



DAVID CANEPA
ROSANNE FOUST
CAROLE GROOM
DON HORSLEY
KARYL MATSUMOTO
TERRY NAGEL
NAOMI PATRIDGE

MICHAEL J. SCANLON
EXECUTIVE DIRECTOR

NOTE MEETING DATE

AGENDA

SAN MATEO COUNTY TRANSPORTATION AUTHORITY

Bacciocco Auditorium, 2nd Floor
1250 San Carlos Avenue, San Carlos, CA 94070

January 9, 2014 – Thursday

5:00 p.m.

1. Pledge of Allegiance
2. Swearing-in:
 - Board of Supervisors Representative
 - David Canepa (North County Representative)
 - Naomi Patridge (Cities-at-Large Representative)
3. Call to Order/Roll Call
4. Election of 2014 Officers
5. Report of the Citizens Advisory Committee
6. Consent Calendar
Members of the public or Board may request that an item under the Consent Calendar be considered separately
 - a. Approval of Minutes of December 5, 2013
 - b. Acceptance of Statement of Revenues and Expenditures for November 2013
7. Public Comment
Public comment by each individual speaker shall be limited to one minute
8. Chairperson's Report
9. SamTrans Liaison Report – Meeting of January 8, 2014
10. Joint Powers Board Report
11. Report of the Executive Director
12. Finance
 - a. Authorize Amendment of Fiscal Year 2014 Budget to Increase Budget Expenditures by \$3,900,000 for a Revised Total of \$122,235,286

MOTION

INFORMATIONAL

RESOLUTION

13. Program

- | | |
|--|---------------|
| a. Adoption of the Tickets Policy Governing the Distribution of Tickets and Passes Received by the TA to Officials and Employees | RESOLUTION |
| b. Program Report: Measure A Ferry Program | INFORMATIONAL |
| c. Program Report: Transit – Shuttles | INFORMATIONAL |
| d. Joint TA and C/CAG San Mateo County Shuttle Program Call for Projects | INFORMATIONAL |
| e. Update on State and Federal Legislative Program | INFORMATIONAL |

14. Requests from the Authority

15. Written Communications to the Authority

16. Report of Legal Counsel

17. Date/Time of Next Meeting: Thursday, February 6, 2014, 5 p.m. at San Mateo County Transit District Administrative Building, Bacciocco Auditorium, 2nd Floor, 1250 San Carlos Avenue, San Carlos, CA 94070

18. Adjournment

INFORMATION FOR THE PUBLIC

All items appearing on the agenda are subject to action by the Board. Staff recommendations are subject to change by the Board.

If you have questions on the agenda, please contact the Authority Secretary at 650-508-6242. Assisted listening devices are available upon request. Agendas are posted on the Authority Website at www.smcta.com.

Location, Date and Time of Regular Meetings

Regular meetings are held at the San Mateo County Transit District Administrative Building located at 1250 San Carlos Ave., San Carlos, which is located one block west of the San Carlos Caltrain Station on El Camino Real. The building is also accessible by SamTrans bus routes 260, 295, ECR, KX. Additional transit information can be obtained by calling 1-800-660-4287 or 511.

The Transportation Authority (TA) meets regularly on the first Thursday of the month at 5 p.m. The TA Citizens Advisory Committee (CAC) meets regularly on the Tuesday prior to the first Thursday of the month at 4:30 p.m. at the San Mateo County Transit District Administrative Building.

Public Comment

If you wish to address the Board, please fill out a speaker's card located on the agenda table. If you have anything that you wish distributed to the Board and included for the official record, please hand it to the Authority Secretary, who will distribute the information to the Board members and staff.

Members of the public may address the Board on non-agendized items under the Public Comment item on the agenda. Public testimony by each individual speaker shall be limited to one minute and items raised that require a response will be deferred for staff reply.

Accessibility for Individuals with Disabilities

Upon request, the TA will provide for written agenda materials in appropriate alternative formats, or disability-related modification or accommodation, including auxiliary aids or services, to enable individuals with disabilities to participate in public meetings. Please send a written request, including your name, mailing address, phone number and brief description of the requested materials and a preferred alternative format or auxiliary aid or service at least two days before the meeting. Requests should be mailed to the Authority Secretary at the San Mateo County Transportation Authority, 1250 San Carlos Avenue, San Carlos, CA 94070-1306 or emailed to board@smcta.com; or by phone at 650-508-6242, or TDD 650-508-6448.

Availability of Public Records

All public records relating to an open session item on this agenda, which are not exempt from disclosure pursuant to the California Public Records Act, that are distributed to a majority of the legislative body will be available for public inspection at 1250 San Carlos Avenue, San Carlos, CA 94070-1306, at the same time that the public records are distributed or made available to the legislative body.

MINUTES OF DECEMBER 5, 2013

MEMBERS PRESENT: D. Canepa, R. Foust, C. Groom, K. Matsumoto, T. Nagel,
N. Patridge

MEMBERS ABSENT: D. Horsley

STAFF PRESENT: J. Averill, J. Cassman, A. Chan, C. Harvey, R. Haskin, J. Hurley,
N. McKenna, J. McKim, D. Miller, S. Murphy, M. Scanlon, M. Simon,
J. Slavitt

Chair Carole Groom called the meeting to order at 5:05 p.m. and led the Pledge of Allegiance.

CITIZENS ADVISORY COMMITTEE (CAC) REPORT

CAC Chair Barbara Arietta reported on the meeting of December 3, 2013 (see attached).

Director Karyl Matsumoto arrived at 5:09 p.m.

CONSENT CALENDAR

- a. Approval of Minutes of November 7, 2013
- b. Acceptance of Statement of Revenues and Expenditures for October 2013

A motion (Nagel/Foust) to approve the Consent Calendar was approved.

PUBLIC COMMENT

None

CHAIRPERSON'S REPORT – CAROLE GROOM

None

SAMTRANS LIAISON REPORT – KARYL MATSUMOTO

No discussion

JOINT POWERS BOARD (JPB) REPORT

Michael Scanlon, Executive Director, reported:

- Directors Jose Cisneros, Perry Woodward and Adrienne Tissier were appointed to the Nominating Committee for 2014 Officers.
- Key Caltrain Performance Statistics
 - Monthly Performance Statistics – October 2013 compared to October 2012
 - Total Ridership was 1,435,694, a 0.5 percent increase.
 - Average Weekday Ridership was 51,741, a 0.05 percent increase.
 - Total Farebox Revenue was \$6,158,615, a 3.9 percent decrease.
 - On-time Performance (OTP) was 92 percent, an 11 percent increase.

- Caltrain Shuttle Ridership was 6,803, a 26.6 percent decrease. There continues to be problems with the count reports from the Marguerite Shuttle.
- Year-to-Date Performance Statistics – October 2013 compared to October 2012
 - Total Ridership was 5,789,459, a 7.7 percent increase.
 - Average Weekday Ridership was 53,720, a 7.6 percent increase.
 - Total Farebox Revenue was \$25,599,827, a 6.3 percent increase.
 - OTP was 91.1 percent, a 2.4 percent increase.
 - Caltrain Shuttle Ridership was 6,944, a 21.7 percent decrease.
- San Jose Sharks ridership was up 12 percent over last year.
- Stanford football ridership was up 7 percent over last year.
- A Sunday schedule will be operated on Christmas Day.
- Additional service will be provided on New Year's Eve. Staff has coordinated with Santa Clara Valley Transportation Authority (VTA) and San Francisco Municipal Transportation Agency to offer free service after 8 p.m.
- The Holiday Train will be running the weekend of December 7 in partnership with the Silicon Valley Community Foundation. Tasha Bartholomew, Community Relations Officer, was recognized for her work on the Holiday Train.
- A video was shown of the Caltrain 150th Anniversary event in October. The next event is January 18.
- The Board:
 - Received updates on the Caltrain Modernization Program, High-speed Rail court rulings, and Positive Train Control and the steps being taken to ensure safety on the rail line.
 - Authorized the adoption of findings for a statutory exemption under the California Environmental Quality Act (CEQA), adoption of the associated Title VI analysis, and adoption of an amended and restated Codified Tariff that provides a 10 percent discount to fare-paying groups of 25 or more that pre-purchase tickets through the Caltrain Group Travel Program, allows universities and other employers purchasing Go Pass annual passes to include all of their employees including those that work less than 20 hours per week and interns, and expands the annual Go Pass Program to include residential complexes.
 - Authorized the award of a sole source contract in the amount of \$1,993,468 to ARINC, Inc. to modify the Rail Operations Control System to include the Communications-based Overlay Signal System/Positive Train Control functions.
 - Authorized an increase in change order authority for an amount not to exceed \$4 million for the San Bruno Grade Separation Project contract.
 - Authorized the award of contract to Vali Cooper and Associates for on-call construction management services for a not-to-exceed amount of \$15 million for a three-year term.

REPORT OF THE EXECUTIVE DIRECTOR

Mr. Scanlon said:

- TA and City/County Association of Governments (C/CAG) staff worked together to refine evaluation criteria for the upcoming Shuttle Program joint Call for Projects (CFP). Staff recommendations will be presented at the next meeting.
- The Highway 101/Willow Road Interchange Project in Menlo Park cleared the environmental process and can advance to the design phase.
- San Pedro Creek Bridge Replacement Project in Pacifica was advertised for construction bids December 2. Bids will be opened in February and construction will begin in April.
- On December 11, the California Transportation Commission will be asked to allocate \$19 million in Statewide Transportation Improvement Program funding for the Highway 101/Broadway Interchange in Burlingame. If approved, this will be the final piece required to fund the project through construction.
- The San Mateo County Transit District holiday card video was shown.

PROGRAM

Program Report: Highway 101/Broadway Interchange Reconstruction Project

Jim McKim, Project Manager, presented:

- The project is intended to improve the ramps and existing circuitous movements of the interchange, accommodate future traffic growth, and increase bicycle and pedestrian access.
- The total project cost estimate is \$83 million, which includes \$60 million for construction and \$12 million for the right of way and utilities.
- Construction funding includes \$32.4 million, or 54 percent, from Measure A, and \$19 million from the State.
- Measure A will fund 62 percent of the total project.
- Approved permits have been issued by the Regional San Francisco Water Quality Control Board, the Army Corps of Engineers, the California Department of Fish and Wildlife, and the San Francisco Bay Conservation and Development Commission.
- Fifteen parcels are needed for the right of way. Twelve parcels have been acquired and the TA has orders of possession on the remaining three while they are in the condemnation process.
- Notices to relocate and all the agreements with the utility companies have been executed. Affected utilities include Pacific Gas and Electric, American Telephone and Telegraph, Comcast, Sprint, Burlingame sewer and water, and Millbrae sewer.
- The project is in the Ready to List phase of the California Department of Transportation (Caltrans) development process.
- Key milestones include advertising the project in January, bid opening in March, construction beginning in April, and construction completing in spring of 2017.

Director Terry Nagel asked who will notify the public of road closures and construction. Mr. McKim said Caltrans will manage construction and these notifications are their responsibility. He said city staff and Caltrans have already met with most of the businesses that will be affected.

