent of annual tax revenue.

**2. Paratransit: Increase of \$120,000**

As per the new expenditure plan, the allocation rate is 4 percent of annual tax revenue.

**3. Allocation to San Mateo County Transit District for Caltrain: Increase of \$240,000**

As per the new expenditure plan, the allocation rate is up to 8 percent of annual tax revenue.

**4. San Francisco Airport BART Extension: Increase of \$60,000**

As per the new expenditure plan, the allocation rate is 2 percent of annual tax revenue.

**Program Expenditures (line 16): Increase of \$240,000**

The proposed revised budget for Program Expenditures reflects an increase in projected sales tax revenues funding categories that receive Measure A funds based on a percentage of projected sales tax revenue, as explained below.

**1. Alternative Congestion Relief: Increase of \$30,000**

As per the new expenditure plan, the Alternative Congestion Relief Program is 1 percent of annual tax revenue.

**2. Pedestrian and Bicycle Program: Increase of \$90,000**

As per the new expenditure plan, the Pedestrian and Bicycle Program is 3 percent of annual tax revenue. The funds will be used for the FY2014/2015 call for projects.

**3. Local Shuttle: Increase of \$120,000**

As per the new expenditure plan, the Local Shuttle Program is 4 percent of annual tax revenue. The funds will be used for the FY2013/2014 call for projects.

**BUDGET IMPACT**

The overall impact to the FY2013 Budget is an increase of \$1,335,000 in total expenditures, from \$101,739,230 to \$103,074,230.

**BACKGROUND**

The Transportation Authority annually adopts a budget. On June 7, 2012 the Board adopted the FY2013 budget in the amount of \$98,370,230 as per Board Resolution No. 2012-11. On October 4, 2012 the Board authorized an amendment of \$3,308,000 to Program Expenditures as per Board Resolution No. 2012-18 for \$308,000 and Resolution No. 2012-19 for \$3,000,000. On November 1, 2012 the Board authorized another amendment of \$61,000 to Program Expenditures as per Board Resolution No. 2012-23. With this proposed amendment, staff is proposing to amend the budget for a new total of \$103,074,230.

**Note that the transactions affected by the proposed amendment are highlighted in blue on the attached budget.**

Prepared by: Ladi Bhuller, Manager, Budgets  
Angela Ho, Senior Budget Analyst

650-508-7755  
650-508-6416

**ATTACHMENT A**

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
FY2013 - PROPOSED REVISED BUDGET**

