



# AGENDA

## SAN MATEO COUNTY TRANSPORTATION AUTHORITY

Bacciocco Auditorium, 2<sup>nd</sup> Floor  
1250 San Carlos Avenue, San Carlos, CA 94070

**December 5, 2013 – Thursday**

**5:00 p.m.**

1. Pledge of Allegiance
2. Call to Order/Roll Call
3. Report of the Citizens Advisory Committee
4. Consent Calendar MOTION  
Members of the public or Board may request that an item under the Consent Calendar be considered separately
  - a. Approval of Minutes of November 7, 2013
  - b. Acceptance of Statement of Revenues and Expenditures for October 2013
5. Public Comment  
Public comment by each individual speaker shall be limited to one minute
6. Chairperson's Report
7. SamTrans Liaison Report – Meeting of December 4, 2013 INFORMATIONAL
8. Joint Powers Board Report
9. Report of the Executive Director
10. Program
  - a. Program Report: Highway 101/Broadway Interchange INFORMATIONAL
  - b. Update on New Measure A Pedestrian and Bicycle Program Criteria for the 2013 Call for Projects INFORMATIONAL
  - c. Update on State and Federal Legislative Program INFORMATIONAL
  - d. Capital Projects Quarterly Status Report – 1<sup>st</sup> Quarter Fiscal Year 2014 INFORMATIONAL
11. Requests from the Authority
12. Written Communications to the Authority

13. Date/Time of Next Meeting: Thursday, January 9, 2014, 5 p.m. at  
San Mateo County Transit District Administrative Building,  
Bacciocco Auditorium, 2<sup>nd</sup> Floor, 1250 San Carlos Avenue,  
San Carlos, CA 94070

14. Report of Legal Counsel

Closed Session: Conference with Legal Counsel – Existing  
Litigation Pursuant to Government Code Section 54956.9(a):  
Pacifigans for a Scenic Coast vs. California Department of  
Transportation, Respondents and Defendants, and San Mateo  
County Transportation Authority and City of Pacifica, Real Parties  
in Interest and Defendants

15. Adjournment

## **INFORMATION FOR THE PUBLIC**

All items appearing on the agenda are subject to action by the Board. Staff recommendations are subject to change by the Board.

If you have questions on the agenda, please contact the Authority Secretary at 650-508-6242. Assisted listening devices are available upon request. Agendas are posted on the Authority Website at [www.smcta.com](http://www.smcta.com).

### **Location, Date and Time of Regular Meetings**

Regular meetings are held at the San Mateo County Transit District Administrative Building located at 1250 San Carlos Ave., San Carlos, which is located one block west of the San Carlos Caltrain Station on El Camino Real. The building is also accessible by SamTrans bus Routes 260, 295, ECR, and KX.

The Transportation Authority (TA) meets regularly on the first Thursday of the month at 5 p.m. The TA Citizens Advisory Committee (CAC) meets regularly on the Tuesday prior to the first Thursday of the month at 4:30 p.m. at the San Mateo County Transit District Administrative Building.

### **Public Comment**

If you wish to address the Board, please fill out a speaker's card located on the agenda table. If you have anything that you wish distributed to the Board and included for the official record, please hand it to the Authority Secretary, who will distribute the information to the Board members and staff.

Members of the public may address the Board on non-agendized items under the Public Comment item on the agenda. Public testimony by each individual speaker shall be limited to one minute and items raised that require a response will be deferred for staff reply.

### **Accessibility for Individuals with Disabilities**

Upon request, the TA will provide for written agenda materials in appropriate alternative formats, or disability-related modification or accommodation, including auxiliary aids or services, to enable individuals with disabilities to participate in public meetings. Please send a written request, including your name, mailing address, phone number and brief description of the requested materials and a preferred alternative format or auxiliary aid or service at least two days before the meeting. Requests should be mailed to the Authority Secretary at the San Mateo County Transportation Authority, 1250 San Carlos Avenue, San Carlos, CA 94070-1306 or emailed to [board@smcta.com](mailto:board@smcta.com); or by phone at 650-508-6242, or TDD 650-508-6448.

### **Availability of Public Records**

All public records relating to an open session item on this agenda, which are not exempt from disclosure pursuant to the California Public Records Act, that are distributed to a majority of the legislative body will be available for public inspection at 1250 San Carlos Avenue, San Carlos, CA 94070-1306, at the same time that the public records are distributed or made available to the legislative body.

**MINUTES OF NOVEMBER 7, 2013**

**MEMBERS PRESENT:** R. Foust, C. Groom, K. Matsumoto, T. Nagel, N. Patridge

**MEMBERS ABSENT:** D. Canepa, D. Horsley

**STAFF PRESENT:** J. Averill, J. Cassman, A. Chan, E. Goode, G. Harrington,  
C. Harvey, R. Haskin, J. Hurley, M. Martinez, N. McKenna,  
M. Scanlon, M. Simon, J. Slavit

Chair Carole Groom called the meeting to order at 5:01 p.m. and led the Pledge of Allegiance.

**CITIZENS ADVISORY COMMITTEE (CAC) REPORT**

CAC Chair Barbara Arietta provided a report on the meeting of October 1, 2013 (see attached).

Director Karyl Matsumoto arrived at 5:07 p.m.

Public Comment

Rich Hedges, San Mateo, said the Burlingame grade separation is most needed even though Burlingame is not providing matching funds. He said the San Mateo separation at 25<sup>th</sup> Avenue is also important because the economic development at Bay Meadows would be hampered without a separation.

**CONSENT CALENDAR**

Director Terry Nagel asked to pull the minutes from the consent calendar.

- b. Acceptance of Statement of Revenues and Expenditures for Fiscal Year Ending June 2013 (unaudited)
- c. Acceptance of Statement of Revenues and Expenditures for September 2013
- d. Approval of 2014 Board of Directors Meeting Calendar

A motion (Foust/Nagel) to approve the Consent Calendar was approved.

**Approval of Minutes of October 3, 2013**

Director Nagel asked if other transit agencies received money from the Lehman Brothers settlement and if the funding is available to be allocated. Michael Scanlon, Executive Director, said the TA received a total recovery of \$10,940,520.10, which amounts to 43 cents on the dollar. The San Mateo County Transit District did not have any money in the Lehman account. The Peninsula Corridor Joint Powers Board (JPB) recovered approximately \$566,476, about 43 cents on the dollar. The funds will go back exactly as the appropriations were made for the measure.

A motion (Matsumoto/Foust) to approve the minutes of October 3, 2013 was approved (Nagel abstained).

## **PUBLIC COMMENT**

Rich Hedges, San Mateo, said he supports Mr. Scanlon in his efforts to run the three agencies. He said he saw a misleading report about the agencies, but Mr. Scanlon did a very good job dealing with the questions.

## **CHAIRPERSON'S REPORT – CAROLE GROOM**

None

## **SAMTRANS LIAISON REPORT – KARYL MATSUMOTO**

Director Matsumoto provided additional information from the November 6 Board meeting:

- The Board affirmed their confidence in Mr. Scanlon.
- The Board thanked the County Board of Supervisors because through the county's Measure A, SamTrans will be given \$5 million for Fiscal Years 2014-2015 for paratransit service.
- The SamTrans Service Plan found 760 bus stops need to be adjusted, 134 to be eliminated, 72 new ones to be placed, and 100 to be reviewed for accuracy.