Update on New Measure A Pedestrian and Bicycle Program Criteria for the 2013 CFP

Joel Slavitt, Manager, Programming and Monitoring, presented:

- Three percent of Measure A funds is set aside for this program.
- The purpose of the program is to fund projects that encourage and improve walking and bicycling conditions.
- Eligible activities include funding for the project development, right of way acquisition, and construction of facilities for pedestrians and bicyclists. Eligible projects include paths, trails and bridges over roads and highways, and pedestrian/bicycle components of a larger multi-modal project, but do not include general citywide planning or maintenance or rehabilitation.
- The CFP will be issued in December and will cover Fiscal Years 2014 and 2015. There is \$5.4 million available. Sponsors are limited to a maximum of three applications up to \$1 million.
- Proposals will be evaluated by a staff panel and will include a C/CAG Bicycle and Pedestrian Advisory Committee (BPAC) member.
- The expenditure period has been reduced from five to two years for preconstruction-only activities, three years for construction activities, or five years if the project includes both preconstruction and construction.
- Evaluation criteria:
 - Project readiness and need – 35 percent.
 - Effectiveness – 35 percent.
 - Policy consistency – 10 percent.
 - Sustainability – 10 percent.
 - Funding – 10 percent.
- Proposed revisions to the process since the last CFP include refining eligibility requirements, changing the timely use of funds, promoting geographic equity by limiting the number of applications in each portion of the county, the total dollar amount per project sponsor or city, and adding an effectiveness category that includes pedestrian and bicycle accommodation, value, and serving low-income or transit-dependent populations.
- Applications are due in mid-January, the CAC and Board will receive the draft Program of Projects in March, and the Board will approve the proposed Program of Projects in April.

Director Matsumoto asked if the BPAC will review the criteria and make recommendations to the Board. Mr. Slavitt said one member from the BPAC will be on the evaluation panel. Director Matsumoto asked if the Board will approve the projects or if they will be predetermined. Mr. Slavitt said the Board will make the final decision about which projects to fund.

Chair Groom asked who is on the review committee. Mr. Slavitt said it will include representation from TA and JPB staff, the C/CAG active transportation coordinator, one VTA staff member, and chair of BPAC.

Director Matsumoto said an elected official could provide beneficial information and she would like to see an elected representative on the evaluation panel. Mr. Scanlon said there is no problem to add an elected official.

April Chan, Executive Officer, Planning and Development, said the BPAC Technical Advisory Committee (TAC) appointed the chair of the BPAC because he has bike and pedestrian technical knowledge.

Director Matsumoto said elected officials look at projects and consider connectivity to schools, transit-oriented development, and understand the links, benefits and value of the projects.

Mr. Scanlon said since there is a BPAC representative from C/CAG and the Board wants to add an elected official, he suggests it should be someone who is not from C/CAG or BPAC.

Chair Groom said the Board will make that appointment at the January meeting.

Director Nagel said the mid-January deadline is very soon after the holidays and asked if it might be a problem for staff to get their information together in that timeframe. Ms. Chan said staff presented this item to the TAC meeting in November, and all the public works directors were there and are aware this program is coming. She said they already have programs in their pipeline that can be submitted to this program.

Update on State and Federal Legislative Program

Seamus Murphy, Director, Government and Community Affairs, provided the following update.

State

A group has filed a possible ballot measure with the Secretary of State to increase the vehicle license fee by 1 percent of total vehicle value, which generate about \$2.9 billion per year. Ten percent of that would go towards State Transit Assistance, 40 percent to the State Highway Program, 25 percent to local streets and roads, and 25 percent to county roads. It would be phased in over four years starting in 2015. Sponsors will conduct polling and make a decision in January whether to proceed with this measure.

Federal

There are two new bills the TA will consider through the Legislative Program next year. One is an increase in the gas tax by 15 cents starting in 2015, which would be about \$170 billion per year and would help bridge the gap in the Highway Trust Fund after Moving Ahead for Progress in the 21st Century expires. The second bill would propose a pilot program to look at an alternative to the Federal gas tax and implement a vehicle miles traveled tax.

There is still no permanent parity for pretax benefits for transit commuters and drivers. Transit commute benefits are set to expire at the end of the year and would be reduced to \$130 per month, while driver benefits would increase to \$250 a month. The parties involved in extending parity want to wait to deal with this issue as a part of comprehensive tax reform next year.

Director Matsumoto thanked Mr. Murphy for informing a South San Francisco newly elected councilmember about SamTrans, the TA, and JPB. Mr. Murphy said staff invites

all newly elected councilmembers to come and learn about the agencies and their challenges and needs.

Director Rosanne Foust said to watch out for November 2014 legislation from other groups such as the San Francisco Bay Restoration Authority, which is considering a nine-county parcel tax. She said if other taxes end up on ballot she gets nervous because transit is very important.

Mr. Scanlon said one of the recommendations the San Francisco mayor's Transportation Task Force developed was vehicle license increases, so there could be some real pushback.

Capital Projects Quarterly Status Report – 1st Quarter Fiscal Year 2014

Joe Hurley, Director, Transportation Authority Program, said the budget for the San Bruno Grade Separation Project went from yellow to green because of the infusion of \$8 million to address the funding gap. He said Caltrans certified the environmental document for the Route 1 Calera Parkway Project and the schedule now reflects that milestone. He said the San Pedro Creek Bridge Replacement Project budget went from red to green because the TA Board allocated \$3.4 million in August. The project has been advertised and the schedule has changed from yellow to green.

REQUESTS FROM THE AUTHORITY

None

WRITTEN COMMUNICATIONS TO THE AUTHORITY

No discussion

REPORT OF LEGAL COUNSEL

Closed Session: Conference with Legal Counsel – Existing Litigation Pursuant to Government Code Section 54956.9(a): Pacificans for a Scenic Coast vs. California Department of Transportation, Respondents and Defendants, and San Mateo County Transportation Authority and City of Pacifica, Real Parties in Interest and Defendants

David Miller, Legal Counsel, said there will not be a closed session. He said the petitioners, Caltrans, the city of Pacifica and the TA met in October for an early settlement conference as required under the CEQA. He said the petitioners requested Caltrans decertify the Environmental Impact Report. Caltrans declined. He said there is no specific relief being sought from the TA. Legal counsel spoke with the petitioners' counsel and put forward a proposal that they voluntarily dismiss the TA.

DATE AND PLACE OF NEXT MEETING

Chair Groom wished staff, the CAC, and all the people who contribute to the success of the TA a happy holiday and prosperous new year.

January 9, 2014 at 5 p.m. in the San Mateo County Transit District Administrative Building, Bacciocco Auditorium, 2nd floor, 1250 San Carlos Avenue, San Carlos CA 94070

Meeting adjourned at 6:08 p.m.

CHAIR ARIETTA'S TA CAC REPORT

December 5, 2013

Good evening Madam Chair and Board members. Here is what I have to report from our Tuesday night CAC meeting.

We began our meeting with a written Holiday Greeting from TA Board member Karyl Matsumoto, which was read into the record by our CAC Secretary Josh Averill. Apparently, there had been a time conflict with Ms. Matsumoto's schedule that prevented her from staying for our meeting that evening, however, we very much appreciated her written Holiday wishes to us.

We were then delighted to receive a most welcomed update from Bill Osher, the TA's Investment Manager. Mr. Osher reviewed with us the Quarterly Investment Report and Fixed Income Market Review Outlook for the Quarter Ending September 30, 2013. This item was scheduled to be presented to us last month, but due to Mr. Osher's illness at the time, it was postponed to this month. His appearance at our meeting on Tuesday evening not only gave us the opportunity of being given his erudite financial update, but also to have the pleasure of his company at our annual Holiday dinner which immediately followed our meeting that evening.

After Mr. Osher's report we went on to review this month's TA business and I am here to report the following in reference to the Board's Agenda this evening:

(TA Item 4a) - The CAC reviewed the TA Board's Minutes of November 7, 2013, without questions or comments.

(TA Item 4b) - The CAC supported the Acceptance of the Statement of Revenues and Expenditures for October 2013, without questions or comments.

(TA Item 10a) - As part of an ongoing series of reports on the TA's current programs, Jim McKim, TA Project Manager gave us a comprehensive overview of the US 101/Broadway Interchange Reconstruction Project, which informed us about the project's various funding sources, as well as the status of its permits and right of way and also the project's phases and schedule.

This project has been a longtime coming and the CAC believes that, when finished, it will greatly improve the existing traffic movements and most decidedly accommodate future traffic growth, along with increasing bicyclist and pedestrian access in the now heavily congested US 101/Burlingame area.

(TA Item 10b) - Joel Slavit, Manager, Programming and Monitoring, gave the CAC a highly informative update on the Measure A Pedestrian and Bicycle Program Criteria for the 2013 Call for Projects. The CAC members were pleased to see that there has been a refinement to the evaluation criteria in response to comments made by our committee, changes to eligibility requirements from those of previous years, as well as a limitation in the number of applications that a sponsor can make with a maximum funding award of \$1 million per sponsor.

(TA Item 10c) - Schweta Bhatnagar, Government Affairs Officer delivered a brief, but informative State and Federal Legislative update focusing on the framework for the Metropolitan Commission's proposed investment program in regards to the regional distribution of next year's Cap and Trade revenues within the State. She also informed us that as part of the proposed plan three of the five distribution categories could potentially be available to help fund our public transportation projects, programs and services. The CAC was pleased to hear about this proposal and is eager to find out what happens at the next meeting of the Metropolitan Transportation Commission on December 18th when it considers this proposal.

(TA Item 10d) - We reviewed the Capital Projects Quarterly Status Report for the 1st Quarter Fiscal Year 2014 with very few questions and very little comments.

I selected a Nominating Committee for next year's Chair and Vice Chair elections in January 2014. The CAC members selected for the 2014 Nominating Committee are: Jeff Londer, James Whittemore and Richard Hedges.

The remainder of my report focused on Caltrain news, announcements and issues, including the following:

1. Caltrain has invited everyone to help celebrate its 150th Anniversary next month on January 18, 2014 at the Santa Clara Rail Station at 11 a.m. There will be speeches from community leaders and exhibits from other regional partners celebrating the spirit of innovation and progress for which this region is known.

2. Caltrain's electrification funding is at risk, due to a Sacramento Judge's ruling on 11/25th which invalidated the High Speed Rail Authority's funding plan. About half of the funds for Caltrain electrification come from HSR. The High Speed Rail Authority will run out of money in April unless something changes...Caltrain has enough money in hand to complete preparing for electrification through 2015, while backup plans are being worked on, however it will need more money for implementation after 2015.

3. At a recent meeting of the Transbay Joint Powers Authority, the Transbay staff presented a proposal intended to connect the Caltrain tracks to the Transbay Terminal by 2022-two years ahead and a half a billion dollars below expectation-by creating a Public Private Partnership that would toll \$1.25 for the last stop to the financial district.

There is much controversy on the proposed funding measures being contemplated to attain this goal, however the project's early completion is being considered critical due to the 180,000 jobs potentially being serviced within 1/2 mile of the Transbay Terminal, as well as the forecasted increase in Caltrain ridership into San Francisco by more than 50%. But, there still remains many, many questions about how the rail line will be extended downtown, how it will be paid for, and how the overall system will eventually be managed in the future.