	<u>FY2011 ACTUAL</u> A	<u>FY2012 REVISED</u> C	<u>FY2013 AMENDED</u> E	<u>FY2013 REVISED</u> F	<u>FY13 REVISED TO FY13 AMENDED</u> Increase (Decrease) G = F-E	<u>BUDGET PERCENT CHANGE</u> H =G/E	
<b>REVENUE:</b>							
1 Sales Tax	63,515,997	63,000,000	65,000,000	<b>68,000,000</b>	<b>3,000,000</b>	4.6%	1
2							2
3 Interest Income	5,186,394	4,832,570	4,022,150	4,022,150	-	0.0%	3
4							4
5 Rental Income	1,063,951	1,087,560	1,116,180	1,116,180	-	0.0%	5
6							6
7 Grant Proceeds	725,399	2,733,100	308,000 (3)	308,000	-	0.0%	7
8							8
9 <b>TOTAL REVENUE</b>	<b>70,491,740</b>	<b>71,653,230</b>	<b>70,446,330</b>	<b>73,446,330</b>	<b>3,000,000</b>	<b>4.3%</b>	9
10							10
11							11
12 <b>EXPENDITURES:</b>							12
13							13
14 Annual Allocations	24,560,386	24,436,335	23,725,000	<b>24,820,000</b>	<b>1,095,000</b>	4.6%	14
15							15
16 Program Expenditures	35,952,122	62,498,768	76,544,646 (4)	<b>76,784,646</b>	<b>240,000</b>	0.3%	16
17							17
18 Oversight	360,996	485,000	485,000	485,000	-	0.0%	18
19							19
20 Administrative:							20
21 Staff Support	549,484	537,910	634,125	634,125	-	0.0%	21
22 Measure A Info-Others	5,000	12,000	16,500	16,500	-	0.0%	22
23 Other Admin Expenses	278,978	353,687	333,959	333,959	-	0.0%	23
24 Total Administrative	833,461	903,597	984,584	984,584	-	0.0%	24
25							25
26 <b>TOTAL EXPENDITURES</b>	<b>61,706,965</b>	<b>88,323,700</b>	<b>101,739,230</b>	<b>103,074,230</b>	<b>1,335,000</b>	<b>1.3%</b>	26
27							27
28 <b>EXCESS/(DEFICIT)</b>	<b>8,784,776</b>	<b>(16,670,470)</b>	<b>(31,292,900)</b>	<b>(29,627,900)</b>	<b>1,665,000</b>	<b>-5.3%</b>	28
29							29
30 <b>BEGINNING FUND BALANCE</b>	<b>413,096,727</b>	<b>421,881,503</b>	<b>405,211,033</b>	<b>405,211,033</b>	<b>(0)</b>	<b>0.0%</b>	30
31							31
32 <b>ESTIMATED ENDING FUND BALANCE</b>	<b>421,881,503</b>	<b>405,211,033</b>	<b>373,918,133</b>	<b>375,583,133</b>	<b>1,665,000</b>	<b>0.4%</b>	32
33							33
34							34
35							35
36 <b>FUND BALANCE (1)</b>							36
37	<u>1988 Measure</u>	<u>2004 Measure</u>	<u>Amended</u>	<u>Revised</u>			37
38 <b>Beginning Fund Balance</b>	320,958,148	84,252,884	405,211,033	405,211,033			38
39 <b>Excess/(Deficit)</b>	<u>(49,732,630)</u>	<u>21,500,730</u>	<u>(31,292,900)</u>	<u>(29,627,900)</u>			39
40 <b>Ending Fund Balance</b>	<u>271,225,518</u>	<u>105,753,614</u>	<u>373,918,133</u>	<u>375,583,133</u>			40
41							41
42 <b>Capital Appropriation Carryover</b>	180,807,623	70,870,990	251,678,613	251,678,613			42
43 <b>Undesignated (2)</b>	<u>90,417,895</u>	<u>34,882,624</u>	<u>122,239,520</u>	<u>123,904,520</u>			43
44 <b>Total Ending Fund Balance</b>	<u>271,225,518</u>	<u>105,753,614</u>	<u>373,918,133</u>	<u>375,583,133</u>			44
45							45

46 (1) Fund Balance is based on budgeted figures for FY2012 and FY2013 and includes grant funds of \$14.8 million.

47 (2) Undesignated funds are funds collected but not budgeted or allocated. The 1988 Undesignated funds are projected to include \$58 million for Caltrain and \$32 million for Dumbarton

48 (3) Per Board Resolution No. 2012-18 on October 4, 2012, Grant Revenues have been authorized to increase by \$308,000.

49 (4) Program Expenditures had three budget amendments since Budget was adopted in June 2012. As per Board Resolution No. 2012-18 on October 4, 2012, the Board authorized an increase to the Ramp Metering Study program by \$308,000. Per Board Resolution No. 2012-19 on October 4, 2012, the Board authorized an increase of \$3,000,000 for the Caltrain Communications-based Overlay Signal System/Positive Train Control (CBOSS/PTC) Project. And per Board Resolution No. 2012-23 on November 1, 2012, the Board authorized an increase of \$61,000 to fund the Alpine Road Bicycle Safety Improvement Project.

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**RESOLUTION NO. 2013 –**

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**

**\* \* \***

**AUTHORIZING AN AMENDMENT TO INCREASE THE FISCAL  
YEAR 2013 BUDGET IN THE AMOUNT OF \$1,335,000  
FOR A TOTAL BUDGET OF \$103,074,230**

**WHEREAS**, Section 131265(a) of the California Public Utilities Code requires the Board of Directors to adopt an annual budget for the San Mateo County Transportation Authority (Authority); and

**WHEREAS**, the Board adopted the Budget on June 7, 2012, pursuant to Resolution No. 2012-11, in the amount of \$98,370,230; and

**WHEREAS**, on October 4, 2012, pursuant to Resolution No. 2012-18, and Resolution No. 2012-19, the Board increased the TA Program Expenditures for the Ramp Metering Study Program and the Caltrain Communication-based Overlay Signal System / Positive Train Control (CBOSS/PTC) project; and

**WHEREAS**, on November 1, 2012, pursuant to Resolution No. 2012-23, the Board increased the TA Program Expenditures for the Alpine Road Bicycle Safety Improvement Program, bringing the total Budget amount to \$ 101,739,230; and

**WHEREAS**, staff now seeks Board approval for an additional amendment to the Budget to reflect projected increased Fiscal Year 2013 sales tax revenues of \$3,000,000, resulting in an increase of \$1,095,000 in Annual Allocations; and \$240,000 in Program Expenditures.