## **JPB REPORT**

Mr. Scanlon reported:

- Nuria Fernandez, the new general manager for Santa Clara Valley Transportation Authority, was introduced.
- Key Caltrain Performance Statistics
  - Monthly Performance Statistics – September 2013 compared to September 2012
    - Total Ridership was 1,395,711, an 8.2 percent increase.
    - Average Weekday Ridership was 54,308, a 6.9 percent increase.
    - Total Farebox Revenue was \$6,282,145, a 7.2 percent increase.
    - On-time Performance (OTP) was 90.9 percent, a 5 percent increase.
    - Caltrain Shuttle Ridership was 7,239, a 29.4 percent decrease. There continues to be problems with the counts on the Marguerite Shuttle.
  - Year to Date Performance Statistics – September 2013 compared to September 2012
    - Total Ridership was 4,353,765, a 10.3 percent increase.
    - Average Weekday Ridership was 54,379, a 10.2 percent increase.
    - Total Farebox Revenue was \$19,441,212, a 10.1 percent increase.
    - OTP was 90.8 percent, a 0.5 percent decrease.
    - Caltrain Shuttle Ridership was 6,995, a 20 percent decrease.
- Staff is working with TransitAmerica Services, Inc. to improve mechanical issues. OTP is affected by mechanical issues, record ridership, persons needing assistance, bicycles and dwell times. When measured within 10 minutes of the schedule, OTP is at 96 percent, proving delays are predominately caused by overloads and extended dwell times.
- Staff received rave reviews about the Caltrain 150<sup>th</sup> Anniversary event.
- The Holiday Train will be running the weekend of December 7.
- A Caltrain By the Numbers Annual Report has been produced.

- An emergency preparedness drill was conducted on November 2 and 150 local first responders attended the vehicle familiarization training.
- The Bicycle Advisory Committee is recruiting for four members.
- Extra riders are expected for Stanford football.
- A Sunday schedule will be operated on Thanksgiving Day, and a Saturday schedule will be operated the Friday after Thanksgiving.
- The San Bruno Grade Separation grand opening will be in early spring.
- Staff proposed changes to the Codified Tariff to include a 10 percent discount to groups of 25 or more and residential complexes in the GO Pass Program.
- The Board:
  - Received updates on the 4<sup>th</sup> and King Study and Caltrain Modernization
  - Authorized reaffirming the Annual Investment Policy and gave authorization to invest monies with the Local Agency Investment Fund
  - Authorized the rejection of all proposals for a Project Delivery Director for the Caltrain Modernization Program
  - Authorized the rejection of the lowest monetary bid from Canada Ticket, Inc. as non-responsive and awarded a contract to Paper Solutions, Inc. to provide typesetting, printing, and delivery of thermal and non-thermal ticket media for a total estimated cost of \$142,840 for a two-year term
  - Approved the adoption of the Caltrain Title VI Program
  - Adjourned in the memory of former SamTrans Board member and County Supervisor Bill Schumacher

#### Public Comment

Greg Conlon, Atherton, said it would cost about \$1.5 billion for a 10-mile trench between Palo Alto, Menlo Park and Atherton. He said there are about six or seven intersections in that area, and that there is congestion at those intersections. In the last year, there were five to 10 fatalities in those areas.

Adina Levin, Friends of Caltrain, said Caltrain is looking at the potential for level boarding and this would affect decisions about the purchase of rail cars for electrification. Level boarding would reduce delays, speed up the line, increase reliability and allow for better transfers. She said this will require money to upgrade platforms and would be a good investment to help alleviate dwell time issues.

#### **REPORT OF THE EXECUTIVE DIRECTOR**

Mr. Scanlon said:

- The Draft Environmental Impact Report (DEIR) for the Highway 101/Willow Interchange was released for public comment. Eleven comments were received ranging from concerns about noise and disruption of traffic during construction to requests to accommodate the needs of pedestrians and bicyclists. The cities of Menlo Park and East Palo Alto commented the project is consistent with their goals and objectives. Comments will be addressed as part of the Final EIR, which is scheduled to be approved by the end of the year.
- Redwood City City Council awarded a contract to URS for preparation of the EIR with the proposed reconstruction of the Highway 101/Woodside Interchange. The TA allocated \$3.42 million for the environmental phase of the project.

- The TA allocated \$61,000 for the Alpine Road Bicycle Safety Improvement Project. The area has been revamped and a clearly delineated bike lane has been added.
- SamTrans will conduct a study and outreach to start looking at Bus Rapid Transit along El Camino Real. SamTrans will be reaching out to city councils and elected officials, and will hold community events.

## **FINANCE**

### **Authorize Acceptance of Quarterly Investment Report and Fixed Income Market Review Outlook for the Quarter Ended September 30, 2013**

Bill Osher, CSI Investment, said the portfolio continues to cruise at a modest pace in line with low interest rates and is staying very safe. It was experiencing higher interest rates for a short time, a sign that things were getting better and people were hopeful with the economy. The rates fell in September due to the government shutdown. The economy is not growing as fast as it could because of uncertainty coming out of Washington D.C. He said there is underlying strength in the economy and he is hopeful to see improvements in employment and economic growth starting in the middle of next year. At that time, interest rates will start to go up again and the TA will start earning more money on the portfolio.

### **Authorize Amendment of the Fiscal Year 2014 Budget and Programming and Allocation of \$5,350,000 of Measure A Funds from the Grade Separation Program**

Joel Slavit, Manager, Programming and Monitoring, said the solicitation for candidate grade separation projects was issued on August 5. Four proposals were received. One proposal was for preliminary engineering environmental work, and three proposals were for planning work, including a request for \$750,000 from the city of Menlo Park for the grade separation of Ravenswood Avenue. Proposals were evaluated using the criteria presented to the Board in August. Staff is recommending deferral of the final decision of Menlo Park's proposal until it is revised to meet program eligibility criteria. The existing proposal states the city will only support a two-track option, but this is inconsistent with the requirement that a scenario be considered to accommodate the Caltrain/high-speed rail blended system, which may include a third passing track. Staff will work with the city and will return to the Board when the proposal includes a study of at least one option that could accommodate a passing track.

Director Naomi Patridge asked if another city puts in for money that did pass the qualifications, is it being held up while Menlo Park amends their proposal. Mr. Scanlon said these are the only four proposals received and they are not holding anyone up.

Director Nagel offered thanks for the allocation for Burlingame and she said she brings gratitude from staff, council and citizens.

### Public Comment

Larry Patterson, Interim City Manager, San Mateo, said San Mateo supports the recommendations from the evaluation panel and staff for the 25<sup>th</sup> Avenue Grade Separation Project, which has been in planning for 15 years.

Jim Bigelow, Redwood City/San Mateo County Chamber of Commerce, said he supports staff's recommendation. He said any grade separations can only be an improvement to safety, speeding up the trains and they are good for residents. He said San Mateo already purchased the shoofly land needed for the project.

Rich Hedges, San Mateo, said the way local communities are designed without grids forces everyone on arterials, and just to clean the air, grade separations are a good idea.

A motion (Foust/Nagel) to authorize the amendment of the Fiscal Year 2014 Budget and to program and allocate \$5,350,000 of Measure A Funds from the Grade Separation Program was approved.

**Authorize Allocation of \$240,000 in Original Measure A Funds to the Peninsula Corridor Joint Powers Board for Design Review on the South San Francisco Caltrain Station**

Eva Goode, Manager, Budgets, said the funds for this project are coming from previously budgeted amounts and the design review work is being done for the three hold-out rule locations in the county.

Director Nagel said she hopes staff is creating one plan with different options so there is no duplication of effort. She would not like to see something built and then have to be torn up later. Mr. Scanlon said staff is working closely with the community on this. He said within the blended system there are three geographic locations where there could be four-track sections and there is an option to have a third track. He said staff is conscious of not duplicating effort.

Public Comment

Adina Levin, Friends of Caltrain, said moving forward on the holdout rule stations is good for reliability and productivity of the system. She thanked staff for the work in South San Francisco where a set of improvements will have a major impact on the usability of the station.

A motion (Matsumoto/Nagel) to approve the allocation of \$240,000 in Original Measure A funds to the Peninsula Corridor Joint Powers Board for design review on the South San Francisco Caltrain Station was approved.

**PROGRAM**

**Review the Program of Projects for Transmittal to Metropolitan Transportation Commission (MTC) for Dumbarton Rail Regional Measure 2 (RM2) Funding**

April Chan, Executive Director, Planning and Development, said this is an informational item and no action will be taken. The administrative draft of the Dumbarton Rail Project EIR and Environmental Impact Statement was put on hold because of a deficient funding plan. MTC wanted an implementation plan regarding RM2 funds. Staff has been working with the Alameda County Transportation Commission and the cities of Menlo Park, East Palo Alto and Redwood City to determine how this funding could be used for the corridor and implemented quickly. The list of projects exceeds the \$34.7 million that is available. Supporting the existing Dumbarton Express Bus Service was determined to be a priority because it helps to relieve congestion in the corridor. The

Citizens Advisory Panel and the Policy Advisory Committee (PAC) made additional recommendations regarding station projects. Staff grouped some bus service improvements with some station projects to add up to \$34.7 million.