We then adjourned our meeting to begin our Holiday dinner and celebrate the close of a very exciting 2013. I also want to express the CAC appreciation to Director Nagel's for her presence at both the meeting and Holiday dinner.

Respectfully submitted,

Barbara Arietta
Chair, SMCTA/CAC

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STAFF REPORT

TO: Transportation Authority

THROUGH: Michael J. Scanlon
Executive Director

FROM: Gigi Harrington
Deputy CEO

SUBJECT: **INFORMATION ON STATEMENT OF REVENUES AND EXPENDITURES
FOR THE PERIOD ENDING NOVEMBER 30, 2013**

ACTION

Staff proposes the Board accept and enter into the record the Statement of Revenues and Expenditures for the month of November 2013 and supplemental information.

SIGNIFICANCE

Revenues: Year-to-date *Total Revenue* (\$36,925,952 - line 7) is **better** than staff projections by \$8,063,013 or 27.9 percent. *Miscellaneous Income* (\$6,319,505 - line 3), is attributable to an unbudgeted recovery payout from the Lehman Brothers bankruptcy. The loss was recorded in Fiscal Year 2009. *Interest Income* (\$1,307,021 - line 2) is \$94,231 or 7.8 percent **better** than projections due to higher than budgeted returns and *Rental Income* (\$512,760 - line 4) is \$31,510 or 6.5 percent **better** than staff projections.

Total Revenue (\$36,925,952 - line 7) is \$5,305,697 or 16.8 percent **better** than prior year performance. *Interest Income* (\$1,307,021 - line 2) and *Grant Proceeds* (\$32,433 - line 5) combined is \$2,125,211 or 61.3 percent **worse** than prior year and is slightly offset by *Rental Income* (\$512,760 - line 4) which is \$43,283 or 9.2 percent **better**.

Expenditures: *Total Administrative* (\$365,244 - line 22) is **better** than staff projections by \$19,638 or 5.1 percent. Within total administrative, *Staff Support* (\$237,658 - line 18) is \$15,304 or 6 percent **better** than staff projections.

Budget Amendment: The revised budget per Board Resolution No. 2013-24 amends an increase in Program Expenditures by \$5,350,000, which is reflected in the November 2013 Statement of Revenues and Expenditures.

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STATEMENT OF REVENUES AND EXPENDITURES
Fiscal Year 2014
November 2013

% OF YEAR ELAPSED: **41.7%**

	MONTH	YEAR TO DATE				ANNUAL		
	CURRENT ACTUAL	PRIOR ACTUAL	CURRENT ACTUAL	STAFF PROJECTION	% OF PROJ	ADOPTED BUDGET*	STAFF PROJECTION**	% OF PROJ
REVENUES:								
1 Sales Tax	6,169,567	26,714,400	28,754,233	27,146,666	105.9%	68,000,000	68,000,000	39.9%
2 Interest Income	244,818	1,678,003	1,307,021	1,212,790	107.8%	2,821,040	2,821,040	43.0%
3 Miscellaneous Income	0	971,712	6,319,505 (A)	0	0.0%	0	0	0.0%
4 Rental Income	92,297	469,477	512,760	481,250	106.5%	1,168,300	1,168,300	43.9%
5 Grant Proceeds	8,919	1,786,662	32,433	22,233	145.9%	3,800,500	3,800,500	0.9%
6								
7 TOTAL REVENUE	6,515,601	31,620,255	36,925,952	28,862,939	127.9%	75,789,840	75,789,840	48.7%
EXPENDITURES:								
11 Annual Allocations	2,355,467	9,750,756	10,495,295	9,908,532	105.9%	24,820,000	24,820,000	42.3%
13 Program Expenditures	4,832,438	12,060,442	15,191,285	36,827,084	41.3%	86,780,000	92,130,000	16.5%
15 Oversight	118,566.96	92,736	426,847	202,083	211.2%	485,000	485,000	88.0%
17 Administrative								
18 Staff Support	54,898	163,985	237,658	252,962	94.0%	565,152	565,152	42.1%
19 Measure A Info-Others	143	-	143	143	100.3%	16,500	16,500	0.9%
20 Other Admin Expenses	25,344	92,075	127,442	131,777	96.7%	318,634	318,634	40.0%
22 Total Administrative	80,385	256,060	365,244	384,882	94.9%	900,286	900,286	40.6%
23								
24 TOTAL EXPENDITURES	7,386,857.31	22,159,995	26,478,672 (1)	47,322,582	56.0%	112,985,286	118,335,286	22.4%
25								
26 EXCESS (DEFICIT)	(871,256)	9,460,260	10,447,281	(18,459,643)		(37,195,446)	(42,545,446)	
27								
28 BEGINNING FUND BALANCE	Not Applicable	444,581,025	445,209,464	415,823,164		415,823,164	415,823,164	
29								
30 ENDING FUND BALANCE	Not Applicable	454,041,285	455,656,745 (2)	397,363,522		378,627,718	373,277,718	

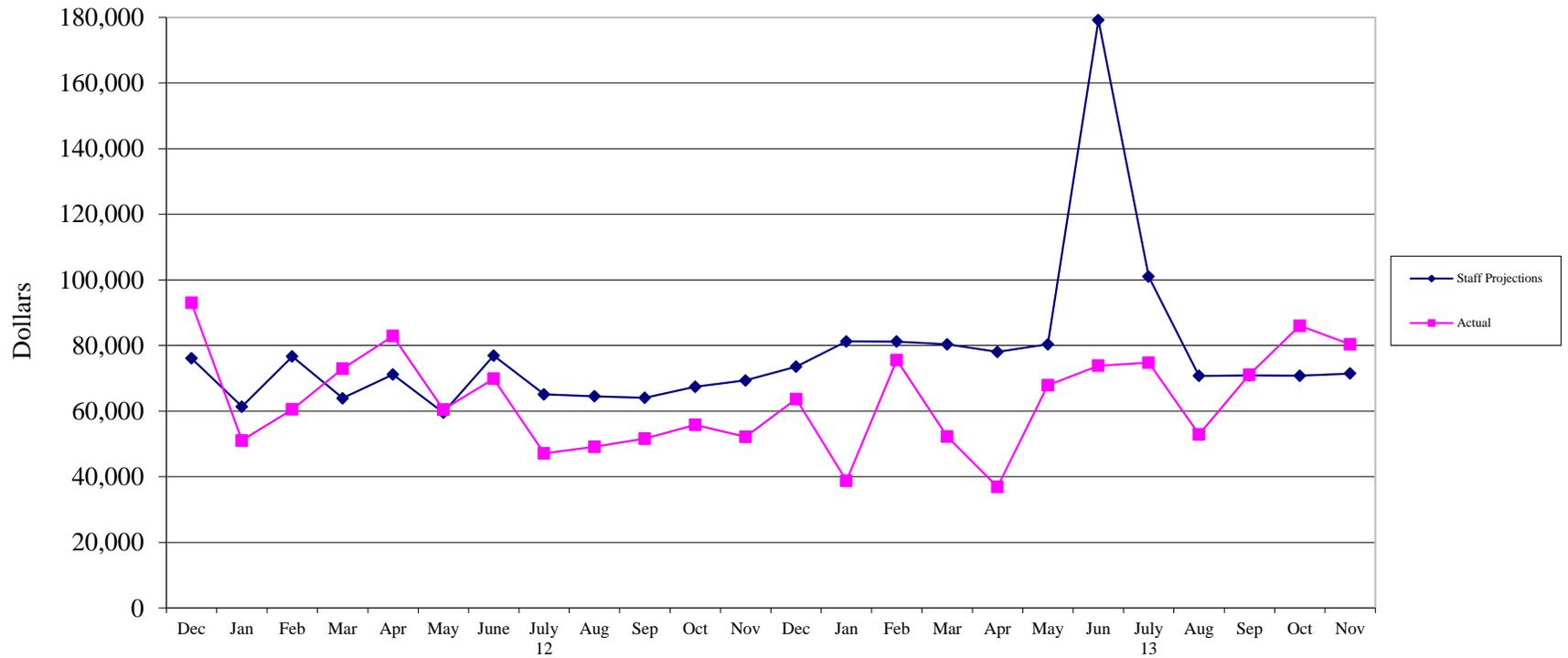
33 Includes the following balances:								
34 Cash and Liquid Investments		26,676,975			FY 2013 Carryover of Commitments (Unaudited)		278,517,658	
35 Current Committed Fund Balance		370,374,272 (3)			FY 2014 Additional Commitments (Budgeted)		112,985,286	
36 Undesignated Cash & Net Receivable		58,605,498			Reso #2013-24		5,350,000	
37 Total		<u>455,656,745 (2)</u>			Less: Current YTD expenditures		<u>(26,478,672) (1)</u>	
38					Current Committed Fund Balance		<u>370,374,272 (3)</u>	

41 "% OF YEAR ELAPSED" provides a general measure for evaluating overall progress
 42 against the annual budget. When comparing it to the amounts shown in the
 43 "% of PROJECT" column, please note that individual line items reflect variations
 44 due to seasonal activities during the year.

45
 46 * The TA Adopted Budget is the Board adopted budget effective June 5, 2013.
 47 ** The TA Staff Projection is the adopted budget including year to date budget transfers.

48
 49 (A) Unbudgeted recovery payout from Lehman Brothers bankruptcy.

SMCTA Administrative Expenses



Current Year Data

	Jul '13	Aug '13	Sep '13	Oct '13	Nov '13	Dec '13	Jan '14	Feb '14	Mar '14	Apr '14	May '14	Jun '14
MONTHLY EXPENSES												
Staff Projections	101,007	70,766	70,869	70,768	71,472							
Actual	74,774	52,917	71,137	86,030	80,385							
CUMULATIVE EXPENSES												
Staff Projections	101,007	171,773	242,642	313,410	384,882							
Actual	74,774	127,691	198,829	284,859	365,244							
Variance-F(U)	26,233	44,082	43,813	28,551	19,638							
Variance %	25.97%	25.66%	18.06%	9.11%	5.10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%



SAN MATEO COUNTY TRANSPORTATION AUTHORITY

CAPITAL PROJECT RESERVES

AS OF NOVEMBER, 2013

TYPE OF SECURITY		MATURITY DATE	INTEREST RATE	PURCHASE PRICE	MARKET VALUE
County Pool #3	*	Liquid Cash	0.630%	\$ 238,043,670	\$ 238,043,670
Local Agency Investment Fund	**	Liquid Cash	0.263%	\$ 8,083,936	\$ 8,083,936
Investment Portfolio	***	Liquid Cash	0.887%	\$ 163,763,699	\$ 164,175,693
Other		Liquid Cash	0.000%	\$ 26,676,975	\$ 26,676,975
				<u>\$ 436,568,280</u>	<u>\$ 436,980,274</u>

Accrued Earnings for November, 2013	\$ 263,074.06
Cumulative Earnings FY2014	\$ 1,355,357.08

* County Pool average yield for the month ending November 30, 2013 was 0.630%. As of November, 2013 the amortized cost of the Total Pool was \$2,984,940,629.05 and the fair market value per San Mateo County Treasurer's Office was \$2,989,104,067.30.