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Directors of the San Mateo County Transportation Authority hereby approves an amendment increasing the Fiscal Year 2013 Budget by \$1,335,000, for a total amended Budget of \$103,074,230.

Regularly passed and adopted the 3<sup>rd</sup> day of January 2013 by the following vote:

AYES:

NOES:

ABSENT:

\_\_\_\_\_  
Chair, San Mateo County Transportation Authority

ATTEST:

\_\_\_\_\_  
Board Secretary

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: April Chan  
Executive Officer, Planning and Development

C.H. (Chuck) Harvey  
Deputy CEO

SUBJECT: **ALLOCATION OF NEW MEASURE A HIGHWAY PROGRAM FUNDS FOR THE  
US 101 PENINSULA/POPLAR INTERCHANGE PROJECT**

**ACTION**

Staff proposes the Board approve the following:

1. Allocate \$1 million in New Measure A Highway Program Key Congested Area funds for the US 101 Peninsula Avenue/Poplar Avenue Interchange Project Initiation Document/Project Study Report (PID/PSR) to the City of San Mateo.
2. Authorize the Executive Director or his designee to execute any necessary documents or agreements to give effect to the above-referenced actions.

**SIGNIFICANCE**

The Board programmed Measure A Highway Program funds for a number of projects at its October 4, 2012 meeting, with the allocation of funds to these projects conditioned on the projects or applicants fulfilling certain specified requirements.

The City of San Mateo requested \$1 million to complete a PID/PSR for the US 101 Peninsula Avenue/Poplar Avenue interchange modification project. Funding for the PID/PSR was programmed by the Board, but the allocation of funds was subject to the provision of a City Council resolution in support of developing the PID/PSR. The San Mateo City Council has since passed a resolution in support of the development of the PID/PSR on October 1, 2012 (Attachment A), fulfilling the condition for the allocation of funds.

Staff recommends the Board allocate the programmed funds to the project, as the condition for allocation has been met. This would enable the project to proceed.

**BUDGET IMPACT**

These funds were previously programmed by the Board in October 2012. These funds are included in the FY2013 budget.

## **BACKGROUND**

At the October 4, 2012 meeting, the Board approved 23 projects to receive \$82.71 million in Measure A Highway Program funds by taking two separate actions. The first action was to program and allocate funds to one group of projects, and the second was to only program funds to the other group of projects. The distinction between these two actions is as follows:

- **Program and allocate:** The Board programs and allocates funds to project in a single combined action. Thereafter funds may be expended on the project for reimbursement by the TA.
- **Program only:** The Board only programs funds as a commitment to a project, without allocating those funds. Funds may not be expended for reimbursement until the Board allocates the funds through a subsequent action. The allocation of programmed funds would be conditioned on the project (or sponsor) fulfilling certain conditions, i.e. project readiness to start the next phase. Sponsors would have to demonstrate that the condition had been met before requesting a Board allocation for programmed funds.

ATTACHMENT A: City of San Mateo Resolution No. 95 (2012)

Prepared By: Celia Chung, Planning and Development

650-508-6466

CITY OF SAN MATEO  
RESOLUTION NO. 95 (2012)

SUPPORTING THE PENINSULA/POPLAR/US 101 INTERCHANGE AREA SAFETY  
IMPROVEMENT PROJECT FOR \$2.5 MILLION IN MEASURE A HIGHWAY PROGRAM  
FUNDING

WHEREAS, the Peninsula/Poplar/US 101 interchange area located in the City of San Mateo has been identified as creating a bottleneck in a congested commute corridor; and

WHEREAS, the Peninsula/Poplar/US 101 Interchange Area Safety Project (Project) consists of two components summarized below and detailed in the accompanying Administrative Report:

- 1) Engineering, environmental review and construction of Option 2a of the Poplar/Amphlett Safety Improvement Study,
- 2) Development of a Project Study report for the long-term feasibility of the Peninsula/Poplar/US 101 interchange; and

WHEREAS, the City Council directed staff to initiate the development of Option 2a (construction of a raised median on Poplar Avenue), and the long-term feasibility of the Peninsula/Poplar/US 101 interchange; and

WHEREAS, the City wishes to sponsor the implementation of the Peninsula/Poplar/US 101 Interchange Area Safety Project; and