Ms. Chan said in 1998, Measure A funding was moved from the Grade Separation budget category to the Caltrain Improvement category for the Rapid Rail Study to benefit Dumbarton Rail. Staff is determining how much funding is remaining and will come back with recommendations about what should be done.

Director Nagel asked if staff has a rough idea how much funding is available and if it has to be used for Dumbarton. Ms. Chan said there is around \$45 million and staff is trying to determine where to move the funding.

#### Public Comment

Jim Bigelow, Menlo Park Chamber of Commerce, said the list has projects that total \$100 million. He said the Fremont PAC representative wanted to use the \$34.7 million for the Warm Springs Bay Area Rapid Transit operation. Caltrain has expressed to be the operator when and if this line ever goes to Union City, so the funds need to be kept in this area and not for other RM2 projects.

Mr. Scanlon said \$91 million of the RM2 money already has been loaned to Warm Springs so the TA must be vigilant.

#### **Update on State and Federal Legislative Program**

Mark Simon, Executive Director, Public Affairs, provided the following update.

#### State

Staff is working with the Transportation Coalition for Livable Communities to advocate that fuel-related cap-and-trade revenue be distributed regionally to transportation agencies.

The TA issued a Request for Proposals for State legislative advocates. Staff is working on developing the 2014 Legislative Program.

#### Federal

The Budget Conference Committee continues to meet to try to develop a compromise that will avoid another government shutdown before the next round of sequestration cuts is triggered January 15. They have a deadline of December 13 to present a spending debt limit compromise plan to Congress.

#### **REQUESTS FROM THE AUTHORITY**

Director Matsumoto said Bill Schumacher was very pro-Caltrain and asked the Board to adjourn in his memory. She also added for the SamTrans report, Joan Cassman, Legal Counsel, will be lead counsel effective January 1, 2015.

Director Rosanne Foust thanked Mr. Scanlon, Corrine Goodrich, Manager, Strategic Development and Ronny Kraft, Planner, for their work on the Grand Boulevard Initiative.

She congratulated Director Matsumoto for her reelection to the South San Francisco City Council.

**WRITTEN COMMUNICATIONS TO THE AUTHORITY**

No discussion

**REPORT OF LEGAL COUNSEL**

No report.

**DATE AND PLACE OF NEXT MEETING**

December 5, 2013 at 5 p.m. in the San Mateo County Transit District Administrative Building, Bacciocco Auditorium, 2<sup>nd</sup> floor, 1250 San Carlos Avenue, San Carlos CA 94070

Meeting adjourned at 6:04 p.m. in the memory of Bill Schumacher.

Good evening Madam Chair and Board members. Here is what I have to report from the CAC's Tuesday evening meeting:

**(TA Item 4a)** - The CAC reviewed the TA Board's Minutes of October 3, 2013, without any questions or comments.

**(TA Item 4b)** - The CAC supported the acceptance of the Statement of Revenues and Expenditures for Fiscal Year Ending June 2013.

**(TA Item 4c)** - The CAC supported the acceptance of the Statement of Revenues and Expenditures for September 2013.

**(TA Item 4d)** - The CAC supported the approval of the 2014 Board of Director's calendar and was glad to see that the Board proposes to change its first meeting of the year to January 9th, instead of January 2nd, thereby giving both the members of the CAC and the Board the potential opportunity to be away for the New Year's holiday.

**(TA Item 10a)** - The CAC was disappointed that, due to illness, Mr. Bill Osher (our TA Investment Advisor), was unable to attend our meeting and present, in person, his usual in-depth discussion of the TA's Investment portfolio. However, the CAC voted to support the acceptance of the Quarterly Investment Report and Fixed Income Market Review and appreciates and accepts the offer from Mr. Osher to attend next month's CAC meeting to further discuss same.

**(TA Item 10b)** - Following a brief update by Mr. Joel Slavit, Manager, Programming and Monitoring, along with a thorough Q and A session immediately following, the CAC voted to support the Amendment of the Fiscal Year 2014 Budget and Programming and Allocation of \$5,350,000 of Measure A Funds from the Grade Separation Program category for planning at 2 grade crossing; South Linden and Broadway and environmental studies at 25<sup>th</sup> Avenue in San Mateo.

**(TA Item 10c)** - The CAC supported the allocation of \$240,000 in Original Measure A Funds to the Peninsula Corridor Joint Powers Board for Design Review on the South San Francisco Caltrain Station.

**(TA Item 11a)** - As an information item only, April Chan, Executive Officer, Planning and Development, reviewed with the CAC the list of prioritized projects included for Dumbarton Corridor Regional Measure 2 (RM2) funding consideration. Because the list of projects exceeded the \$34.7 million of RM2 funds available, projects were prioritized to support existing Dumbarton Bus service and then to support possible service enhancements, such as transit centers that would support near-term bus transit service and future rail service. The remaining projects exceeding the amount of RM2 funds available were not prioritized however, the CAC agrees that these also should be submitted to the MTC because they are beneficial to the Dumbarton Corridor.

**(TA Item 11b)** - The CAC received no update on the State and Federal Legislative Program, however, the CAC was advised by Joe Hurley, TA Program Director, that there hasn't been much activity since the last month report being the state legislature is in recess and won't return until January and on the federal side focus was on working through and the government shutdown and once that was addressed attention was turned to health care.

#### **CAC CHAIR'S REPORT TO CAC:**

1. The first order of business in my own report to the CAC was to discuss the rescheduling of our December 30th meeting to January 7th, in order to conform with the Board's newly amended meeting schedule for January 2014. The CAC voted unanimously to approve the change in schedule.
2. Reported to the CAC about Caltrain's 150th birthday celebration festivities that were held at the Menlo Park Caltrain Station on October 19th. Although I was unable to attend, John Baker, the newest member of the CAC, did and reported back to us that the day's events were a huge success, especially with the added treat of free ice cream being given to all!
3. Additionally advised the CAC that the public will be able to get a sneak peak of TransForm's Strategic Plan, entitled TransForm 2018, at its upcoming fall event to be held at its SF office on Thursday November 14th from 6-9pm.
4. Announced to the CAC that the MTC has recently reported that the streets and roadways of the Bay Area have received a score of 66 out of 100 for its Pavement Condition Index (PCI), which means 43,000 miles of lanes and roadways remain in fair condition, with the typical stretch of asphalt showing serious wear and likely to require rehabilitation soon. (BTW, this score has not changed by more than 2 pts since 2006.)  
  
Streets and roadways below a 60 PCI score are likely to deteriorate rapidly. Jurisdictions in San Mateo County with a PCI score of 60 or below are: Belmont, East Palo Alto, Half Moon Bay and Pacifica. The goal is to get everyone of our Bay Area cities to a score of 75 or better.
5. In reference to the new Bay Area Bike Share Program, I advised the CAC that over 2,500 people have now signed up for an annual membership, which costs \$88, and 6,100, thus far, have taken advantage of the \$22 three-day pass or the \$9 daily pass.
6. Advised the CAC about both the Transbay Terminal update scheduled for Wednesday, November 6th at the Transbay HQ in downtown SF and the November 7th JPB San Carlos meeting which will have a Caltrain update on a study exploring the feasibility of moving rail car storage to allow space near 4th and King Streets to be used for new real estate development in San Francisco.

In Joe's report to the CAC, he reiterated what I had initially said about the MTC's PCI Report concerning

the pavement conditions of our local roads and explained further the safety issues and financial challenges involved in rapidly deteriorating roadways. He took the opportunity to remind the CAC of the Measure A's contribution of 22.5% of the sales tax revenue used to maintain roads which totaled \$15.3 M this year and to consider how bad conditions might be without this funding.

He also reminded us that, to our annual Holiday dinner on December 3<sup>rd</sup> to reflect on and celebrate the accomplishments of 2013

Finally I'd like to extend an invitation to the Board to join us.