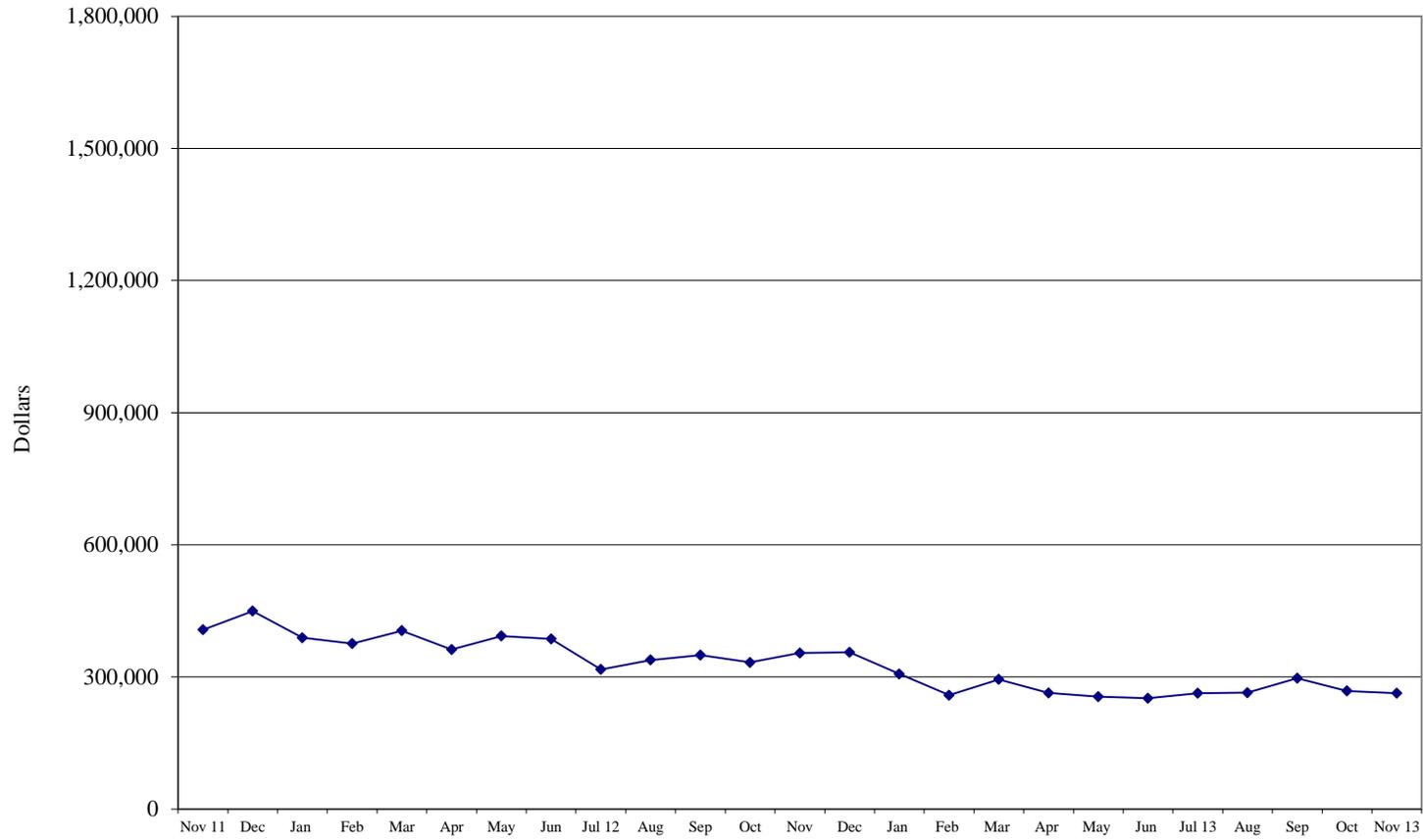
** The market value of Local Agency Investment Fund (LAIF) is calculated annually and is derived from the fair value factor as reported by LAIF for quarter ending June 30th each year.

*** The Portfolio and this Investment Report comply with the Investment Policy and the provisions of SB 564 (1995). The Authority has the ability to meet its expenditure requirements for the next six months.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
INTEREST STATEMENT
NOVEMBER 2013**

FY2014	CURRENT MONTH TOTAL	FISCAL YEAR TO DATE TOTAL
JULY	263,024.35	263,024.35
AUGUST	264,079.37	527,103.72
SEPTEMBER	297,053.11	824,156.83
OCTOBER	268,126.19	1,092,283.02
NOVEMBER	263,074.06	1,355,357.08
DECEMBER		
JANUARY		
FEBRUARY		
MARCH		
APRIL		
MAY		
JUNE		

SMCTA Interest Income



* Paratransit interest no longer displayed as corpus has been transferred to SamTrans.

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
INTEREST ON INVESTMENTS
November 30, 2013

DESCRIPTION	TOTAL INVESTMENT 11-31-13	INTEREST RECEIVABLE 10-31-2013	PREPAID INT RECEIVABLE 10-31-2013	INTEREST EARNED 11-31-13	INTEREST RECEIVED 11-31-13	ADJ.	INTEREST RECEIVABLE 11-31-13
LAIF	8,083,936.20	1,894.77	0.00	1,747.46	0.00	0.00	3,642.23
COUNTY POOL	238,043,669.97	133,751.59	0.00	122,965.73	0.00	0.00	256,717.32
BANK OF AMERICA	26,676,974.75	0.00	0.00	0.00	0.00	0.00	0.00
INVESTMENT PORTFOLIO	163,763,699.38	221,274.67	0.00	138,379.15	28,125.00	(18.28)	331,510.54
	<u>436,568,280.30</u>	<u>356,921.03</u>	<u>0.00</u>	<u>263,092.34</u>	<u>28,125.00</u>	<u>(18.28)</u>	<u>591,870.09</u>

NOVEMBER 2013 -- SUMMARY OF INTEREST & CAPITAL GAIN

Interest Earned Per Report	11/30/13	263,074.06
Add:		
Less:		
Management Fees		(7,520.03)
Amortized Premium/Discount		(34,172.75)
Capital Gain(Loss)		(10,735.75)
Total Interest & Capital Gain(Loss)		<u>210,645.53</u>

YEAR TO DATE -- SUMMARY

Interest Earned	1,355,357.08
Add:	
Less:	
Management Fees	(37,600.20)
Amortized Premium/Discount	(154,974.69)
Capital Gain(Loss)	(10,735.73)
Total Interest	<u>1,152,046.44</u>
Balance Per Ledger as of	11/30/13
Exp. Acct. 530011 - Amort Prem/Disc	(154,974.69)
Int Acct. 409100 - Co. Pool	700,847.43
Int Acct. 409100 - LAIF	11,136.90
Int Acct. 409101 - Portfolio Funds	605,772.55
Gain(Loss) Acct. 405210	(10,735.73)
	<u>1,152,046.44</u>
Extraordinary one time items:	
Misc. Income - Lehman Brothers Recovery	6,318,005.44

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
INVESTMENT PORTFOLIO
November 30, 2013**

TYPE OF SECURITY	CUSIP #	SETTLE DATE	ORIGINAL	GASB 31	MARKET	MATURITY DATE	INT RATE	RATE/ DAY	APPL. DAYS	INTEREST	PREPAID	INTEREST	INTEREST RECEIVED	ADJ.	INTEREST	INT REC'VBLE	PAR VALUE	
			PURCHASE PRICE	ADJUSTED 6-30-13	VALUE 11/30/13					REC'VBLE 10/31/13	INT REC'VBLE 11/30/13	EARNED 11/30/13			REC'VBLE 11/30/13	LESS PREPAID 11/30/13		
SECURITIES MANAGED BY INVESTMENT ADVISOR:																		
U.S. TREASURY NOTES AND BONDS																		
US TREASURY NOTE	912828TX8	02/01/13	14,998,828.13	14,967,150.00	15,027,000.00	11-15-15	0.375%	156.2500	29	25,985.06		4,531.25	28,125.00	94.88	2,486.19	2,486.19	15,000,000	
																	7.92%	
U.S. GOVERNMENT AGENCIES																		
FHLMC	3137EACR8	02-08-11	18,901,470.00	19,143,830.00	19,055,480.00	02-25-14	1.375%	725.6944	30	47,895.83		21,770.83		0.01	69,666.67	69,666.67	19,000,000	
FHLMC	3137EACB3	03-30-11	13,932,270.00	13,752,855.00	13,627,845.00	04-23-14	2.500%	937.5000	30	7,500.00		28,125.00			35,625.00	35,625.00	13,500,000	
FHLMC	3134G4ER5	08-19-13	10,001,100.00	9,993,500.00	10,014,100.00	08-19-15	0.500%	138.89	30	10,000.00		4,166.67			14,166.67	14,166.67	10,000,000	
FHLMC	3134G3SD3	03-28-12	9,996,000.00	10,034,200.00	10,019,400.00	09-28-15	0.750%	208.3333	30	6,875.00		6,250.00			13,125.00	13,125.00	10,000,000	
FNMA	31398A4M1	09-13-13	10,221,000.00	10,244,600.00	10,246,000.00	10-26-15	1.625%	451.39	30	2,256.94		13,541.67			15,798.61	15,798.61	10,000,000	
FHLMC	3134G4HZ4	10-28-13	9,999,500.00	10,014,700.00	10,023,200.00	10-28-15	0.500%	138.89	30	416.67		4,166.67		(0.01)	4,583.33	4,583.33	10,000,000	
FHLMC	3134G3Y20	12-28-12	6,000,600.00	5,983,260.00	6,001,560.00	11-27-15	0.500%	83.3333	26	12,833.33		2,166.67			15,000.00	15,000.00	6,000,000	
FNMA	3135G0RX3	12-07-12	20,015,000.00	19,937,400.00	20,005,000.00	11-27-15	0.500%	277.7778	26	42,777.78		7,222.22			50,000.00	50,000.00	20,000,000	
FHLMC	3134G34B3	01-30-13	9,998,500.00	9,947,600.00	10,003,600.00	01-28-16	0.500%	138.8889	30	12,916.67		4,166.67		(0.01)	17,083.33	17,083.33	10,000,000	
FNMA	3135G0UM3	05-29-13	4,394,560.50	4,374,255.60	4,400,317.95	02-26-16	0.520%	63.4833	30	4,126.42		1,904.50			6,030.92	6,030.92	4,395,000	
	3135G0VH3	05-30-13	15,149,117.50	15,099,386.50	15,159,967.90	03-04-16	0.750%	313.9583	30	17,895.63		9,418.75			27,314.38	27,314.38	15,070,000	
FHLMC	3134G3SE1	03-21-12	12,007,500.00	12,041,520.00	12,025,800.00	03-21-16	1.000%	333.3333	30	13,333.33		10,000.00			23,333.33	23,333.33	12,000,000	
FNMA	3135G0VA8	05-13-13	24,041,832.00	23,865,600.00	24,029,760.00	03-30-16	0.500%	333.3333	30	10,333.33		10,000.00			20,333.33	20,333.33	24,000,000	
																	86.54%	
U.S. TREASURY INFLATION PROTECTED SECURITIES																		
US INFLATION INDEXED	912828KM1	01-21-10	10,122,021.25	10,581,103.06	10,543,222.32	04-15-14	1.250%	364.9418	30	6,128.68		10,948.25		(113.15)	16,963.78	16,963.78	10,510,325	
																	5.55%	
CASH INVESTMENT																		
Federated Funds Money Market	3134G3Y20	12-28-12															(15,000.00)	
Federated Funds Money Market	3135G0RX3	12-07-12															(50,000.00)	
MATURED/CALLED																		
CALLED	3134G3Y20	12-28-12	(6,000,600.00)	(5,983,260.00)	(6,001,560.00)													
CALLED	3135G0RX3	12-07-12	(20,015,000.00)	(19,937,400.00)	(20,005,000.00)													
TOTAL			<u>163,763,699.38</u>	<u>164,060,300.16</u>	<u>164,175,693.17</u>					<u>221,274.67</u>	<u>0.00</u>	<u>138,379.15</u>	<u>28,125.00</u>	<u>(18.28)</u>	<u>266,510.54</u>	<u>331,510.54</u>	<u>189,475,326.00</u>	