WHEREAS, the City seeks a grant of \$2.5 Million of Measure A grant funding to cover the two components of the Project which include 1) engineering, environmental review and construction of Option 2a of the Poplar/Amphlett Safety Improvement Study, and 2) development of a Project Study report for the long-term feasibility of the Peninsula/Poplar/US 101 interchange; and

WHEREAS, on June 7, 1988, the voters of San Mateo County approved a ballot measure to allow the collection and distribution by the San Mateo County Transportation Authority (TA) of a half-cent transactions and use tax in the San Mateo County for 25 years, with the tax revenues to be used for highway and transit improvements pursuant to the Transportation Expenditure Plan presented to the voters (Original Measure A); and

WHEREAS, on November 2, 2004, the voters of San Mateo County approved the continuation of the collection and distribution by the TA the half-cent transactions and use tax for an additional 25 years to implement the 2004 Transportation Expenditure Plan beginning January 1, 2009 (New Measure A); and

WHEREAS, TA issued a Call for Projects for the Measure A Highway Program funds on May 24, 2012; and

WHEREAS, TA requires a governing board resolution from the City in support of the City's application for \$2.5 million in San Mateo Measure A Highway Program funds for the completion of two components of the Project which include 1) engineering, environmental review and construction of Option 2a of the Poplar/Amphlett Safety Improvement Study, and 2) development of a Project Study report for the long-term feasibility of the Peninsula/Poplar/US 101 interchange; and

WHEREAS, TA requires a governing board resolution from the City committing to completion of the Project, if grant funds are awarded to the City and after California Environmental Quality Act review;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SAN MATEO RESOLVES that:

1. The City supports the completion of the Peninsula/Poplar/US 101 Interchange Area Safety Project by completion of two components of the Project which include 1) engineering, environmental review and construction of Option 2a of the Poplar/Amphlett Safety Improvement Study, and 2) development of a Project Study report for the long-term feasibility of the Peninsula/Poplar/US 101.
2. The Public Works Director is directed to submit an application for San Mateo Measure A Highway Program for \$2.5 million for the Peninsula/Poplar/US 101 Interchange Area Safety Project to complete two components of the Project which include 1) engineering, environmental review and construction of Option 2a of the Poplar/Amphlett Safety Improvement Study, and 2) development of a Project Study report for the long-term feasibility of the Peninsula/Poplar/US 101 interchange.
3. The City Manager is authorized to execute a funding agreement with the San Mateo County Transportation to encumber any Measure A Highway Program funds awarded.
4. Pending review of the Project in accordance with the California Environmental Quality Act, the City of San Mateo is prepared commit to the completion of Peninsula/Poplar/US 101 Interchange Area Safety Project if awarded the requested San Mateo County Measure A Highway Program funds, and after review.

RESOLUTION NO. 95 (2012) adopted by the City Council of the City of San Mateo, California, at a regular meeting held on October 1, 2012, by the following vote of the City Council:

AYES: Council Members Lim, Grotte , Freschet, Ross and Matthews

NOES: None

ABSENT: None

ATTEST:

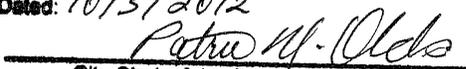
  
Patrice M. Olds, City Clerk



/s/ Brandt Grotte  
Brandt Grotte, Mayor

I hereby certify this to be a full, true and correct copy of the document it purports to be as the same is on file in my office.

Dated: 10/3/2012

  
City Clerk of the City of San Mateo

**RESOLUTION NO. 2013 –**

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STATE OF CALIFORNIA**

**\* \* \***

**ALLOCATING \$1 MILLION TO THE US 101 PENINSULA/POPLAR  
INTERCHANGE PROJECT IN NEW MEASURE A FUNDS  
FROM THE HIGHWAY PROGRAM CATEGORY**

**WHEREAS**, on November 2, 2004, the voters of San Mateo County approved continued collection and distribution by the TA of a half-cent transactions and use tax for an additional 25 years to implement the 2004 Transportation Expenditure Plan beginning January 1, 2009 (New Measure A); and

**WHEREAS**, the 2004 Transportation Expenditure Plan designates 17.3 percent of the New Measure A revenues to fund projects in key congested corridors under the Highway Program; and