Respectfully submitted,

Barbara Arietta  
Chair, SMCTA/CAC

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: Gigi Harrington  
Deputy CEO

SUBJECT: **INFORMATION ON STATEMENT OF REVENUES AND EXPENDITURES  
FOR THE PERIOD ENDING OCTOBER 31, 2013**

**ACTION**

Staff proposes the Board accept and enter into the record the Statement of Revenues and Expenditures for the month of October 2013 and supplemental information.

**SIGNIFICANCE**

**Revenues:** Year-to-date *Total Revenue* (\$30,410,352 - line 7) is **better** than staff projections by \$7,187,816 or 31 percent. *Miscellaneous Income* (\$6,319,505 - line 3), is attributable to an unbudgeted recovery payout from the Lehman Brothers bankruptcy. The loss was recorded in Fiscal Year 2009. *Interest Income* (\$1,062,203 - line 2) is \$93,873 or 9.7 percent **better** than projections due to higher than budgeted returns and *Rental Income* (\$420,463 - line 4) is \$36,823 or 9.6 percent **better** than staff projections.

*Total Revenue* (\$30,410,352 - line 7) is \$4,378,470 or 16.8 percent **better** than prior year performance. *Interest Income* (\$1,062,203 - line 2) and *Grant Proceeds* (\$23,514 - line 5) combined is \$1,675,004 or 60.7 percent **worse** than prior year and is slightly offset by *Rental Income* (\$420,463 - line 4) which is \$45,247 or 12.1 percent **better**.

**Expenditures:** *Total Administrative* (\$284,859 - line 22) is **better** than staff projections by \$28,551 or 9.1 percent. Within total administrative, *Staff Support* (\$182,760 - line 18) is \$25,618 or 12.3 percent **better** than staff projections.

**Budget Amendment:** There are no budget revisions for the month of October 2013.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**STATEMENT OF REVENUES AND EXPENDITURES**  
**Fiscal Year 2014**  
**October 2013**

% OF YEAR ELAPSED: 33.3%

	MONTH	YEAR TO DATE				ANNUAL		
	CURRENT ACTUAL	PRIOR ACTUAL	CURRENT ACTUAL	STAFF PROJECTION	% OF PROJ	ADOPTED BUDGET*	STAFF PROJECTION**	% OF PROJ
<b>REVENUES:</b>								
1 Sales Tax	6,034,667	21,924,233	22,584,667	21,848,333	103.4%	68,000,000	68,000,000	32.1%
2 Interest Income	258,057	1,329,261	1,062,203	968,330	109.7%	2,821,040	2,821,040	34.3%
3 Miscellaneous Income	0	971,712	6,319,505 (A)	0	0.0%	0	0	0.0%
4 Rental Income	87,031	375,216	420,463	383,640	109.6%	1,168,300	1,168,300	36.0%
5 Grant Proceeds	1,281	1,431,460	23,514	22,233	105.8%	3,800,500	3,800,500	0.6%
6								
7 <b>TOTAL REVENUE</b>	<b>6,381,035</b>	<b>26,031,882</b>	<b>30,410,352</b>	<b>23,222,536</b>	<b>131.0%</b>	<b>75,789,840</b>	<b>75,789,840</b>	<b>40.1%</b>
8								
9 <b>EXPENDITURES:</b>								
10								
11 Annual Allocations	2,099,078	8,002,345	8,139,828	7,974,641	102.1%	24,820,000	24,820,000	32.8%
12								
13 Program Expenditures	3,127,891.52	10,770,456	10,358,847	28,926,668	35.8%	86,780,000	86,780,000	11.9%
14								
15 Oversight	87,179.44	79,586	308,280	161,667	190.7%	485,000	485,000	63.6%
16								
17 Administrative								
18 Staff Support	34,261	132,971	182,760	208,378	87.7%	565,152	565,152	32.3%
19 Measure A Info-Others	-	-	-	-	0.0%	16,500	16,500	0.0%
20 Other Admin Expenses	51,768	70,885	102,098	105,032	97.2%	318,634	318,634	32.0%
21								
22 Total Administrative	86,030	203,857	284,859	313,410	90.9%	900,286	900,286	31.6%
23								
24 <b>TOTAL EXPENDITURES</b>	<b>5,400,179</b>	<b>19,056,243</b>	<b>19,091,814 (1)</b>	<b>37,376,386</b>	<b>51.1%</b>	<b>112,985,286</b>	<b>112,985,286</b>	<b>16.9%</b>
25								
26 <b>EXCESS (DEFICIT)</b>	<b>980,856</b>	<b>6,975,639</b>	<b>11,318,537</b>	<b>(14,153,850)</b>		<b>(37,195,446)</b>	<b>(37,195,446)</b>	
27								
28 <b>BEGINNING FUND BALANCE</b>	<b>Not Applicable</b>	<b>444,581,025</b>	<b>445,209,464</b>	<b>415,823,164</b>		<b>415,823,164</b>	<b>415,823,164</b>	
29								
30 <b>ENDING FUND BALANCE</b>	<b>Not Applicable</b>	<b>451,556,664</b>	<b>456,528,001 (2)</b>	<b>401,669,314</b>		<b>378,627,718</b>	<b>378,627,718</b>	
31								
32								

Includes the following balances:

34 Cash and Liquid Investments	918,593			FY 2013 Carryover of Commitments (Unaudited)	278,517,658
35 Current Committed Fund Balance	372,411,130 (3)			FY 2014 Additional Commitments (Budgeted)	112,985,286
36 Undesignated Cash & Net Receivable	83,198,278			Less: Current YTD expenditures	(19,091,814) (1)
37 Total	<u>456,528,001 (2)</u>			Current Committed Fund Balance	<u>372,411,130 (3)</u>

"% OF YEAR ELAPSED" provides a general measure for evaluating overall progress

against the annual budget. When comparing it to the amounts shown in the

"% of PROJECT" column, please note that individual line items reflect variations

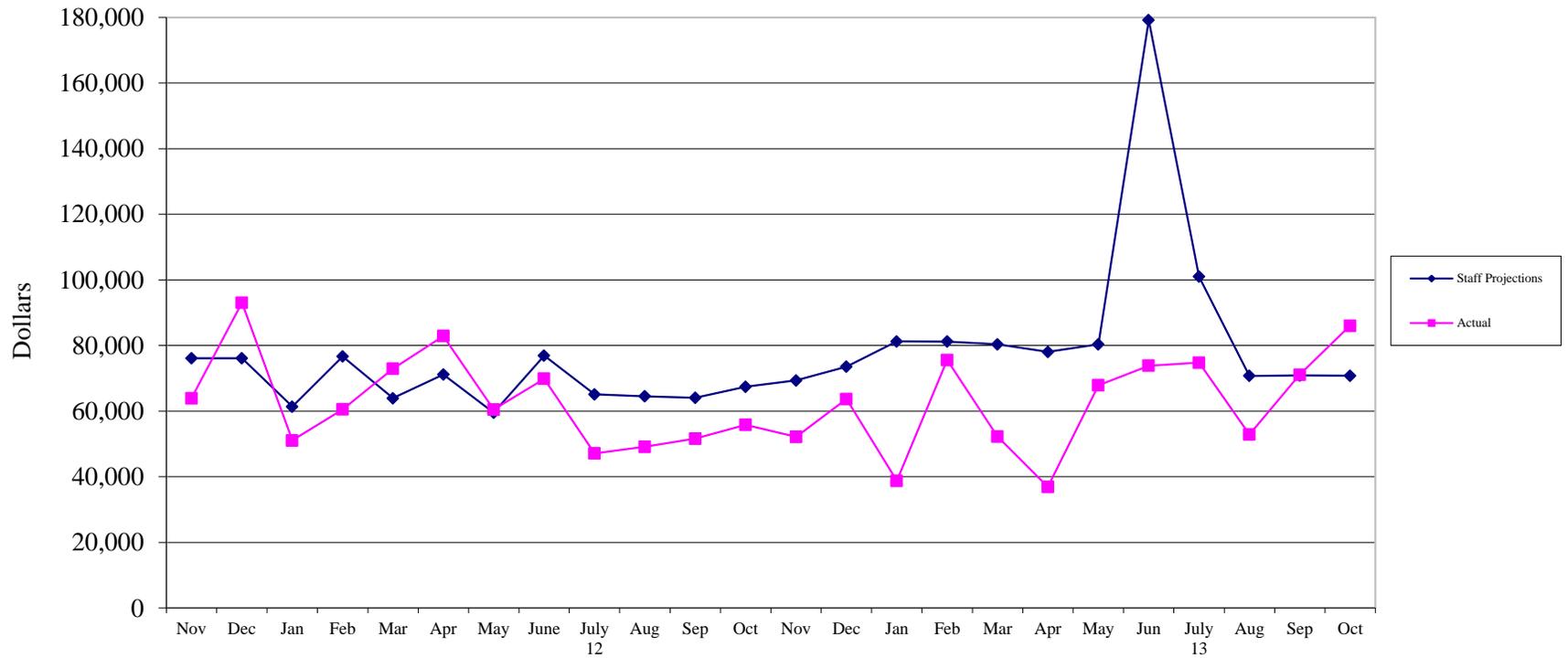
due to seasonal activities during the year.