16-Dec-13 Weighted Average Interest Rate 0.8865%

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
 1/2 CENT SALES TAX RECEIPTS AND PROJECTIONS
 FY2013 & FY2014
 NOVEMBER 2013

12/18/13 5:45 PM

Approved Budget		Receipts		Over/(Under)	Current																				
Date	Amount	Date	Amount	Budget/Projection	Projection																				
FY2013:																									
1st Quarter	16,181,800	1st Quarter	18,855,990	2,674,190	18,855,990																				
2nd Quarter	17,084,000	2nd Quarter	18,948,926	1,864,926	18,948,926																				
3rd Quarter	17,782,200	3rd Quarter	17,439,496	(342,704)	17,439,496																				
4th Quarter	16,952,000	4th Quarter	18,613,375	1,661,375	18,613,375																				
FY2013 Total	68,000,000	FY2013 Total	73,857,787	5,857,787	73,857,787																				
FY2014:																									
Jul. 13	4,400,000	Sep. 13	5,504,500	1,104,500	5,504,500																				
Aug. 13	4,400,000	Oct. 13	5,504,500	1,104,500	5,504,500																				
Sep. 13	6,100,000	Nov. 13	7,339,400	1,239,400	7,339,400																				
1st Qtr. Adjustment	1,650,000	Dec. 13			545,500																				
3 Months Total	16,550,000		18,348,400	3,448,400	18,893,900																				
Oct. 13	4,700,000	Dec. 13			4,700,000 (1)																				
Nov. 13	4,700,000	Jan. 14			4,700,000 (1)																				
Dec. 13	6,330,000	Feb. 14			6,330,000																				
2nd Qtr. Adjustment	1,795,000	Mar. 14			690,500 (2/3)																				
6 Months Total	34,075,000		18,348,400	3,448,400	35,314,400																				
Jan. 14	5,140,000	Mar. 14			5,140,000																				
Feb. 14	5,140,000	Apr. 14			5,140,000																				
Mar. 14	5,600,000	May 14			5,600,000																				
3rd Qtr. Adjustment	1,500,000	Jun. 14			880,300																				
9 Months Total	51,455,000		18,348,400	3,448,400	52,074,700																				
Apr. 14	4,500,000	Jun. 14			4,500,000																				
May 14	4,500,000	Jul. 14			4,500,000																				
Jun. 14	5,900,000	Aug. 14			5,900,000																				
4th Qtr. Adjustment	1,645,000	Sep. 14			1,025,300																				
FY2014 Total	68,000,000	FY2014 Total	18,348,400	3,448,400	68,000,000																				
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;"></td> <td style="width: 15%; text-align: right;">18,893,900</td> <td style="width: 15%;">1st Quarter</td> <td style="width: 50%;"></td> </tr> <tr> <td></td> <td style="text-align: right;">9,860,333</td> <td>2nd Quarter</td> <td></td> </tr> <tr> <td></td> <td></td> <td>3rd Quarter</td> <td></td> </tr> <tr> <td></td> <td></td> <td>4th Quarter</td> <td></td> </tr> <tr> <td></td> <td style="text-align: right;"><u>28,754,233</u></td> <td colspan="2">YTD Actual Per Statement of Revenue & Expenses</td> </tr> </table>							18,893,900	1st Quarter			9,860,333	2nd Quarter				3rd Quarter				4th Quarter			<u>28,754,233</u>	YTD Actual Per Statement of Revenue & Expenses	
	18,893,900	1st Quarter																							
	9,860,333	2nd Quarter																							
		3rd Quarter																							
		4th Quarter																							
	<u>28,754,233</u>	YTD Actual Per Statement of Revenue & Expenses																							

(1) Accrued

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
CASH AND INVESTMENTS AS OF NOVEMBER 30, 2013

11/30/2013

Cash -- Bank of America Checking Account	26,676,974.75
LAIF	8,083,936.20
County Pool	238,043,669.97
Investment Portfolio	163,763,699.38
Total	<u>436,568,280.30</u>

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
CHECKS WRITTEN -- NOVEMBER 2013

Unit	Reference	Name	Date	Sum Amount	Method	Description
SMCTA	000883	DEPARTMENT OF TRANSPORTATION	11/4/2013	15,000.00	WIR	Capital Programs ¹
SMCTA	000884	PENINSULA CORRIDOR JOINT POWERS BOARD	11/4/2013	3,978,121.68	WIR	Capital Programs ²
SMCTA	000885	SAN MATEO COUNTY TRANSIT DISTRICT	11/4/2013	732,818.57	WIR	Capital, Caltrain & Redi-Wheels Serv.
SMCTA	000886	PENINSULA CORRIDOR JOINT POWERS BOARD	11/18/2013	226,942.84	WIR	Capital Programs ³
SMCTA	000887	MATSUMOTO, KARYL M.	11/18/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000888	GROOM, CAROLE	11/18/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000889	NAGEL, TERRY	11/18/2013	100.00	WIR	Board of Directors Compensation
SMCTA	000890	DEPARTMENT OF TRANSPORTATION	11/25/2013	79,603.50	WIR	Capital Programs ¹
SMCTA	000891	SAN MATEO COUNTY TRANSIT DISTRICT	11/25/2013	1,626,835.22	WIR	Capital, Caltrain & Redi-Wheels Serv.
SMCTA	003592	ABNER ASael BARRIOS LIMA	11/4/2013	1,540.00	CHK	Capital Programs ⁴
SMCTA	003593	CALIFORNIA DEPARTMENT OF TRANSPORTATION	11/4/2013	3,211,500.00	CHK	Other Non Current Liabilities ⁵
SMCTA	003594	DMJM HARRIS/MARK THOMAS JV	11/4/2013	5,475.33	CHK	Capital Programs ⁶
SMCTA	003595	HNTB CORPORATION	11/4/2013	7,549.38	CHK	Consultant
SMCTA	003596	PACIFIC GAS & ELECTRIC COMPANY	11/4/2013	47.42	CHK	Capital Programs ⁴
SMCTA	003597	SAN CARLOS, CITY OF	11/4/2013	155,793.28	CHK	Capital Programs ⁷
SMCTA	003598	EASTMAN, TIM	11/11/2013	1,850.00	CHK	Capital Programs ⁸
SMCTA	003599	EASTMAN, TIM	11/11/2013	700.00	CHK	Security Deposit Reimbursement
SMCTA	003600	KENNEDY VAN & STORAGE, INC	11/11/2013	100.00	CHK	Capital Programs ⁸
SMCTA	003601	PACIFIC GAS & ELECTRIC COMPANY	11/11/2013	10.67	CHK	Capital Programs ⁴
SMCTA	003602	SHAW/YODER & ANTWIH, INC.	11/11/2013	3,795.00	CHK	Legislative Advocate
SMCTA	003603	TIETJEN, BRENT	11/11/2013	85.92	CHK	Business Travel
SMCTA	003604	ATKINS NORTH AMERICA, INC	11/18/2013	57,393.34	CHK	Consultant
SMCTA	003605	FOUST, ROSANNE	11/18/2013	100.00	CHK	Board of Directors Compensation
SMCTA	003606	PACIFIC GAS & ELECTRIC COMPANY	11/18/2013	33.38	CHK	Capital Programs ⁴
SMCTA	003607	PATRIDGE, NAOMI	11/18/2013	100.00	CHK	Board of Directors Compensation
SMCTA	003608	PENINSULA TRAFFIC CONGESTION RELIEF	11/18/2013	205,000.00	CHK	Capital Programs ⁹
SMCTA	003609	SAC REDWOOD CITY LLC	11/18/2013	12,311.06	CHK	Cash Receipts Suspense
SMCTA	003610	SAN MATEO, COUNTY OF	11/18/2013	61,000.00	CHK	Capital Programs ⁷
SMCTA	003611	BURLINGAME WATER OFFICE	11/25/2013	355.46	CHK	Capital Programs ⁴
SMCTA	003612	BURLINGAME, CITY OF	11/25/2013	13,469.85	CHK	Capital Programs ³
SMCTA	003613	DMJM HARRIS/MARK THOMAS JV	11/25/2013	1,129.07	CHK	Capital Programs ⁶
SMCTA	003614	HANSON, BRIDGETT, MARCUS, VLAHOS & RUDY	11/25/2013	47,672.91	CHK	Legal Services
SMCTA	003615	SAN MATEO COUNTY TAX COLLECTOR	11/25/2013	368.88	CHK	Property Taxes
SMCTA	003616	STATE OF CALIFORNIA	11/25/2013	2,156.25	CHK	Capital Programs ¹⁰
SMCTA	003617	URS CORPORATION	11/25/2013	103,037.53	CHK	Capital Programs ⁴
				<u>10,552,196.54</u>		

Footnotes:

¹ Streets & Highway - Marsh to San Mateo/Santa Clara County Line

² San Bruno Grade Separation \$3,382,865.22, Downtown Extension \$3,877.99, San Bruno Archway Study \$54,658.72, CBOSS \$405,282.65, CalMod \$124,050.80, Dumbarton \$7,385.97

³ FY14 Local Shuttles Project

⁴ Streets & Highways - Route 101 Broadway Interchange

⁵ Repayment of loan from Caltrans

⁶ Streets & Highway - CCAG Ramp Metering Study

⁷ Streets & Highway - 101 Holly Street Interchange

⁸ Streets & Highways - Route 101 Broadway Interchange - relocation cost

⁹ ACR - Countywide TDM Program

¹⁰ Streets & Highway - 101 Interchange to Willow

**AGENDA ITEM # 9
JANUARY 9, 2014**

SAN MATEO COUNTY TRANSPORTATION AUTHORITY

TO: Transportation Authority

FROM: Karyl Matsumoto
SamTrans Board Liaison to the Transportation Authority

SUBJECT: **SAMTRANS LIAISON REPORT – MEETING OF JANUARY 8, 2014**

The summary report will be made available at the Board meeting.