**WHEREAS**, the TA issued a Call for Projects in May 2012 for the Highway Program Category; and

**WHEREAS**, the City of San Mateo submitted an application which included \$1 million for the Project Initiation Document/Project Study Report (PID/PSR) for the US 101 Peninsula Avenue/Poplar Avenue interchange modification project; and

**WHEREAS**, the TA Board of Directors authorized the programming of \$1 million in New Measure A funding from the category for Highway Program – Key Congested Areas – for the PID/PSR for the US 101 Peninsula Avenue/Poplar Avenue interchange modification; and

**WHEREAS**, the San Mateo City Council has passed a resolution in support of developing the PID/PSR for the US 101 Peninsula Avenue/Poplar Avenue interchange; and

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Directors of the San Mateo County Transportation Authority hereby approves the allocation of \$1 million in New Measure A funding from the category for Highway Program – Key Congested Areas – for the PID/PSR for the US 101 Peninsula Avenue/Poplar Avenue interchange modification; and

**BE IT FURTHER RESOLVED** that the Executive Director or his designee is authorized to execute any necessary documents or agreements and to take any additional actions necessary to give effect to this resolution.

Regularly passed and adopted this 3<sup>rd</sup> day of January 2013 by the following vote:

AYES:

NOES:

ABSENT:

ATTEST:

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Chair, San Mateo County Transportation Authority

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Authority Secretary

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: April Chan Executive Officer, Planning and Development  
C.H. (Chuck) Harvey Deputy CEO

SUBJECT: **ALLOCATION OF NEW MEASURE A HIGHWAY PROGRAM FUNDS FOR THE STAGED US 101 HOV LANE (WHIPPLE TO SAN BRUNO) PROJECT**

**ACTION**

Staff proposes the Board approve the following:

1. Allocate \$2 million in New Measure A Highway Program Supplemental Roadway Projects funds for the Staged US 101 High Occupancy Vehicle (HOV) Lane Project Study Report to the City/County Association of Governments of San Mateo County (C/CAG).
2. Authorize the Executive Director or his designee to execute any necessary documents or agreements to give effect to the above-referenced actions.

**SIGNIFICANCE**

The Board programmed Measure A Highway Program funds for a number of projects at its October 4, 2012 meeting, with allocation of funds to these projects conditioned on the projects or applicants fulfilling certain specified requirements.

C/CAG submitted an application for \$2 million for the Staged US 101 HOV Lane (Whipple to San Bruno) study to evaluate the potential combination of additional lanes and conversion of mixed-flow lanes to High Occupancy Vehicle (HOV) lanes. Funding for the project was programmed by the Board, but the allocation of the funding was subject to the provision of a C/CAG governing board resolution in support of the project. C/CAG's existing policy does not support the conversion of multi-flow or auxiliary lanes to HOV lanes. However, as congestion conditions and forecasts have changed, the results of this study would feed into C/CAG's current update of the Countywide Transportation Plan. The C/CAG Board of Directors passed a resolution in accepting the funds for this study on October 11, 2012 (Attachment A), fulfilling the condition for the allocation of funds.

Staff recommends the Board allocate the programmed funds to the project, as the condition for allocation has been met. This would enable the project to proceed.

## **BUDGET IMPACT**

These funds were previously programmed by the Board in October 2012. These funds are included in the FY2013 budget.

## **BACKGROUND**

At the October 4, 2012 meeting, the Board approved 23 projects to receive \$82.71 million in Measure A Highway Program funds by taking two separate actions. The first action was to program and allocate funds to one group of projects, and the second was to only program funds to the other group of projects. The distinction between these two actions is as follows:

- **Program and allocate:** The Board programs and allocates funds to project in a single combined action. Thereafter funds may be expended on the project for reimbursement by the TA.
- **Program only:** The Board only programs funds as a commitment to a project, without allocating those funds. Funds may not be expended for reimbursement until the Board allocates the funds through a subsequent action. The allocation of programmed funds would be conditioned on the project (or sponsor) fulfilling certain conditions, i.e. project readiness to start the next phase. Sponsors would have to demonstrate that the condition had been met before requesting a Board allocation for programmed funds.