\* The TA Adopted Budget is the Board adopted budget effective June 5, 2013.

\*\* The TA Staff Projection is the adopted budget including year to date budget transfers.

(A) Unbudgeted recovery payout from Lehman Brothers bankruptcy.

## SMCTA Administrative Expenses



**Current Year Data**

	Jul '13	Aug '13	Sep '13	Oct '13	Nov '13	Dec '13	Jan '14	Feb '14	Mar '14	Apr '14	May '14	Jun '14
<b>MONTHLY EXPENSES</b>												
Staff Projections	101,007	70,766	70,869	70,768								
Actual	74,774	52,917	71,137	86,030								
<b>CUMULATIVE EXPENSES</b>												
Staff Projections	101,007	171,773	242,642	313,410								
Actual	74,774	127,691	198,829	284,859								
Variance-F(U)	26,233	44,082	43,813	28,551								
Variance %	25.97%	25.66%	18.06%	9.11%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%



SAN MATEO COUNTY TRANSPORTATION AUTHORITY

CAPITAL PROJECT RESERVES

AS OF OCTOBER, 2013

TYPE OF SECURITY		MATURITY DATE	INTEREST RATE	PURCHASE PRICE	MARKET VALUE
County Pool #3	*	Liquid Cash	0.630%	\$ 242,602,423	\$ 242,602,423
Local Agency Investment Fund	**	Liquid Cash	0.266%	\$ 8,083,936	\$ 8,083,936
Investment Portfolio	***	Liquid Cash	0.887%	\$ 189,779,299	\$ 190,196,119
Other		Liquid Cash	0.000%	\$ 918,593	\$ 918,593
				<u>\$ 441,384,252</u>	<u>\$ 441,801,071</u>

Accrued Earnings for October, 2013 \$ 268,126.19  
 Cumulative Earnings FY2014 \$ 1,092,283.02

\* County Pool average yield for the month ending October 31, 2013 was 0.630%. As of October, 2013 the amortized cost of the Total Pool was \$2,864,540,660.82 and the fair market value per San Mateo County Treasurer's Office was \$2,868,727,926.28.

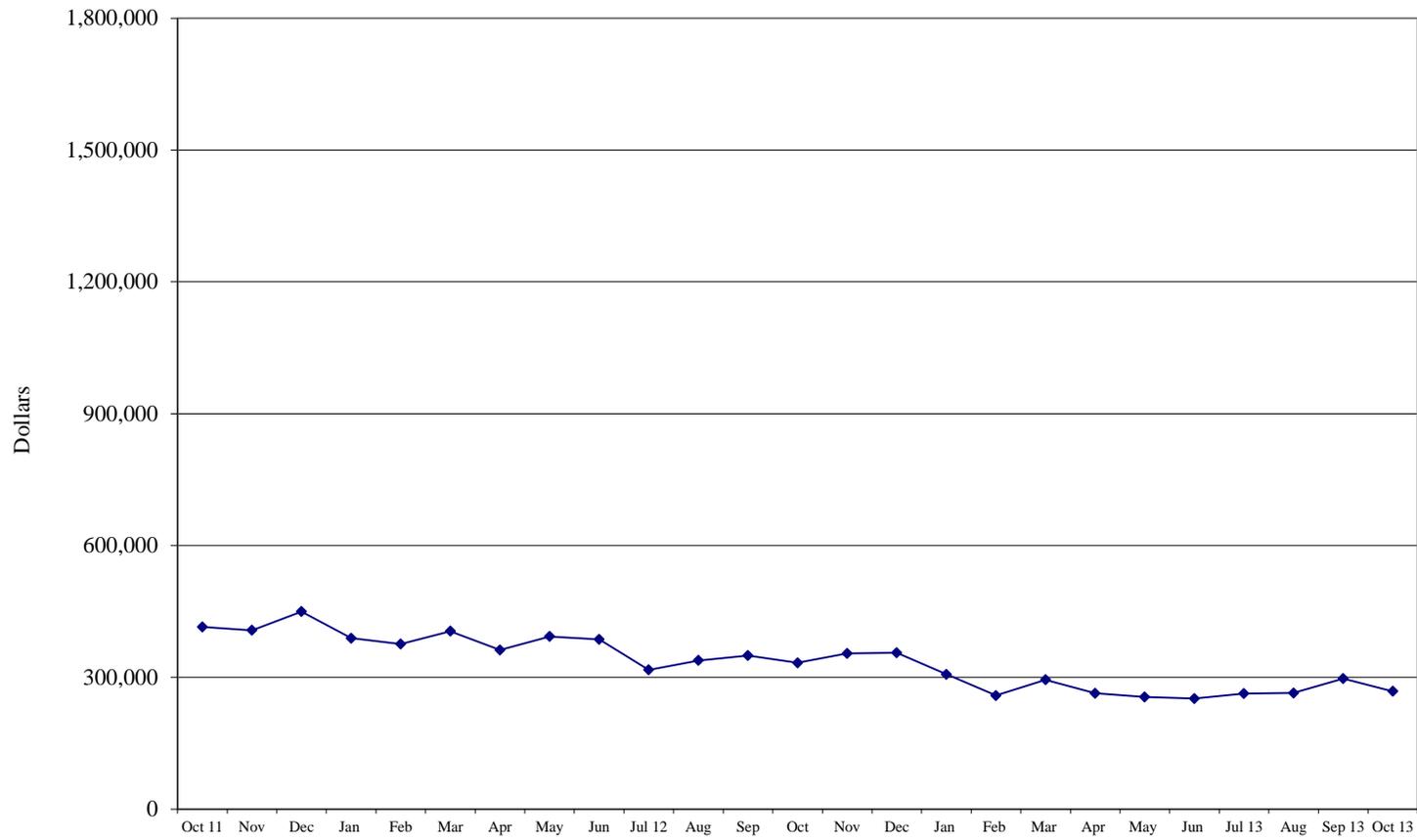
\*\* The market value of Local Agency Investment Fund (LAIF) is calculated annually and is derived from the fair value factor as reported by LAIF for quarter ending June 30th each year.