Prepared By: Martha Martinez

650-508-6242

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon
Executive Director

FROM: Gigi Harrington
Deputy CEO

SUBJECT: **AUTHORIZE AMENDMENT OF FISCAL YEAR 2014 BUDGET**

ACTION

Staff proposes the Board amend the Fiscal Year (FY) 2014 Budget to increase expenditures by \$3.9 million for a revised total of \$122,235,286 (Line 28 of Attachment A).

The proposed amendment primarily reflects an increase in actual and projected sales tax income since adoption of the Budget, which triggers corresponding increases in pass-throughs and expenditures consistent with the 2004 Transportation Expenditure Plan (TEP).

SIGNIFICANCE

OPERATING REVENUES:

Sales Tax (Line1): Increase of \$4 Million

FY2014 sales tax revenue projections have been increased by \$4 million to \$72 million based on current year actuals.

EXPENDITURES:

The following expenditures have been adjusted commensurate with the projected increase in FY2014 sales tax revenues:

Annual Allocations (line 16): Increase of \$1,460,000

The proposed revised budget reflects an increase in funding for categories that receive Measure A funds based on percentages of projected sales tax revenue. These categories include Allocation to Local Entities, Transfer to San Mateo County Transit District for Caltrain, Paratransit and San Francisco Airport Bay Area Rapid Transit (BART) Extension, as detailed in Attachment B.

Program Expenditures (line 18): Increase of \$1,740,000

The proposed revised budget for the various Program Expenditure Categories detailed in Attachment B will be subject to future programming and allocation.

Oversight (line 20)

The oversight category contains an increase of \$700,000 to cover additional Transportation Authority costs associated with implementing the Original and New Measure A TEPs. Oversight includes programming and monitoring of projects, conducting calls for projects, and developing policies and procedures needed to implement the 2004 TEP. These expenditures will be funded with investment interest earned on fund balances.

BUDGET IMPACT

The overall impact to the FY2014 Budget is an increase of \$3.9 million in total expenditures, from \$118,335,286 to \$122,235,286.

BACKGROUND

The TA annually adopts a budget. On June 6, 2013 the Board adopted the FY2014 budget in the amount of \$112,985,286 as per Board Resolution No. 2013-12. On November 7, 2013 the Board authorized the first budget amendment of \$5,350,000 to Program Expenditures for Grade Separation Program funds for the 25th Ave., Broadway and S. Linden Avenue/Scott Street projects as per Board Resolution No. 2013-24. Staff proposes the Board amend the budget at this time for a new total of \$122,235,286.

Note that the transactions affected by the proposed amendment are highlighted in blue on the attached budget.

Prepared By: Ladi Bhuller, Manager, Budgets
Angela Ho, Senior Budget Analyst

650-508-7755
650-508-6416

SAN MATEO COUNTY TRANSPORTATION AUTHORITY
FY2014 REVISED BUDGET

	FY2013 UNAUDITED ACTUAL A	FY2014 ADOPTED B	FY2014 REVISED C	FY14 REVISED TO FY14 ADOPTED Increase (Decrease) D = C-B	BUDGET PERCENT CHANGE E = D/B	
REVENUE:						
1 Sales Tax	73,857,787	68,000,000	72,000,000	4,000,000	5.9%	1
2						2
3 Interest Income	3,650,584	2,821,040	2,821,040	-	0.0%	3
4						4
5 Miscellaneous Income	2,238,628	-		-	#DIV/0!	5
6						6
7 Rental Income	1,141,776	1,168,300	1,168,300	-	0.0%	7
8						8
9 Grant Proceeds	2,796,140	3,800,500	3,800,500	-	0.0%	9
10						10
11 TOTAL REVENUE	83,684,915	75,789,840	79,789,840	4,000,000	5.3%	11
12						12
13						13
EXPENDITURES:						
14						14
15						15
16 Annual Allocations	26,958,092	24,820,000 (1)	26,280,000	1,460,000	5.9%	16
17						17
18 Program Expenditures	54,647,863	92,130,000 (1)	93,870,000	1,740,000	1.9%	18
19						19
20 Oversight	784,244	485,000 (1)	1,185,000	700,000	144.3%	20
21						21
22 Administrative:						22
23 Staff Support	443,626	565,152	565,152	-	0.0%	23
24 Measure A Info-Others	406	16,500	16,500	-	0.0%	24
25 Other Admin Expenses	222,063	318,634	318,634	-	0.0%	25
26 Total Administrative	666,095	900,286	900,286	-	0.0%	26
27						27
28 TOTAL EXPENDITURES	83,056,294	118,335,286	122,235,286	3,900,000	3.3%	28
29						29
30 EXCESS/(DEFICIT)	628,621	(42,545,446)	(42,445,446)	100,000	-0.2%	30
31						31
32 BEGINNING FUND BALANCE	444,581,025	415,581,746	445,209,646	29,627,900		32
33						33
34 ESTIMATED ENDING FUND BALANCE	445,209,646	373,036,300	402,764,200	29,727,900	8.0%	34
35						35
36 (1) See Attachment B for details.						36
37						37
38 FUND BALANCE (2)						38
39						39
		1988 Measure	2004 Measure	Aggregate		
40 Beginning Fund Balance		283,372,646	161,837,000	445,209,646		40
41 Excess/(Deficit)		(52,253,276)	9,807,830	(42,445,446)		41
42 Ending Fund Balance		231,119,370	171,644,830	402,764,200		42
43						43
44 Capital Appropriation Carryover		159,732,446	125,536,936	285,269,382		44
45 Undesignated (3)		71,386,924	46,107,894	117,494,818		45
46 Total Ending Fund Balance		231,119,370	171,644,830	402,764,200		46
47						47
48 (2) Fund Balance is based on preliminary figures for FY2013 and budgeted figures for FY2014 and includes grant funds of \$20 million.						48
49 (3) Undesignated funds are funds collected but not budgeted or allocated. The 1988 Undesignated funds are projected to include \$15 million for Caltrain and						49
50 \$50 million for Dumbarton. The 2004 Undesignated funds include \$14 million for Caltrain, \$6 million for Dumbarton and \$24 million for Highways.						50

ATTACHMENT B

FY2014 ALLOCATIONS AND EXPENDITURES

		<u>Prior Approved Budget</u>	<u>FY2014 Revised</u>	<u>Total Approved Budget</u>	<u>Budgeted Non-Measure A</u>	<u>Total Measure A Share</u>
ANNUAL ALLOCATIONS:						
1	Allocation to Local Entities	N/A	16,200,000			N/A
2	Transfer to SMCTD for Caltrain	N/A	5,760,000			N/A
3	Paratransit	N/A	2,880,000			N/A
4	SFO BART Extension	N/A	1,440,000			N/A
5			26,280,000			
6						
7	PROGRAM EXPENDITURES:					
8						
9	ALTERNATIVE CONGESTION RELIEF					
10	00903 Call for Projects	(1) 703,794	310,000	1,013,794		1,013,794
11	TBD Countywide TDM Program	1,206,206	410,000	1,616,206		
12	DUMBARTON					
13	00745 Maintenance of Way	1,192,500	200,000	1,392,500		1,392,500
14	CALTRAIN					
15	00605 San Mateo Local Share JPB CIP	53,537,540	5,760,000	59,297,540	2,733,100	56,564,440
16	00737 Caltrain Electrification	11,250,000	36,624,600	47,874,600		
17	00789 Caltrain Communication-Based Overlay Signal System	3,000,000	20,375,400	23,375,400	3,800,000	19,575,400
18	PEDESTRIAN AND BICYCLE PROGRAM					
19	00748 Set-aside for Call for Projects	(2) 6,544,003	2,160,000	8,704,003	-	8,704,003
20	LOCAL SHUTTLE					
21	TBD Set-aside for Call for Projects	(3) 4,664,777	2,880,000	7,544,777	-	7,544,777
22	STREETS AND HIGHWAYS					
23	00900 Key Congested Corridors Program	(4) 36,030,000	12,456,000	48,486,000	-	48,486,000
24	00901 Supplemental Roadway Program	(4) 21,243,000	7,344,000	28,587,000	-	28,587,000
25						
26	GRADE SEPARATION					
27	TBD 25th Avenue - San Mateo		3,700,000	3,700,000		3,700,000
28	TBD Broadway - Burlingame		1,000,000	1,000,000		1,000,000
29	TBD South Linden/Scott - South San Francisco		650,000	650,000		650,000
30						
31		<u>139,371,820</u>	<u>93,870,000</u>	<u>233,241,820</u>	<u>6,533,100</u>	<u>177,217,914</u>
32						
33						
34	OVERSIGHT:					
35						
36	00740 Program Planning and Management	<u>2,440,000</u>	<u>1,185,000</u>	<u>3,625,000</u>	<u>-</u>	<u>3,625,000</u>
37		<u>2,440,000</u>	<u>1,185,000</u>	<u>3,625,000</u>	<u>-</u>	<u>3,625,000</u>

(1) Funds proposed in FY2014 for the ACR Call For Projects represent 1% of sales tax revenues, less funds designated for the Alliance's Countywide TDM program (\$410K).

(2) The Pedestrian and Bicycle Set-aside for Call for Projects (\$2.16M) represents the estimated funds to be collected in FY14, which will be reserved for the FY2014/15 Call for Projects.

(3) The Local Shuttle Set-aside for Call for Projects (\$2.88M) represents the estimated funds to be collected in FY14 to be used for the FY2015/16 Call for Projects.

(4) The Key Congested Corridors and Supplemental Roadway Programs represent 17.3% and 10.2% respectively of Measure A revenues projected to be collected in FY13 and are placeholders until specific projects are selected under these categories.

RESOLUTION NO. 2014 –

SAN MATEO COUNTY TRANSPORTATION AUTHORITY

**AUTHORIZING AMENDMENT TO INCREASE THE FISCAL YEAR 2014 BUDGET BY
\$3,900,000 FOR A TOTAL BUDGET OF \$122,235,286**

WHEREAS, Section 131265(a) of the California Public Utilities Code requires the Board of Directors to adopt an annual budget for the Authority; and

WHEREAS, the Board adopted the Budget on June 6, 2013, pursuant to Resolution No. 2013-12, in the amount of \$112,985,286; and

WHEREAS, on November 7, 2013, pursuant to Resolution No. 2013-24, the Board amended the Budget bringing the total Budget amount to \$118,335,286; and

WHEREAS, staff seeks an additional amendment to the Budget to account for increased actual and projected sales tax revenues and corresponding increases in expenditures as set forth in the 2004 Transportation Expenditure Plan (TEP) as more specifically described below:

- Since the adoption of the Fiscal Year 2014 Budget, the Authority's sales tax revenue projections have been increased by \$4 million, resulting in corresponding increases of \$1,460,000 in Annual Allocations and \$1,740,000 in funding availability for Program Expenditures; and
- Additional Oversight costs associated with implementing the various Program Expenditure Categories of the Original and New Measure A TEPs are projected to increase by \$700,000.

NOW, THEREFORE, BE IT RESOLVED that the Board of Directors of the San Mateo County Transportation Authority hereby approves an amendment increasing the Fiscal Year 2014 Budget by \$3.9 million for a total amended Budget of \$122,235,286.