ATTACHMENT A: C/CAG Board of Directors Resolution 12-60

Prepared By: Celia Chung, Planning and Development

650-508-6466

**RESOLUTION 12-60**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE CITY/COUNTY ASSOCIATION OF GOVERNMENTS OF SAN MATEO COUNTY AUTHORIZING THE ACCEPTANCE OF \$2,000,000 TO PERFORM THE HIGH-OCCUPANCY VEHICLE LANE (HOV) HYBRID STUDY ON US 101 FROM WHIPPLE TO SOUTH OF THE I-380 INTERCHANGE.**

**WHEREAS**, the Board of Directors of the City/County Association of Governments has been awarded funds for the HOV Hybrid Study on US 101; and,

**WHEREAS**, the San Mateo County Transportation Authority (SMCTA), is providing Measure A grant funding for this study, conditioned on the approval of a resolution in support of the study; and,

**WHEREAS**, the C/CAG is aware of the 2001 Countywide Transportation Plan language; and,

**WHEREAS**, the C/CAG is aware that congestion conditions and forecast have changed significantly since 2001; and,

**WHEREAS**, C/CAG desires to access the funds to proceed with study efforts,

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the City/County Association of Governments of San Mateo County that the Board authorizes the acceptance of \$2,000,000 to perform the High-Occupancy Vehicle lane (HOV) Hybrid Study on US 101 from Whipple to south of the I-380 interchange.

**PASSED, APPROVED, AND ADOPTED THIS 11TH DAY OF OCTOBER 2012.**



*Bob Grassilli, Chair*

**RESOLUTION NO. 2013 –**

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STATE OF CALIFORNIA**

**\* \* \***

**ALLOCATING \$2 MILLION TO THE STAGED US 101 HOV LANE  
(WHIPPLE TO SAN BRUNO) PROJECT IN NEW MEASURE A FUNDS  
FROM THE HIGHWAY PROGRAM CATEGORY**

**WHEREAS**, on November 2, 2004, the voters of San Mateo County approved continued collection and distribution by the TA of a half-cent transactions and use tax for an additional 25 years to implement the 2004 Transportation Expenditure Plan beginning January 1, 2009 (New Measure A); and

**WHEREAS**, the 2004 Transportation Expenditure Plan designates 10.2 percent of the New Measure A revenues to fund supplemental roadway projects under the Highway Program; and

**WHEREAS**, the TA issued a Call for Projects in May 2012 for the Highway Program Category; and

**WHEREAS**, the City/County Association of Governments of San Mateo County (C/CAG) submitted an application for \$2 million for the Staged US 101 High Occupancy Vehicle (HOV) Lane (Whipple to San Bruno) Project Study Report; and

**WHEREAS**, the TA Board of Directors authorized the programming of \$2 million in New Measure A funding from the category for Highway Program – Supplemental Roadway Projects – for the Staged US 101 HOV Lane (Whipple to San Bruno) Project Study Report; and

**WHEREAS**, the C/CAG Board of Directors has passed a resolution to accept the funding for the Staged US 101 HOV Lane (Whipple to San Bruno) project; and

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Directors of the San Mateo County Transportation Authority hereby approves the allocation of \$2 million in New Measure A funding from the category for Highway Program – Supplemental Roadway Projects – for the Staged US 101 HOV Lane (Whipple to San Bruno) Project Study Report; and

**BE IT FURTHER RESOLVED** that the Executive Director or his designee is authorized to execute any necessary documents or agreements and to take any additional actions necessary to give effect to this resolution.

Regularly passed and adopted this 3<sup>rd</sup> day of January 2013 by the following vote:

AYES:

NOES:

ABSENT:

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Chair, San Mateo County Transportation Authority

ATTEST:

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Authority Secretary

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: April Chan  
Executive Officer, Planning & Development

SUBJECT: **AUTHORIZATION TO ENTER INTO COOPERATIVE AGREEMENT  
WITH THE COUNTY OF SAN MATEO FOR RIGHT OF WAY SERVICES IN SUPPORT  
OF TRANSPORTATION AUTHORITY (TA) CAPITAL PROJECTS**

**ACTION**

Staff recommends the Board authorize the Executive Director, or designee, to enter into a Cooperative Agreement (Agreement) with the County of San Mateo (County) to enable the County to provide right of way services in support of TA capital projects.

**SIGNIFICANCE**

The Agreement will enable the TA to use the County's eminent domain authority to condemn property that can not otherwise be acquired through negotiation.

**BUDGET IMPACT**

Staff anticipates that funds required for the purchase of right of way will be included in the budget of any future capital projects subject to this Agreement.

**BACKGROUND**

Staff anticipates that TA may be the lead agency for implementation of certain transportation improvement projects to be constructed using San Mateo County Measure A funds. While the TA does not have the legal authority to condemn property for highway or transportation projects, the County has such authority.