\*\*\* The Portfolio and this Investment Report comply with the Investment Policy and the provisions of SB 564 (1995). The Authority has the ability to meet its expenditure requirements for the next six months.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
INTEREST STATEMENT  
OCTOBER 2013**

FY2014	CURRENT MONTH TOTAL	FISCAL YEAR TO DATE TOTAL
JULY	263,024.35	263,024.35
AUGUST	264,079.37	527,103.72
SEPTEMBER	297,053.11	824,156.83
OCTOBER	268,126.19	1,092,283.02
NOVEMBER		
DECEMBER		
JANUARY		
FEBRUARY		
MARCH		
APRIL		
MAY		
JUNE		

## SMCTA Interest Income



\* Paratransit interest no longer displayed as corpus has been transferred to SamTrans.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**  
**INTEREST ON INVESTMENTS**  
**October 31, 2013**

DESCRIPTION	TOTAL INVESTMENT 10-31-13	INTEREST RECEIVABLE 09-30-2013	PREPAID INT RECEIVABLE 09-30-13	INTEREST EARNED 10-31-13	INTEREST RECEIVED 10-31-13	ADJ.	INTEREST RECEIVABLE 10-31-13
LAIF	8,083,936.20	7,772.48	0.00	1,894.77	7,494.67	(277.81)	1,894.77
COUNTY POOL	242,602,422.97	443,367.28	0.00	133,751.59	444,130.11	762.83	133,751.59
BANK OF AMERICA	918,593.28	0.00	0.00	0.00	0.00	0.00	0.00
INVESTMENT PORTFOLIO	189,779,299.38	404,852.42	0.00	132,054.94	315,572.56	(60.13)	221,274.67
	<u>441,384,251.83</u>	<u>953,492.18</u>	<u>0.00</u>	<u>267,701.30</u>	<u>864,697.34</u>	<u>424.89</u>	<u>356,921.03</u>

**OCTOBER 2013 -- SUMMARY OF INTEREST & CAPITAL GAIN**

Interest Earned Per Report 10/31/13	268,126.19
<b>Add:</b>	
<b>Less:</b>	
Management Fees	(10,069.61)
Amortized Premium/Discount	(34,616.32)
Capital Gain(Loss)	0.00
Total Interest & Capital Gain(Loss)	<u>223,440.26</u>

**YEAR TO DATE -- SUMMARY**

Interest Earned	1,092,283.02
<b>Add:</b>	
<b>Less:</b>	
Management Fees	(30,080.17)
Amortized Premium/Discount	(120,801.94)
Capital Gain(Loss)	0.00
Total Interest	<u>941,400.91</u>
Balance Per Ledger as of 10/31/13	
Exp. Acct. 530011 - Amort Prem/Disc	(120,801.94)
Int Acct. 409100 - Co. Pool	577,881.70
Int Acct. 409100 - LAIF	9,389.44
Int Acct. 409101 - Portfolio Funds	474,931.71
Gain(Loss) Acct. 405210	0.00
	<u>941,400.91</u>
<b>Extraordinary one time items:</b>	
Misc. Income - Lehman Brothers Recovery	6,318,005.44

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
INVESTMENT PORTFOLIO  
October 31, 2013**

TYPE OF SECURITY	CUSIP #	SETTLE DATE	ORIGINAL	GASB 31	MARKET	MATURITY DATE	INT RATE	RATE/ DAY	APPL. DAYS	INTEREST	PREPAID	INTEREST	INTEREST RECEIVED	ADJ.	INTEREST	INT REC'VBLE	PAR VALUE
			PURCHASE PRICE	ADJUSTED 6-30-13	VALUE 10/31/13					REC'VBLE 09/30/13	INT REC'VBLE 10/31/13	EARNED 10/31/13			REC'VBLE 10/31/13	LESS PREPAID 10/31/13	
<b><u>SECURITIES MANAGED BY INVESTMENT ADVISOR:</u></b>																	
<b><u>U.S. TREASURY NOTES AND BONDS</u></b>																	
US TREASURY NOTE	912828TX8	02/01/13	14,998,828.13	14,967,150.00	15,014,100.00	11-15-15	0.375%	156.2500	29	21,399.46		4,531.25		54.35	25,985.06	25,985.06	15,000,000 7.92%
<b><u>U.S. GOVERNMENT AGENCIES</u></b>																	
FHLMC	3137EACR8	02-08-11	18,901,470.00	19,143,830.00	19,076,000.00	02-25-14	1.375%	725.6944	29	26,850.69		21,045.14			47,895.83	47,895.83	19,000,000
FHLMC	3137EACB3	03-30-11	13,932,270.00	13,752,855.00	13,654,575.00	04-23-14	2.500%	937.5000	29	149,062.50		27,187.50	168,750.00		7,500.00	7,500.00	13,500,000
FHLMC	3134G4ER5	08-19-13	10,001,100.00	9,993,500.00	10,010,200.00	08-19-15	0.500%	138.89	29	5,972.23		4,027.78		(0.01)	10,000.00	10,000.00	10,000,000
FHLMC	3134G3SD3	03-28-12	9,996,000.00	10,034,200.00	10,025,300.00	09-28-15	0.750%	208.3333	29	38,333.33		6,041.67	37,500.00		6,875.00	6,875.00	10,000,000
FNMA	31398A4M1	09-13-13	10,221,000.00	10,244,600.00	10,248,700.00	10-26-15	1.625%	451.39	29	70,416.67		13,090.28	81,250.00	(0.01)	2,256.94	2,256.94	10,000,000
FHLMC	3134G4HZ4	10-28-13	9,999,500.00	10,014,700.00	10,014,700.00	10-28-15	0.500%	138.89	3	0.00		416.67			416.67	416.67	10,000,000
FHLMC	3134G3Y20	12-28-12	6,000,600.00	5,983,260.00	6,001,560.00	11-27-15	0.500%	83.3333	29	10,416.66		2,416.67			12,833.33	12,833.33	6,000,000
FNMA	3135G0RX3	12-07-12	20,015,000.00	19,937,400.00	20,005,000.00	11-27-15	0.500%	277.7778	29	34,722.22		8,055.56			42,777.78	42,777.78	20,000,000
FHLMC	3134G34B3	01-30-13	9,998,500.00	9,947,600.00	9,997,900.00	01-28-16	0.500%	138.8889	29	8,888.89		4,027.78			12,916.67	12,916.67	10,000,000
FNMA	3135G0UM3	05-29-13	4,394,560.50	4,374,255.60	4,396,054.80	02-26-16	0.520%	63.4833	29	2,285.40		1,841.02			4,126.42	4,126.42	4,395,000
FNMA	3135G0VH3	05-30-13	15,149,117.50	15,099,386.50	15,159,214.40	03-04-16	0.750%	313.9583	29	8,790.83		9,104.79		0.01	17,895.63	17,895.63	15,070,000
FHLMC	3134G3SE1	03-21-12	12,007,500.00	12,041,520.00	12,034,560.00	03-21-16	1.000%	333.3333	29	3,666.67		9,666.67		(0.01)	13,333.33	13,333.33	12,000,000
FNMA	3135G0VA8	05-13-13	24,041,832.00	23,865,600.00	24,003,600.00	03-30-16	0.500%	333.3333	29	60,666.67		9,666.67	60,000.00	(0.01)	10,333.33	10,333.33	24,000,000 86.54%
<b><u>U.S. TREASURY INFLATION PROTECTED SECURITIES</u></b>																	
US INFLATION INDEXED	912828KM1	01-21-10	10,122,021.25	10,581,103.06	10,554,654.60	04-15-14	1.250%	364.5163	30	60,880.20		10,935.49	65,572.56	(114.45)	6,128.68	6,128.68	10,498,070 5.54%
<b>0.00%</b>																	
<b><u>CASH INVESTMENT</u></b>																	
FHLMC	3134G3SD3	03-28-12								(37,500.00)			(37,500.00)				
FNMA	3135G0VA8	05-13-13								(60,000.00)			(60,000.00)				
<b>MATURED/CALLED</b>																	
<b>TOTAL</b>			<u>189,779,299.38</u>	<u>189,980,960.16</u>	<u>190,196,118.80</u>					<u>404,852.42</u>	<u>0.00</u>	<u>132,054.94</u>	<u>315,572.56</u>	<u>(60.13)</u>	<u>221,274.67</u>	<u>221,274.67</u>	<u>189,463,071.00</u>

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
 1/2 CENT SALES TAX RECEIPTS AND PROJECTIONS  
 FY2013 & FY2014  
 OCTOBER 2013