Regularly passed and adopted the 9th day of January, 2014 by the following vote:

AYES:

NOES:

ABSENT:

Chair, San Mateo County Transportation Authority

ATTEST:

Authority Secretary

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
POLICY FOR DISTRIBUTION OF TICKETS AND PASSES TO OFFICIALS AND EMPLOYEES**

1. PURPOSE.

The purpose of this Policy is to ensure that any ticket or pass provided by the San Mateo County Transportation Authority (TA) to, or at the behest of, a TA official or San Mateo County Transit District employee engaged in providing services pertaining to the TA (employee) for admission to a facility, event, show, or performance for an entertainment, amusement, recreational, or similar purpose (Ticket), shall be distributed and reported in furtherance of a governmental and/or public purpose and in accordance with section 18944.1 of the Fair Political Practices Commission (FPPC) Regulations (Title 2, Division 6 of the California Code of Regulations, hereinafter FPPC Regulations). Tickets are not reportable as gifts by a TA official or employee who is required to file a Statement of Economic Interests (Form 700), when distributed to a TA official or employee and reported by the TA in accordance with this Policy.

2. DEFINITIONS.

Unless otherwise expressly provided herein, words and terms used in this Policy shall have the same meaning as that ascribed to such words and terms in the Political Reform Act of 1974 (Government Code Sections 81000 et seq.) and the FPPC Regulations, as they may be amended from time to time.

3. APPLICATION OF POLICY.

- (a) This Policy governs the distribution of Tickets acquired or received, and distributed by, the TA that are:
 - 1) Provided to the TA, at no cost to the TA, by an outside source;
 - 2) Acquired by the TA by purchase at fair market value;
 - 3) Acquired by the TA as consideration pursuant to the terms of a contract for the use of a TA venue, as consideration for TA sponsorship of an event, or because the TA controls or hosts an event.

- (b) This Policy does not apply to:
 - 1) A Ticket received by an official or employee from a source other than the TA.
 - 2) A Ticket received by an official or employee from the TA where both the official or employee and the TA treat and report the value of the Ticket as income consistent with applicable state and federal income tax laws.
 - 3) A Ticket for which the TA receives reimbursement from the official or employee.

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
POLICY FOR DISTRIBUTION OF TICKETS AND PASSES TO OFFICIALS AND EMPLOYEES**

4. PUBLIC PURPOSE.

The public purpose of providing the Ticket to an official or employee for admission to an event is to enable him or her to promote the TA and TA events, activities, or programs. This includes, but is not limited to the following:

- (a) Promotion of special events in accordance with any TA agreement.
- (b) Promotion of TA visibility, recognition, or profile on a local, state, or national scale.
- (c) Promotion of TA-controlled or sponsored events, activities, or programs.
- (d) Promotion of public-transportation-related activities within the TA, including conferences and conventions.
- (e) Marketing promotions highlighting the achievements of the TA.
- (f) Employment recruitment programs.

5. GENERAL PROVISIONS.

- (a) The use of Tickets is a privilege extended by the TA and not the right of any person to whom the privilege may from time to time be extended.
- (b) No person who receives a Ticket pursuant to this Policy shall sell or receive reimbursement for the value of such Ticket.

6. AUTHORITY OF EXECUTIVE DIRECTOR/CEO.

The TA delegates the authority to distribute any Tickets in accordance with this Policy to the Executive Director or designee.

The Executive Director shall have the authority, in his or her sole discretion, to establish procedures for the distribution of Tickets in accordance with this Policy. All requests for Tickets which fall within the scope of this Policy shall be made in accordance with the procedures established by the Executive Director.

7. TICKETS PROVIDED FROM AN OUTSIDE SOURCE.

A Ticket from an outside source provided to the TA official or employee by the TA is not considered a gift and will not be reportable by those TA officials and employees that are required to file a Form 700, provided all of the following requirements are met:

- (a) The Ticket is not earmarked by the outside source for use by the TA official or employee who uses the Ticket;
- (b) The TA determines, in its sole discretion, which TA official or employee may use the Ticket; and

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
POLICY FOR DISTRIBUTION OF TICKETS AND PASSES TO OFFICIALS AND EMPLOYEES**

- (c) The distribution of the Ticket by the TA is made in accordance with this Policy.

8. TRANSFER PROHIBITION.

The transfer by any official or employee of any Tickets distributed pursuant to this policy to any other person, except to members of the official's or employee's immediate family for their personal use, is prohibited.

9. OTHER BENEFITS.

The distribution of a Ticket pursuant to this Policy shall not constitute a "gift" to the TA official or employee receiving the Ticket, to the extent that the benefits the official or employee receives are provided to all members of the public with the same class of Ticket. Other benefits, such as food or beverage or other gifts provided to the TA official or employee, but not provided to all members of the public with the same class of Ticket, will need to be accounted for as gifts by those TA officials and employees that are required to file a Form 700.

10. REPORTING REQUIREMENT.

The completed FPPC Form 802 (see attached), or such other form(s) as the FPPC may designate, shall be filed with the TA Secretary and maintained as a public record for a period of seven years, subject to inspection under California Government Code section 81008(a). The TA Secretary shall forward a copy of FPPC Form 802 to the FPPC within 30 days after distribution of the tickets.

Tickets distributed to officials are reported differently on Form 802 from Tickets distributed to other employees. Please consult current FPPC guidance for instructions on how to complete Form 802. A Form 802 Fact Sheet, including Frequently Asked Questions and a sample form showing how to report different types of Ticket distributions, can be found at the FPPC website at <http://www.fppc.ca.gov/factsheets/Form802FactSheet.pdf>.

Agency Report of: Ceremonial Role Events and Ticket/Pass Distributions

A Public Document

1. Agency Name		Date Stamp	California Form 802
Division, Department, or Region <i>(If Applicable)</i>			For Official Use Only
Designated Agency Contact <i>(Name, Title)</i>			
Area Code/Phone Number	E-mail	<input type="checkbox"/> Amendment <i>(Must provide explanation in Part 3.)</i> Date of Original Filing: _____ <i>(Month, Day, Year)</i>	

2. Function or Event Information

Does the agency have a ticket policy? Yes No Face Value of Each Ticket/Pass \$ _____

Event Description _____ Date(s) ____/____/____ ____/____/____
Provide Title/Explanation

Ticket(s)/Pass(es) provided by agency? Yes No If no: _____
Name of Source

Was ticket distribution made at the behest of agency official? No Yes If yes: _____
Official's Name (Last, First)

3. Recipients

• Use Section A to identify the agency's department or unit. • Use Section B to identify an individual. • Use Section C to identify an outside organization.

A. Name of Agency, Department or Unit	Number of Ticket(s)/Pass(es)	Describe the public purpose made pursuant to the agency's policy
B. Name of Individual <i>(Last, First)</i>	Number of Ticket(s)/Pass(es)	Identify one of the following:
		Ceremonial Role <input type="checkbox"/> Other <input type="checkbox"/> Income <input type="checkbox"/> <i>If checking "Ceremonial Role" or "Other" describe below:</i>
		Ceremonial Role <input type="checkbox"/> Other <input type="checkbox"/> Income <input type="checkbox"/> <i>If checking "Ceremonial Role" or "Other" describe below:</i>
C. Name of Outside Organization (include address and description)	Number of Ticket(s)/Pass(es)	Describe the public purpose made pursuant to the agency's policy

4. Verification

I have read and understand FPPC Regulations 18944.1 and 18942. I have verified that the distribution set forth above, is in accordance with the requirements.

Signature of Agency Head or Designee *Print Name* *Title* *(Month, Day, Year)*

Comment: _____

RESOLUTION NO. 2014 –

**BOARD OF DIRECTORS, SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STATE OF CALIFORNIA**

*** * ***

**AUTHORIZING ADOPTION OF TICKET POLICY GOVERNING DISTRIBUTION OF TICKETS AND
PASSES RECEIVED BY THE TA TO OFFICIALS AND EMPLOYEES**

WHEREAS, the California Fair Political Practices Commission (FPPC) requires that tickets and passes (Tickets) for admission to a facility, event, show or performance for entertainment, amusement, recreations or similar purpose must be distributed and reported in furtherance of a governmental and/or public purpose and in accordance with section 18944.1 of the FPPC Regulations; and

WHEREAS, the San Mateo County Transportation Authority (TA) occasionally receives Tickets that it may distribute to TA officials and San Mateo County Transit District (District) employees engaged in providing services pertaining to the TA; and

WHEREAS, staff and legal counsel have drafted the attached Ticket Policy, which complies with the applicable FPPC Regulation; and

WHEREAS, pursuant to the Ticket Policy, the Executive Director is delegated the authority to distribute Tickets in accordance with the Ticket Policy and to establish the procedures applicable to such distribution; and

WHEREAS, the Executive Director recommends that the Ticket Policy be adopted.

NOW, THEREFORE, BE IT RESOLVED that the Board of Directors (Board) of the San Mateo County Transportation Authority hereby adopts the attached Ticket Policy, which governs the distribution of tickets and passes received by the TA to TA officials and District employees engaged in providing services pertaining to the TA; and

BE IT FURTHER RESOLVED that, in accordance with the terms of the Ticket Policy, the Board authorizes the Executive Director to distribute tickets and passes in accordance with the Ticket Policy and to establish the procedures applicable to such distribution.

Regularly passed and adopted this 9th day of January, 2014 by the following vote:

AYES:

NOES:

ABSENT:

Chair, San Mateo County Transportation Authority

ATTEST:

Authority Secretary

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon
Executive Director

FROM: April Chan
Executive Officer, Planning and Development

SUBJECT: **PROGRAM UPDATE ON THE MEASURE A FERRY PROGRAM**

ACTION

No action is required. This item is being presented to the Board for information only.

SIGNIFICANCE

At the May 2013 Board meeting, a presentation was made on the Measure A Transit Ferry Program. This report serves as a six-month update on the performance of the South San Francisco ferry service. Attachments A1 and A2 summarize key performance statistics from the first quarter of Fiscal Year (FY) 2013 (July to September 2012) to the first quarter of FY2014 (July to September 2013).

The following activities and events have taken place since the May 2013 presentation:

- A 12-month campaign, approved by the Water Emergency Transportation Authority (WETA) Board in April 2013, was well underway with added resources for service enhancements and increased marketing for the South San Francisco service. An additional evening departure was added, schedule adjustments were made and new mid-day ferry service to San Francisco was added two days a week. Increased service marketing and promotional activities have targeted the workday population and local community of South San Francisco through direct mail, e-mail, internet, print, radio, shuttle-wrap and billboard advertisements and special events and excursions on WETA vessels to expose riders to service.
- In July 2013, Genentech, began fully subsidizing the \$7 passenger fare for its employees. Previously, Genentech provided a partial subsidy for its employees.
- The Bay Area Rapid Transit (BART) strike during the first week of July 2013, and the Bay Bridge closure at the end of August 2013 both resulted in a significant increase in ridership. Average daily ridership spiked to 598 during the July BART strike and to 503 during the August Bay Bridge closure, compared to 332 average daily riders for the first quarter of FY2014. These events also further raised public awareness of the ferry service.

BUDGET IMPACT

This informational item has no impact on the budget.