Under the Agreement, the County will consider and approve Resolutions of Necessity and related actions for any properties to be condemned, but the TA shall be responsible for planning, prosecuting and managing all property acquisitions and eminent domain proceedings. Additionally, the TA shall pay all cost associated with the Agreement, including staff costs, consultant and legal fees and the cost of the properties themselves. The County is required to transfer any property acquired through eminent domain to the TA within 90 days after acquisition.

As part of the Agreement, the County will provide the right of way services discussed above, subject to the consent of the County, which shall be provided on a case by case basis. The execution of the Agreement would authorize the County to provide

right of way services for the Broadway Interchange Project, which the County has indicated that it would perform.

Prepared by: Brian Fitzpatrick, Manager, Real Estate & Property  
Development

650-508-7781

**RESOLUTION NO. 2013 –**

**BOARD OF DIRECTORS, SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STATE OF CALIFORNIA**

**\* \* \***

**AUTHORIZING COOPERATIVE AGREEMENT  
WITH THE COUNTY OF SAN MATEO FOR RIGHT OF WAY SERVICES IN SUPPORT OF  
TRANSPORTATION AUTHORITY CAPITAL PROJECTS**

**WHEREAS**, staff anticipates that the San Mateo County Transportation Authority (Authority) may be the lead agency for implementation of certain transportation improvement projects to be constructed using San Mateo County Measure A funds, including the Broadway Interchange Project; and

**WHEREAS**, the Authority does not have the legal authority to condemn property for highway or transportation projects; and

**WHEREAS**, the County of San Mateo (County) has such legal authority; and

**WHEREAS**, staff has negotiated an agreement with the County under which the County will consider and approve Resolutions of Necessity and related actions for any properties to be condemned in support of Authority projects; and

**WHEREAS**, under the Agreement, the TA shall be responsible for planning, prosecuting and managing all property acquisitions and eminent domain proceedings and for paying all costs associated with all property acquisitions thereunder; and

**WHEREAS**, the County's obligation is to provide right of way services is subject to the consent of the County, which shall be provided on a case by case basis; and

**WHEREAS**, as part of the Agreement, the County has agreed to provide right of way services for the Broadway Interchange Project.

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Directors of the San Mateo County Transportation Authority authorizes the Executive Director, or his designee, to enter into a Cooperative Agreement with the County of San Mateo for right of way services in support of Authority capital projects; and

**BE IT FURTHER RESOLVED** that the Executive Director, or his designee, is authorized to take all other actions necessary to give effect to this resolution.

Regularly passed and adopted this 3<sup>rd</sup> day of January 2013 by the following vote:

AYES:

NOES:

ABSENT:

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Chair, San Mateo County Transportation Authority

ATTEST:

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Authority Secretary



- Approach to Scope of Services 15 points
- Qualifications and Experience of Firm 35 points
- Qualifications and Experience of Management Team and Key Personnel 30 points
- Cost Proposal 20 points

After review, evaluation, and initial scoring of proposals, the Committee invited all three firms for interviews. Following interviews, the Committee completed the final evaluation and consensus ranking. The firms are listed below in order of their final consensus ranking:

- Holland & Knight, LLP, Washington, D.C.
- Kadesh & Associates, LLC, Washington, D.C.
- FaegreBD Consulting, Washington, D.C.

Holland & Knight has a broad experience in the area of Federal legislative advocacy services and has been recognized by peers as one of the best performing transportation practices in the nation; furthermore, it has a strong presence in the Washington, D.C. area. Key personnel assigned to the Transportation Authority's account have in-depth knowledge of DOT and FTA regulatory requirements and extensive experience in government relations and public transportation legislative advocacy.

This background demonstrates that the firm has the requisite depth of knowledge and experience in Federal policy and legislative advocacy services for the successful advocacy of the Transportation Authority's Federal transportation priorities. Holland & Knight will provide all of the required services with its own staff and will not engage any subconsultants.

Holland & Knight currently provides Federal legislative advocacy services for the Transportation Authority and the District. The value of Holland & Knight's current Transportation Authority contract is \$180,000 for a three-year term.