11/25/13 3:46 PM

Approved Budget		Receipts		Over/(Under)	Current																				
Date	Amount	Date	Amount	Budget/Projection	Projection																				
<b>FY2013:</b>																									
1st Quarter	16,181,800	1st Quarter	18,855,990	2,674,190	18,855,990																				
2nd Quarter	17,084,000	2nd Quarter	18,948,926	1,864,926	18,948,926																				
3rd Quarter	17,782,200	3rd Quarter	17,439,496	(342,704)	17,439,496																				
4th Quarter	16,952,000	4th Quarter	18,613,375	1,661,375	18,613,375																				
<b>FY2013 Total</b>	<b>68,000,000</b>	<b>FY2013 Total</b>	<b>73,857,787</b>	<b>5,857,787</b>	<b>73,857,787</b>																				
<b>FY2014:</b>																									
Jul. 13	4,400,000	Sep. 13	5,504,500	1,104,500	5,504,500																				
Aug. 13	4,400,000	Oct. 13	5,504,500	1,104,500	5,504,500																				
Sep. 13	6,100,000	Nov. 13			6,100,000																				
1st Qtr. Adjustment	1,650,000	Dec. 13			545,500																				
3 Months Total	16,550,000		11,009,000	2,209,000	17,654,500																				
Oct. 13	4,700,000	Dec. 13			4,700,000 (1)																				
Nov. 13	4,700,000	Jan. 14			4,700,000																				
Dec. 13	6,330,000	Feb. 14			6,330,000																				
2nd Qtr. Adjustment	1,795,000	Mar. 14			690,500 (1/3)																				
6 Months Total	34,075,000		11,009,000	2,209,000	34,075,000																				
Jan. 14	5,140,000	Mar. 14			5,140,000																				
Feb. 14	5,140,000	Apr. 14			5,140,000																				
Mar. 14	5,600,000	May 14			5,600,000																				
3rd Qtr. Adjustment	1,500,000	Jun. 14			1,500,000																				
9 Months Total	51,455,000		11,009,000	2,209,000	51,455,000																				
Apr. 14	4,500,000	Jun. 14			4,500,000																				
May 14	4,500,000	Jul. 14			4,500,000																				
Jun. 14	5,900,000	Aug. 14			5,900,000																				
4th Qtr. Adjustment	1,645,000	Sep. 14			1,645,000																				
<b>FY2014 Total</b>	<b>68,000,000</b>	<b>FY2014 Total</b>	<b>11,009,000</b>	<b>2,209,000</b>	<b>68,000,000</b>																				
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;"></td> <td style="width: 15%; text-align: right;">17,654,500</td> <td style="width: 65%;">1st Quarter</td> <td style="width: 10%;"></td> </tr> <tr> <td></td> <td style="text-align: right;">4,930,167</td> <td>2nd Quarter</td> <td></td> </tr> <tr> <td></td> <td></td> <td>3rd Quarter</td> <td></td> </tr> <tr> <td></td> <td></td> <td>4th Quarter</td> <td></td> </tr> <tr> <td></td> <td style="text-align: right;"><u>22,584,667</u></td> <td>YTD Actual Per Statement of Revenue &amp; Expenses</td> <td></td> </tr> </table>							17,654,500	1st Quarter			4,930,167	2nd Quarter				3rd Quarter				4th Quarter			<u>22,584,667</u>	YTD Actual Per Statement of Revenue & Expenses	
	17,654,500	1st Quarter																							
	4,930,167	2nd Quarter																							
		3rd Quarter																							
		4th Quarter																							
	<u>22,584,667</u>	YTD Actual Per Statement of Revenue & Expenses																							

(1) Accrued

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
CASH AND INVESTMENTS AS OF OCTOBER 31, 2013

10/31/2013

Cash -- Bank of America Checking Account	918,593.28
LAIF	8,083,936.20
County Pool	242,602,422.97
Investment Portfolio	189,779,299.38
	<hr/>
Total	<u><u>441,384,251.83</u></u>

SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
CHECKS WRITTEN -- OCTOBER 2013

Unit	Reference	Name	Date	Sum Amount	Method	Description
SMCTA	000875	DEPARTMENT OF TRANSPORTATION	10/7/2013	389,498.41	WIR	Capital Programs <sup>1</sup>
SMCTA	000876	PENINSULA CORRIDOR JOINT POWERS BOARD	10/7/2013	834,873.57	WIR	Capital Programs <sup>2</sup>
SMCTA	000877	SAN MATEO COUNTY TRANSIT DISTRICT	10/7/2013	1,592,880.08	WIR	Capital, Caltrain & Redi-Wheels Serv.
SMCTA	000878	MATSUMOTO, KARYL M.	10/7/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000879	GROOM, CAROLE	10/7/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000880	HORSLEY, DONALD	10/7/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000881	CANEPA, DAVID	10/7/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000882	PENINSULA CORRIDOR JOINT POWERS BOARD	10/21/2013	62,200.00	WIR	Capital Programs <sup>3</sup>
SMCTA	003562	BIANCHINI'S CATERING	10/7/2013	51.55	CHK	Capital Programs <sup>4</sup>
SMCTA	003563	BRISBANE, CITY OF	10/7/2013	10,670.13	CHK	Capital Programs <sup>5</sup>
SMCTA	003564	FOUST, ROSANNE	10/7/2013	100.00	CHK	Board of Directors Compensation
SMCTA	003565	JACOBS ENGINEERING GROUP, INC.	10/7/2013	3,939.52	CHK	Consultant
SMCTA	003566	CARWOOD, INC	10/14/2013	8,700.00	CHK	Security Deposit Refund
SMCTA	003567	FUGITIVE TOYS, LLC	10/14/2013	2,000.00	CHK	Security Deposit Refund
SMCTA	003568	METROPOLITAN TRANSPORTATION COMMISSION	10/14/2013	88,186.00	CHK	Capital Programs <sup>6</sup>
SMCTA	003569	NG, NELSON	10/14/2013	20,000.00	CHK	Capital Programs <sup>7</sup>
SMCTA	003570	PACIFIC GAS & ELECTRIC COMPANY	10/14/2013	74.60	CHK	Capital Programs <sup>8</sup>
SMCTA	003571	PACIFIC GAS & ELECTRIC COMPANY	10/14/2013	9.61	CHK	Capital Programs <sup>8</sup>
SMCTA	003572	PACIFICA, CITY OF	10/14/2013	31,080.05	CHK	Capital Programs <sup>3</sup>
SMCTA	003573	SAN FRANCISCO BAY CONSERVATION AND	10/14/2013	57,320.00	CHK	Capital Programs <sup>8</sup>
SMCTA	003574	SELF-HELP COUNTIES COALITION	10/14/2013	550.00	CHK	Seminar and Training
SMCTA	003575	SHAW/YODER & ANTWIH, INC.	10/14/2013	3,795.00	CHK	Legislative Advocate
SMCTA	003576	CARWOOD, INC	10/21/2013	8,780.00	CHK	Rent Refund
SMCTA	003577	DMJM HARRIS/MARK THOMAS JV	10/21/2013	18,355.19	CHK	Capital Programs <sup>9</sup>
SMCTA	003578	HANSON, BRIDGETT, MARCUS, VLAHOS & RUDY	10/21/2013	36,101.03	CHK	Legal Services
SMCTA	003579	HNTB CORPORATION	10/21/2013	8,083.27	CHK	Consultant
SMCTA	003580	HOLLAND & KNIGHT LLP	10/21/2013	3,500.00	CHK	Legislative Advocate
SMCTA	003581	JACOBS ENGINEERING GROUP, INC.	10/21/2013	4,647.82	CHK	Consultant
SMCTA	003582	SAVOEUN SNGOEUN	10/21/2013	51,375.00	CHK	Capital Programs <sup>8</sup>
SMCTA	003583	SAVOEUN SNGOEUN	10/21/2013	20,000.00	CHK	Capital Programs <sup>8</sup>
SMCTA	003584	VALLEY RELOCATION AND STORAGE	10/21/2013	682.50	CHK	Capital Programs <sup>7</sup>
SMCTA	003585	CARDONA, GARY A.	10/28/2013	32.21	CHK	Capital Programs <sup>8</sup>
SMCTA	003586	CARWOOD, INC	10/28/2013	15,500.00	CHK	Capital Programs <sup>7</sup>
SMCTA	003587	CLERK OF THE COURT	10/28/2013	150.00	CHK	Capital Programs <sup>8</sup>
SMCTA	003588	DMJM HARRIS/MARK THOMAS JV	10/28/2013	22,097.31	CHK	Capital Programs <sup>10</sup>
SMCTA	003589	FUGITIVE TOYS, LLC	10/28/2013	2,000.00	CHK	Rent Refund
SMCTA	003590	PRADO'S HANDYMEN BAY	10/28/2013	91.33	CHK	Capital Programs <sup>8</sup>
SMCTA	003591	VALLEY RELOCATION AND STORAGE	10/28/2013	10,660.00	CHK	Capital Programs <sup>7</sup>
				<u>3,308,384.18</u>		