BACKGROUND

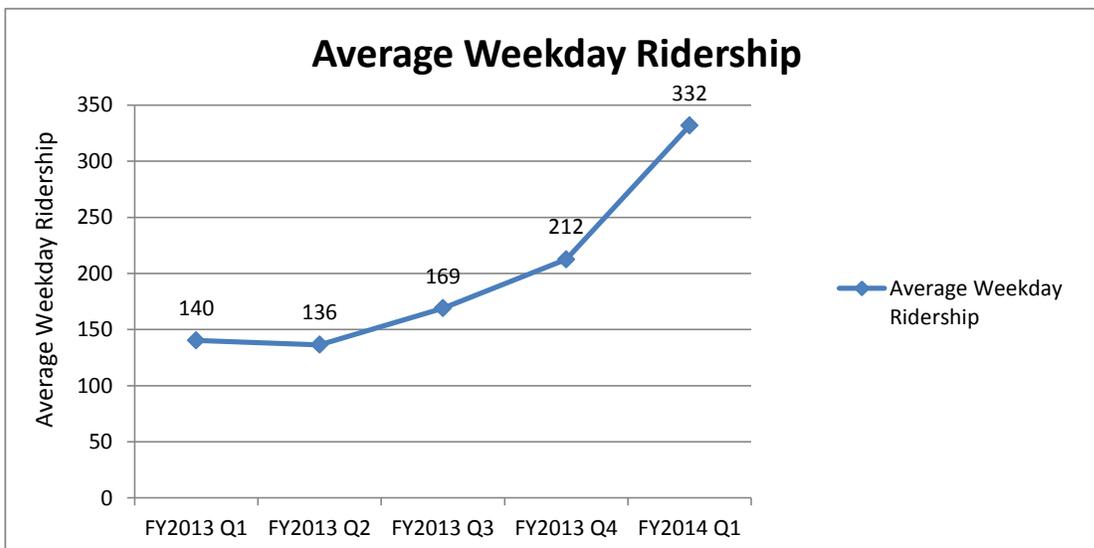
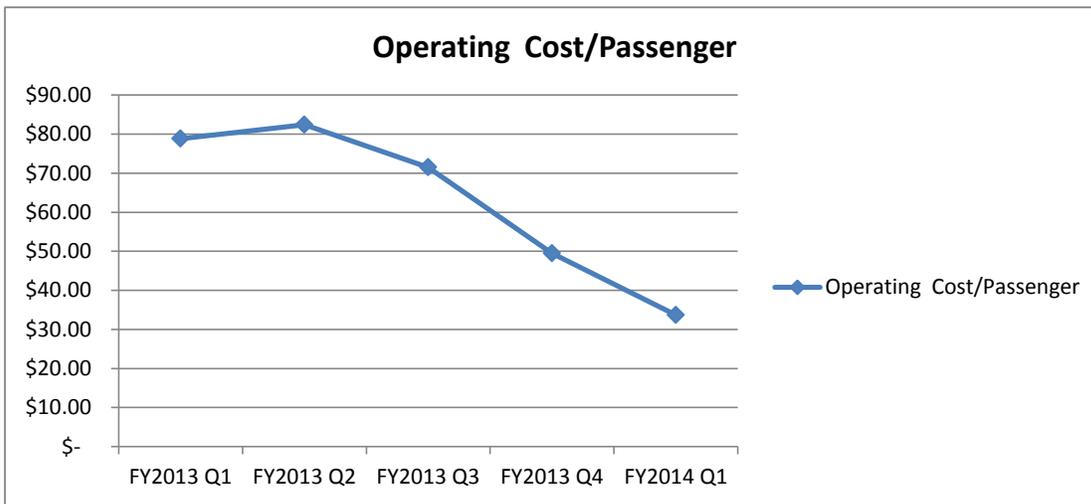
Under the New Measure A program, 2 percent of the revenues (approximately \$30 million projected over 25 years) were to be made available in support of ferry service to South San Francisco and Redwood City. In December 2008, the Board allocated \$15 million in Measure A funds to South San Francisco and WETA for the construction of the South San Francisco Ferry Terminal. The ferry terminal was completed in May 2012 and commute service between South San Francisco and Alameda/Oakland was launched in June 2012.

Prepared by: Joel Slavitt, Manager of Programming & Monitoring

650-508-6476

Attachment A-1

SSF Ferry Service Performance	FY2013 Q1	FY2013 Q2	FY2013 Q3	FY2013 Q4	FY2014 Q1	Percent Change FY2014 Q1 to FY2013 Q1
Operating Cost/Passenger	\$ 78.87	\$ 82.41	\$ 71.52	\$ 49.50	\$ 33.72	-57%
Farebox Recovery Ratio	9%	8%	9%	16%	19%	111%
Average Boardings/Trip	20	19	22	23	38	90%
Average Weekday Ridership	140	136	169	212	332	137%
Total Ridership	8,831	8,729	9,570	13,598	21,231	140%



Attachment A-2

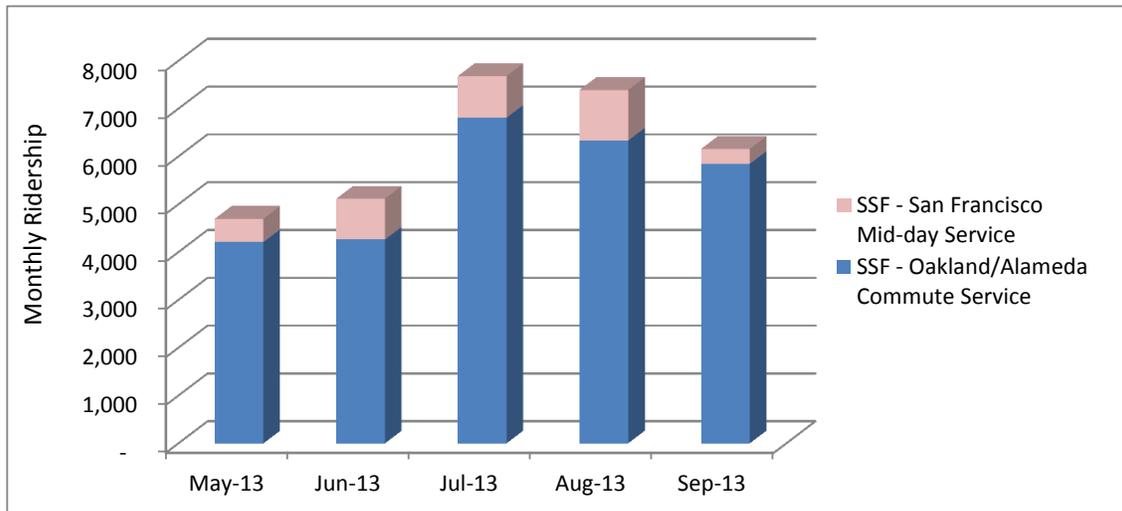
Comparison of South San Francisco Commute vs Mid-day Service

	SSF - Oakland/Alameda Commute Service	SSF - San Francisco Mid-day Service	Total Monthly Ridership
May-13	4,210	489	4,699
Jun-13	4,264	854	5,118
Jul-13	6,809	873	7,682
Aug-13	6,333	1,058	7,391
Sep-13	5,844	314	6,158

Footnotes

1) Mid-day service between South San Francisco and San Francisco was initiated in May 2013. One trip each direction is provided on Wednesday and Fridays

2) Four trips each direction are provided weekdays between South San Francisco and Oakland/Alameda.



**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon
Executive Director

FROM: April Chan
Executive Officer, Planning and Development

SUBJECT: **PROGRAM REPORT: TRANSIT – SHUTTLES**

ACTION

No action is required. This item is being presented to the Board for information only.

SIGNIFICANCE

This presentation is part of a series of program reports presented to the Board. Each of the Transportation Authority's (TA) six program areas – Transit, Highways, Local Streets/Transportation, Grade Separations, Pedestrian and Bicycle, and Alternative Congestion Relief Programs – will be featured individually throughout the year. This month features a presentation highlighting the status of the Transit – Local Shuttle Program, which will be presented via PowerPoint.

BUDGET IMPACT

There is no impact on the budget.

BACKGROUND

The TA's Local Shuttle Program provides operating funds for commuter shuttles connecting with transit stations, as well as community serving shuttles. Four percent of the New Measure A sales tax revenue is available to support the Local Shuttle Program. Project sponsors are required to submit quarterly and annual progress reports, which the TA uses to track the performance of individual projects as well as the overall program.

Prepared By: Joel Slavit, Manager of Programming and Monitoring 650-508-6476

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon
Executive Director

FROM: April Chan
Executive Officer, Planning and Development

SUBJECT: **JOINT TA AND C/CAG SAN MATEO COUNTY SHUTTLE PROGRAM CALL FOR PROJECTS**

ACTION

No action is required. This item is being presented to the Board for information only.

SIGNIFICANCE

As was done for Fiscal Years (FY) 2013 and 2014, the San Mateo County Transportation Authority (TA) and the City/County Association of Governments (C/CAG) have developed a combined San Mateo County Shuttle Program Call for Projects (CFP) that enables project sponsors to utilize one funding application for both the TA New Measure A Local Shuttle Program and the C/CAG Local Transportation Services Shuttle Program. The current CFP provides funding for FY2015 and FY2016. The evaluation and prioritization of applications will be conducted jointly by TA and C/CAG staff using a single set of criteria, which are consistent with the 2004 Transportation Expenditure Plan and the Measure A Implementation Plan the Board adopted in December 2009. The projected available funding for the San Mateo County Shuttle Program CFP is as follows:

<u>Funding Source</u>	<u>Total Amount</u>
New Measure A Local Shuttle Program	\$6.0 million
<u>C/CAG Local Transportation Services</u>	<u>\$1.0 million</u>
Total	\$7.0 million

A PowerPoint presentation will be made at the January 9, 2014 meeting that provides further information regarding the process and program criteria for the San Mateo County Shuttle Program CFP.

BUDGET IMPACT

This informational item has no impact on the budget.

BACKGROUND

The combined San Mateo County Shuttle CFP continues to streamline the application funding process for shuttle applicants in the county, and is consistent with

recommendations from the 2012 Shuttle Business Practices Study, which was an effort undertaken by the TA, C/CAG, the Peninsula Congestion Relief Alliance and SamTrans, to improve shuttle business practices in the county. The CFP was presented to the C/CAG Board on December 12, 2013 and will be released after the presentation to the TA Board.

Prepared by: Joel Slavit, Manager of Programming and Monitoring

650-508-6476

**SAN MATEO COUNTY TRANSPORTATION AUTHORITY
STAFF REPORT**

TO: Transportation Authority

THROUGH: Michael J. Scanlon
Executive Director

FROM: Mark Simon
Executive Officer, Public Affairs

SUBJECT: **STATE AND FEDERAL LEGISLATIVE UPDATE**

ACTION

This report is for information only. No Board action is required.

SIGNIFICANCE

Staff will provide regular updates to the Board in accordance with the approved Legislative Program.

STATE ISSUES

Nothing to report.

FEDERAL ISSUES

Nothing to report.

Prepared By: Seamus Murphy, Director, Government and Community
Affairs

650-508-6388