Contract Officer: Adwoa Oni 650-508-6411  
 Project Manager: Seamus Murphy, Director, Government & Community Affairs 650-508-6388

**RESOLUTION NO. 2013 –**

**BOARD OF DIRECTORS, SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STATE OF CALIFORNIA**

**\* \* \***

**AUTHORIZING AWARD OF CONTRACT  
TO PROVIDE FEDERAL LEGISLATIVE ADVOCACY SERVICES  
FOR A FIRM FIXED PRICE OF \$210,000 FOR FIVE YEARS**

**WHEREAS**, the San Mateo County Transportation Authority (Authority) has solicited competitive proposals to provide Federal legislative advocacy services; and

**WHEREAS**, in response to the Authority's advertisement, three firms submitted proposals; and

**WHEREAS**, an Evaluation Committee (Committee) has reviewed proposals, conducted interviews, and ranked the proposers according to the evaluation criteria set forth in the Request for Proposals (RFP); and

**WHEREAS**, the Committee has determined that Holland & Knight, LLP is the highest consensus-ranked firm; and

**WHEREAS**, legal counsel has reviewed Holland & Knight, LLP's proposal and determined that it complies with the requirements of the solicitation documents; and

**WHEREAS**, the Executive Director recommends that a five-year contract for Federal legislative advocacy services be awarded to Holland & Knight, LLP for a total firm fixed price of \$210,000.

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Directors (Board) of the San Mateo County Transportation Authority hereby awards a contract for Federal legislative advocacy services to Holland & Knight, LLP of Washington, D.C. for a five-year term for a firm fixed price of \$210,000; and

**BE IT FURTHER RESOLVED** that the Board authorizes the Executive Director or designee to execute a contract on behalf of the Authority with Holland & Knight, LLP in full conformity with all of the terms and conditions of the contract documents and negotiated agreement, and in a form approved by legal counsel.

Regularly passed and adopted this 3<sup>rd</sup> day of January 2013 by the following vote:

AYES:

NOES:

ABSENT:

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Chair, San Mateo County Transportation Authority

ATTEST:

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Authority Secretary

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: Mark Simon  
Executive Officer, Public Affairs

SUBJECT: **STATE AND FEDERAL LEGISLATIVE UPDATE**

**ACTION**

This report is for information only. No Board action is required.

**SIGNIFICANCE**

Staff will provide regular updates to the Board in accordance with the approved Legislative Program.

Due to the holidays, staff has no significant State or Federal items to report for either State or Federal Legislature.

Prepared By: Seamus Murphy, Director, Government and Community Affairs 650-508-6388

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: C.H. (Chuck) Harvey  
Deputy CEO

SUBJECT: **PROGRAM REPORT: RAILROAD GRADE SEPARATIONS PROGRAM  
(SAN BRUNO)**

**ACTION**

No action is required. This item is being presented to the Board for information only.

**SIGNIFICANCE**

This presentation is part of a series of program reports presented to the Board. Each of the Transportation Authority's six program areas – Transit, Highways, Local Streets/Transportation, Grade Separations, Pedestrian & Bicycle, and Alternative Congestion Relief Programs – are featured individually throughout the year. This month features a report on the Grade Separations Program with specific emphasis on the San Bruno Project.

**BUDGET IMPACT**

There is no impact on the budget.

**BACKGROUND**

As part of the ongoing effort to explore, develop and implement projects that improve safety on the Caltrain system and facilitate local traffic flow, the TA has funded a number of railroad grade separation projects that eliminate railroad at-grade crossings in San Mateo County.

The State Public Utilities Commission's 190 grade separation priority list identifies the San Bruno Grade Separation Project as the top priority on the Caltrain system. The project will raise the profile of the railroad on a retained embankment eliminating vehicular crossings at San Bruno, San Mateo and Angus avenues and replace them with an underpass. The project also includes pedestrian undercrossings at Euclid and Sylvan avenues and will replace the existing station with a new station on the elevated structure.

The total cost of the project is estimated to be \$147 million, of which the TA has budgeted \$111.4 million to fund right of way acquisition, environmental studies, design and construction.

Construction began spring 2010 with an advanced drainage project and is expected to be completed in late 2013.

This month's presentation will be presented via PowerPoint.

Prepared By: Joseph M. Hurley, Director, TA Program

650-508-7942



The Capital Projects Quarterly Status Report – 1<sup>st</sup> Quarter  
Fiscal Year 2013 is available for review at the following link:

[http://www.smcta.com/Assets/ Finance/Quarterly+Capital+Program+Status+Report/FY13\\_Q1\\_TA\\_Quarterly\\_Report.pdf](http://www.smcta.com/Assets/Finance/Quarterly+Capital+Program+Status+Report/FY13_Q1_TA_Quarterly_Report.pdf)