**Footnotes:**

<sup>1</sup> Streets & Highway - Marsh to San Mateo/Santa Clara County Line

<sup>2</sup> San Bruno Grade Separation \$176,260.47, Downtown Extension \$15,224.67, San Bruno Archway Study \$2,647.64, CBOSS \$622,868.85, CalMod \$17,871.94

<sup>3</sup> FY13 Local Shuttles Project

<sup>4</sup> Oversight - Grade Separation

<sup>5</sup> Streets & Highway - 101 Interchange - Candlestick

<sup>6</sup> Streets & Highways - Highway Evaluation Program

<sup>7</sup> Streets & Highways - Route 101 Broadway Interchange - relocation cost

<sup>8</sup> Streets & Highways - Route 101 Broadway Interchange

<sup>9</sup> Streets & Highway - CCAG Ramp Metering Study \$4,361.18, SR92 US101 to I-280 \$13,994.01

<sup>10</sup> Streets & Highway - SR92 US101 to I-280

**AGENDA ITEM # 7  
DECEMBER 5, 2013**

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY**

TO: Transportation Authority

FROM: Karyl Matsumoto  
SamTrans Board Liaison to the Transportation Authority

SUBJECT: **SAMTRANS LIAISON REPORT – MEETING OF DECEMBER 4, 2013**

The summary report will be made available at the Board meeting.

Prepared By: Martha Martinez

650-508-6242

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: C.H. (Chuck) Harvey  
Deputy CEO

SUBJECT: **PROGRAM REPORT: HIGHWAY PROGRAM US 101/BROADWAY  
INTERCHANGE**

**ACTION**

This report is for information only. No Board action is required.

**SIGNIFICANCE**

This presentation is part of a series of program reports presented to the Board. Each of the Transportation Authority's six program areas – Transit, Highways, Local Streets/Transportation, Grade Separations, Pedestrian & Bicycle, and Alternative Congestion Relief Programs will be featured individually throughout the year. This month features a report on the Highway Program with specific emphasis on the US 101/Broadway Interchange in Burlingame.

**BUDGET IMPACT**

There is no impact on the budget.

**BACKGROUND**

The Board allocated funds for design in September 2011 and construction in October 2012 for US 101/Broadway Interchange Reconstruction Project. This project is funded with Measure A funds along with leveraged Federal, State, and other local funds. Design is now complete, all permits have been secured and right of way and utility clearances have been secured. Achieving these milestones allows the project to seek and secure the final funding for the construction phase of the project. The California Transportation Commission is scheduled to take an action to allocate \$19 million in State Transportation Improvement Program funding at their December 10 meeting. The project is on target to be advertised for bids in early 2014 leading to a start of construction in the spring of 2014.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: April Chan  
Executive Officer, Planning and Development

SUBJECT: **UPDATE ON NEW MEASURE A PEDESTRIAN AND BICYCLE PROGRAM  
CRITERIA FOR THE 2013 CALL FOR PROJECTS**

**ACTION**

This report is for information only. No Board action is required.

**SIGNIFICANCE**

At the October 2013 Transportation Authority (TA) Board meeting, staff provided a report on the status of the first round of New Measure A funded pedestrian and bicycle projects that were approved in July 2011 in preparation for the refinement of the process and criteria for the upcoming Pedestrian and Bicycle Program Call for Projects (CFP).

Staff has since reviewed with a subcommittee of the Board proposed refinements to the Pedestrian and Bicycle Program CFP. The refinements for the upcoming CFP are consistent with the Measure A Implementation Plan the Board adopted in December 2009, which defined basic eligibility requirements and over-arching prioritization criteria to evaluate projects. The Pedestrian and Bicycle Program CFP is planned to be released in December 2013 with approximately \$5.4 million available covering Fiscal Years 2014 and 2015.

A presentation will be made via PowerPoint.

**BUDGET IMPACT**

This informational item has no impact on the budget.

**BACKGROUND**

The TA's New Measure A Pedestrian and Bicycle Program provides funding for the development and construction of bicycle and pedestrian facilities to encourage and improve walking and bicycling. Three percent of the New Measure A sales tax revenue is available to support the Pedestrian and Bicycle Program.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon  
Executive Director

FROM: Mark Simon  
Executive Officer, Public Affairs

SUBJECT: **STATE AND FEDERAL LEGISLATIVE UPDATE**

**ACTION**

This report is for information only. No Board action is required.

**SIGNIFICANCE**

Staff will provide a verbal update to the Board in accordance with the approved Legislative Program.

**STATE ISSUES**

Cap-and-Trade

Next year, the California Air Resources Board will finalize a scoping plan that will guide how the State meets its greenhouse gas reduction goals. At the same time, legislation will be considered that affects how fuel related cap-and-trade revenues can be allocated to help achieve these goals.

In anticipation of legislation that allocates a large portion of these revenues on a regional basis, the Metropolitan Transportation Commission will consider a cap-and-trade investment program that would guide revenue allocations over the next 30 years.

The framework would divide funding into five categories. Three of those categories would potentially be available to help fund our public transportation projects, programs and services:

- One Bay Area Grants - \$1 billion for transit-oriented development, affordable housing, complete streets, bike and pedestrian improvements
- Transit Operating Efficiency Program - \$450 million for expanded transit operations and capital investments that foster operating efficiencies
- Climate Initiatives - \$400 million for transportation projects that reduce greenhouse gas emissions

Staff will continue to monitor the proposal as it is considered at the committee level. The full commission is scheduled to consider the proposal on December 18.

## **FEDERAL ISSUES**

### Transportation Empowerment Act (TEA)

A group of Republican lawmakers has introduced legislation to reduce the Federal gas tax from 18.4 cents to just 3.7 cents and turn all transportation spending decisions over to State governments. TEA is sponsored by 21 legislators and would essentially put an end to all Federal support for public transportation, highways and local streets and roads. The bill is unlikely to pass, however, the conservative group, Heritage Action, which supports the bill, has indicated that they will be scoring lawmakers according to how they vote.

Staff will monitor the legislation and take action to oppose its passage when appropriate.

### Federal Railroad Administration (FRA) Train Horn Rules

At the request of two Colorado lawmakers, the FRA has agreed to review rules that govern the use of train horns and consider ways to make quiet zones more attainable for local communities. Draft rules should be available for review and comment sometime in early 2014.

Staff will keep the Board apprised of this issue as the rule making process is carried out.

Prepared By: Seamus Murphy, Director, Government and Community Affairs      650-508-6388

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY  
STAFF REPORT**

**TO:** Transportation Authority

**THROUGH:** Michael J. Scanlon  
Executive Director

**FROM:** C.H. (Chuck) Harvey                      Gigi Harrington  
Deputy CEO    Deputy CEO

**SUBJECT:      CAPITAL PROJECTS QUARTERLY STATUS REPORT –  
1st QUARTER FISCAL YEAR 2014**

**ACTION**

No action is required. The attached Capital Projects Quarterly Status Report is submitted to the Board for information only.

**SIGNIFICANCE**

The Capital Projects Quarterly Status Report is submitted to keep the Board advised as to the scope, budget and progress of current ongoing capital projects.

**BUDGET IMPACT**

There is no impact on the budget.

**BACKGROUND**

Staff prepares the Capital Projects Quarterly Status Report for the Board on a quarterly basis. The report is a summary of the scope, budget and progress of capital projects. It is being presented to the Board for informational purposes and is intended to better inform the Board of the capital project status.

Prepared by: Kelvin Yu, Manager, Project Controls  
Joseph M. Hurley, Director, TA Program

650-622-7853  
650-508-7942

The Capital Projects Quarterly Status Report – 1<sup>st</sup> Quarter  
Fiscal Year 2014 is available for review at the following link:

[http://www.smcta.com/Assets/ Finance/Quarterly+Capital+Program+Status+Report/TA  
/FY14 Q1 TA Quarterly Report.pdf](http://www.smcta.com/Assets/Finance/Quarterly+Capital+Program+Status+Report/TA/FY14_Q1_TA_Quarterly_Report.pdf)